

THE UNIVERSITY CORPORATION

SINGLE AUDIT REPORTS

JUNE 30, 2014



THE UNIVERSITY CORPORATION

SINGLE AUDIT REPORTS

JUNE 30, 2014

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CERTIFIED PUBLIC ACCOUNTANTS
&
BUSINESS CONSULTANTS

September 12, 2014

**INDEPENDENT AUDITORS' REPORT ON INTERNAL CONTROL
OVER FINANCIAL REPORTING AND ON COMPLIANCE
AND OTHER MATTERS BASED ON AN AUDIT OF
FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE
WITH GOVERNMENT AUDITING STANDARDS**

To The Board of Directors
The University Corporation
California State University, Northridge
Northridge, California

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of The University Corporation, California State University, Northridge (a California State University Auxiliary Organization) (the Corporation), which comprise the statement of financial position as of June 30, 2014, and the related statements of activities, and cash flows for the year then ended, and the related notes to the financial statements, and have issued our report thereon dated September 12, 2014.

Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered the Corporation's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Corporation's internal control. Accordingly, we do not express an opinion on the effectiveness of the Corporation's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. *A material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. *A significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

**INDEPENDENT AUDITORS' REPORT ON INTERNAL CONTROL
OVER FINANCIAL REPORTING AND ON COMPLIANCE
AND OTHER MATTERS BASED ON AN AUDIT OF
FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE
WITH GOVERNMENT AUDITING STANDARDS (CONT.)**

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the Corporation's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the organization's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the organization's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

NSBN LLP

NSBN LLP
Beverly Hills, California



CERTIFIED PUBLIC ACCOUNTANTS
&
BUSINESS CONSULTANTS

September 12, 2014

**INDEPENDENT AUDITORS' REPORT ON COMPLIANCE FOR EACH MAJOR PROGRAM AND
ON INTERNAL CONTROL OVER COMPLIANCE REQUIRED BY OMB CIRCULAR A-133**

To The Board of Directors
The University Corporation
California State University, Northridge
Northridge, California

Report on Compliance for Each Major Federal Program

We have audited the compliance of The University Corporation, California State University, Northridge (a California State University Auxiliary Organization) (the Corporation), with the types of compliance requirements described in the *OMB Circular A-133 Compliance Supplement* that could have a direct and material effect on each of the Corporation's major federal programs for the fiscal year ended June 30, 2014. The Corporation's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs.

Management's Responsibility

Management is responsible for compliance with the requirements of laws, regulations, contracts and grants applicable to its federal programs.

Auditor's Responsibility

Our responsibility is to express an opinion on compliance for each of the Corporation's major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in Government Auditing Standards, issued by the Comptroller General of the United States; and OMB Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations. *Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the Corporation's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.*

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of the Corporation's compliance.

Opinion on Each Major Federal Program

In our opinion, The University Corporation, California State University, Northridge complied, in all material respects, with the requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2014.

**INDEPENDENT AUDITORS' REPORT ON COMPLIANCE FOR EACH MAJOR PROGRAM AND
ON INTERNAL CONTROL OVER COMPLIANCE REQUIRED BY OMB CIRCULAR A-133
(CONT.)**

Report on Internal Control Over Compliance

Management of the Corporation is responsible for establishing and maintaining effective internal control over compliance with the types of requirements referred to above. In planning and performing our audit of compliance, we considered the Corporation's internal control over compliance with requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness the Corporation's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of the internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

This purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of OMB Circular A-133. Accordingly, this report is not suitable for any other purpose.

Report on Schedule of Expenditures of Federal Awards Required by OMB Circular A-133

We have audited the financial statements of the Corporation as of and for the year ended June 30, 2014, and have issued our report thereon dated September 12, 2014, which contained an unmodified opinion on those financial statements. Our audit was conducted for the purpose of forming an opinion on the financial statements as a whole. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by OMB Circular A-133, and is not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has

**INDEPENDENT AUDITORS' REPORT ON COMPLIANCE FOR EACH MAJOR PROGRAM AND
ON INTERNAL CONTROL OVER COMPLIANCE REQUIRED BY OMB CIRCULAR A-133
(CONT.)**

Report on Schedule of Expenditures of Federal Awards Required by OMB Circular A-133 (cont.)

been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the schedule of expenditures of federal awards is fairly stated in all material respects in relation to the financial statements as a whole.

This purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of OMB Circular A-133. Accordingly, this report is not suitable for any other purpose.

