

## Notes for section 1.2

### Math. 481a, Spring 2026

Assume that machine numbers are represented in the following decimal floating-point form (called *k-digit decimal machine numbers*):

$$\pm 0.d_1d_2 \dots d_k \times 10^n, \quad 1 \leq d_1 \leq 9, \quad 0 \leq d_i \leq 9, \quad \text{for } i = 2 \dots k.$$

For any positive real number  $y$  (within the machine range),

$$y = 0.d_1d_2 \dots d_k d_{k+1} d_{k+2} \dots \times 10^n,$$

the floating-point form  $fl(y)$  is obtained by terminating the mantissa of  $y$  at  $k$  decimal digits. There are two ways of doing it.

#### **Chopping**

$$fl(y) = 0.d_1d_2 \dots d_k \times 10^n.$$

#### **Rounding**

$$fl(y) = \begin{cases} 0.d_1d_2 \dots d_k \times 10^n, & \text{if } d_{k+1} < 5 \\ 0.d_1d_2 \dots d_k \times 10^n + 10^{n-k}, & \text{if } d_{k+1} \geq 5 \end{cases}$$

The error that results replacing a number with its floating-point form is called **round-off error**.

**Definition 1.** If  $p^*$  is an approximation of  $p$ , then  $|p - p^*|$  is the **absolute error**. If  $p \neq 0$ , then  $|p - p^*|/|p|$  is the **relative error**.

The absolute error is not necessarily a good measure of accuracy. The relative error takes into account the size of the value and thus is a more meaningful measure of accuracy.

**Definition 2.** The number  $p^*$  approximates  $p$  to  $t$  **significant digit** if  $t$  is the largest nonnegative integer for which

$$\frac{|p - p^*|}{|p|} \leq 5 \times 10^{-t}.$$

**Proposition 1.** If  $fl(y)$  is a  $k$ -digit rounding approximation to  $y$  then

$$\frac{|y - fl(y)|}{|y|} \leq 5 \times 10^{-k}.$$

*Proof.* Let  $y = 0.d_1d_2 \dots d_k d_{k+1} d_{k+2} \dots \times 10^n$  and is in the machine range.

*Case when  $d_{k+1} < 5$ .*

We have,

$$\frac{|y - fl(y)|}{|y|} = \frac{0.d_{k+1} \dots \times 10^{n-k}}{0.d_1 \dots \times 10^n} \leq \frac{0.5 \times 10^{n-k}}{0.d_1 \dots \times 10^n} \leq \frac{0.5 \times 10^{n-k}}{0.1 \times 10^n} = 5 \times 10^{-k}.$$

Case when  $d_{k+1} \geq 5$ .

We have,

$$\frac{|y - fl(y)|}{|y|} = \frac{(1 - 0.d_{k+1} \dots) \times 10^{n-k}}{0.d_1 \dots \times 10^n} \leq \frac{(1 - 0.5) \times 10^{n-k}}{0.d_1 \dots \times 10^n} \leq \frac{0.5 \times 10^{n-k}}{0.1 \times 10^n} = 5 \times 10^{-k}.$$

□

**Proposition 2** (see, Exercise 28, page 28). If  $fl(y)$  is a  $k$ -digit chopping approximation to  $y$  then

$$\frac{|y - fl(y)|}{|y|} \leq 10^{-k+1}.$$

*Proof.* Let  $y = 0.d_1 d_2 \dots d_k d_{k+1} d_{k+2} \dots \times 10^n$  and is in the machine range. We have,

$$\frac{|y - fl(y)|}{|y|} = \frac{0.d_{k+1} \dots \times 10^{n-k}}{0.d_1 \dots \times 10^n} = \frac{0.d_{k+1} \dots}{0.d_1 \dots} \times 10^{-k} \leq \frac{1}{0.1} \times 10^{-k} = 10^{-k+1}.$$

□

### The conclusions

- (1) Floating-point forms obtained through  $k$ -digit rounding provide  $k$  **significant digits** accuracy.
- (2) Floating-point forms obtained through  $k$ -digit chopping provide  $k$  **significant digits** accuracy only when  $d_{k+1} < 5$ .

### Cautionary notes

- (1) Subtractions of nearly equal numbers very often produces additional errors. Indeed, suppose that nearly equal numbers  $x$  and  $y$  ( $x > y$ ) have the  $k$ -digit representations:

$$fl(x) = 0.d_1 d_2 \dots d_p \alpha_{p+1} \alpha_{p+2} \dots \alpha_k \times 10^n,$$

and

$$fl(y) = 0.d_1 d_2 \dots d_p \beta_{p+1} \beta_{p+2} \dots \beta_k \times 10^n,$$

The floating-point form of  $x - y$  is

$$fl[fl(x) - fl(y)] = 0.\sigma_{p+1} \sigma_{p+2} \dots \sigma_k \times 10^{n-p},$$

where

$$0.\sigma_{p+1} \sigma_{p+2} \dots \sigma_k = 0.\alpha_{p+1} \alpha_{p+2} \dots \alpha_k - 0.\beta_{p+1} \beta_{p+2} \dots \beta_k.$$

The floating-point form of  $x - y$  will have at most  $k - p$  significant digits; however, in most systems  $x - y$  will be assigned  $k$  digits, **with the last  $p$  being either zero or randomly assigned**. Any subsequent calculations will retain only  $k - p$  significant digits.

- (2) The additional error is also being produced when dividing by a number with small magnitude, or equivalently, when multiplying by a number with large magnitude.