NSBN LLP

NSBN LLP
Beverly Hills, California

THE UNIVERSITY CORPORATION
 SCHEDULE OF FINDINGS AND QUESTIONED COSTS
 FOR THE YEAR ENDED JUNE 30, 2014

Section I - Summary of Auditor's Results

Financial Statements

Type of auditor's report issued: Unqualified

Internal control over financial reporting:

· Material weakness(es) identified? _____ Yes X No
 · Significant deficiencies identified that are not considered to be material weakness(es)? _____ Yes X None reported
 Noncompliance material to financial statements noted? _____ Yes X No

Federal Awards

Internal control over major programs:

· Material weakness(es) identified? _____ Yes X No
 · Significant deficiencies identified that are not considered to be material weakness(es)? _____ Yes X None reported

Type of auditors' report issued on compliance for major programs: Unqualified

Any audit findings disclosed that are required to be reported in accordance with section 510(a) of Circular A-133? _____ Yes X No

Major programs:

| <u>CFDA Number(s)</u> | <u>Name of Federal Program or Cluster</u> |
|-----------------------|---|
| Various | TRIO Cluster |
| 84.031 | Higher Education_Institutional Aid |
| 84.326 | Special Education Technical Assistance |
| 84.405 | Teacher Quality Partnerships, Recovery Act (ARRA) |
| 93.556 | Promoting Safe and Stable Families |
| 93.658 | Foster Care_Title IV-E |

Dollar threshold used to distinguish between type A and type B programs: \$ 813,458

Auditee qualified as low-risk? X Yes _____ No

See accompanying independent auditors' reports.

THE UNIVERSITY CORPORATION
SCHEDULE OF FINDINGS AND QUESTIONED COSTS
FOR THE YEAR ENDED JUNE 30, 2014

Section II - Financial Statement Findings

None

See accompanying independent auditors' reports.

THE UNIVERSITY CORPORATION
SCHEDULE OF FINDINGS AND QUESTIONED COSTS
FOR THE YEAR ENDED JUNE 30, 2014

Section III - Federal Award Findings and Questioned Costs

None

See accompanying independent auditors' reports.

THE UNIVERSITY CORPORATION
SCHEDULE OF PRIOR AUDIT FINDINGS AND QUESTIONED COSTS
FOR THE YEAR ENDED JUNE 30, 2014

Section IV - Prior Audit Findings and Questioned Costs

None

See accompanying independent auditors' reports.

**THE UNIVERSITY CORPORATION
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2014**

| <u>Federal Grantor/Program Title</u> | <u>Federal CFDA Number</u> | <u>Pass-through Grantor</u> | <u>Grant Award or Contract Number</u> | <u>Federal Expenditures</u> |
|---|----------------------------|--|---------------------------------------|----------------------------------|
| Research & Development Cluster: | | | | |
| DEPARTMENT OF AGRICULTURE: | | | | |
| Developing a Sustainable Bionenergy System: Paulownia Production for Fuel, Chemicals, and Materials | 10.216 | FORT VALLEY STATE UNIVERSITY | 2013-CANFVSU-13-009 | 11,518.37 <u>11,518.37</u> |
| DEPARTMENT OF COMMERCE: | | | | |
| Broadband Field Testing #5 | 11.558 | CSU CHICO RESEARCH FND | 09IA5851-5 | 33,266.90 |
| Broadband Field Testing #4 | 11.558 | CSU CHICO RESEARCH FND | 09IA5851 | 32,785.45 |
| California Broadband Field Testing-ARRA | 11.558 | CSU CHICO RESEARCH FND | 09IA5851 | 131.77 <u>66,184.12</u> |
| DEPARTMENT OF DEFENSE: | | | | |
| Identification of Defect Candidates and Their Effects on Carrier Lifetimes and Dark Currents in InAs/InAsSb Strained-Layer ' Superlattices for Infrared Detectors | 12.000 | UNIVERSITY OF IOWA | W000397693 | 14,135.59 |
| Control of Supercavitating Vehicles Using Transverse Jets | 12.300 | | N00014-13-1-0747 | 45,529.21 |
| Scalable, Stochastic and Spatiotemporal Game Theory for Real-World Human Adversarial Behavior | 12.300 | UNIVERSITY OF SOUTHERN CALIFORNIA | 158859 | 31,057.42 |
| First-Principles Based Multiscale Modeling of Structure, Energetics and Mobility of Defects in Metal | 12.300 | | N00014-11-1-0175 | 83,639.77 |
| Climatic Monitoring Program on San Clemente Island | 12.300 | | W9126G-10-2-0036 | 27,793.06 |
| Electronic Structure of Semiconductor Dector Surfaces & Contact Interfaces: Optimization of Signal-to-Noise Ratio | 12.351 | | HDTRA-10-1-0113 | 268,684.44 |
| Toward Computational Design of High-Efficiency Photovoltaics from First-Principles | 12.431 | | W911-13-1-0147 W911-NF-13-1-0369 | 130,306.42 49,959.14 |
| Advanced Antenna Measurement Processing | 12.431 | | | 274,585.55 |
| Stress-Controlled Catalysis via Engineered Nanostructures | 12.431 | BROWN UNIVERSITY | 00000451 | 274,585.55 |
| SiC/GaN Based Optically Triggered MESFET for High Power efficiency and High Radiation resistance Solid State Switch Application for Actuator System | 12.630 | | W911NF-12-1-0068 | 117,953.69 |
| A New Computational Tool for Understanding Light-Matter Interactions | 12.630 | | W911NF-12-1-0072 | 76,279.63 |
| Dynamic Characterization of Polymers and Polymer-Based Composites | 12.630 | | W911NF-14-1-0039 | 152,907.86 |
| Acquisition of a High Performance Computer Cluster of Materials Research and Education | 12.630 | | WP11NF-14-1-0051 | 263,586.59 |
| Sub-Aperture Based Conformal Imaging System | 12.800 | OPTICAL PHYSICS COMPANY (OPC) FAR WESTERN ARCHAEOLOGICAL RESEARCH GROUP, INC. | OPC2344 | 487.68 |
| TO 66 - Johnson Valley Survey | N/A | | N62473-11-D-2220 | 2,572.59 |
| Alteration of Ram-Air Canopy Aerodynamic Characteristics Using the Flow-Through Concept | N/A | | W911-QY-12-2-0002 | 46,236.57 <u>1,585,715.21</u> |

See accompanying notes to the Schedule of Expenditures of Federal Awards and independent auditors' report.

**THE UNIVERSITY CORPORATION
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2014**

| <u>Federal Grantor/Program Title</u> | <u>Federal CFDA Number</u> | <u>Pass-through Grantor</u> | <u>Grant Award or Contract Number</u> | <u>Federal Expenditures</u> |
|---|----------------------------|---|---------------------------------------|-----------------------------|
| DEPARTMENT OF THE INTERIOR U.S. GEOLOGICAL SURVEY: | | | | |
| Mega-Trenching to Determine a Lengthy Record of San Geronio Pass Fault | 15.807 | | G13AP00013 | 24,002.80 |
| Zone Ruptures: Possible Super Earthquakes on the Southern San Andreas Fault System | 15.808 | | G11AC20448 | 2,641.87 |
| Weather and Fog-Drip Monitoring on Santa Rosa Island | 15.808 | | | |
| Local-Resolution National Hydrography Dataset Development, San Juan Subbasin, California | 15.808 | | G12AC20200 | 4,631.72 |
| | | | | <u>31,276.39</u> |
| DEPARTMENT OF LABOR | | | | |
| WorkSource Evaluation Project | 17.258 | CITY OF LOS ANGELES | 121570 | 65,002.44 |
| | | | | <u>65,002.44</u> |
| DEPARTMENT OF TRANSPORTATION: | | | | |
| Developing A Linear Referencing System for Southern California | 20.205 | | 72A0090 | 435,293.87 |
| | | | | <u>435,293.87</u> |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION: | | | | |
| Photometric Observations & Analysis in Support of SORCE/TIM & GLORY/TIM | 43.001 | | NNX11AK46G | 30,306.66 |
| High Resolution Imaging & Spectroscopy of the Chandra Comet Survey II | 43.001 | SMITHSONIAN ASTROPHYSICAL OBSERVATORY | AR2-13000X | 6,487.98 |
| Observations and Analysis of Solar Total and Spectral Irradiance Variability | 43.001 | | NNX11AB51G | 40,787.18 |
| Microbial Viability in Extreme Environments | 43.001 | JET PROPULSION LABORATORY | 1475160 | (134.08) |
| Performing Thermal Conductivity of Icy Compositions Relevant to Solar System Bodies | 43.001 | JET PROPULSION LABORATORY | 147695 | 3,127.89 |
| CSUN/JPL Partnership for CubeSat Development and Launch: Phase 1 | 43.001 | JET PROPULSION LABORATORY | 1473715 | 7,137.01 |
| CSUN/JPL Partnership for CubeSat Development and Launch: Phase 2 | 43.001 | JET PROPULSION LABORATORY | 1500678 | 848.66 |
| Influence of Cultural, Organizational & Automation Capability on Human Reliance on Automation: A Case Study of Air Force ACAT | 43.008 | AERO INSTITUTE | AERO 560 | 92,201.27 |
| Mathematical and Geospatial Pathways to Climate Change Education | 43.008 | | NNX11AL97A | 75,067.50 |
| Smallsat Low Mass, Extreme Low Temperature Environment Support for Communication Systems Program Improvement at California State University, Northridge | 43.009 | | NNX13AR19A | 53,148.65 |
| | N/A | JET PROPULSION LABORATORY | 1485535 | 4,758.81 |
| | | | | <u>313,737.53</u> |
| NATIONAL ENDOWMENT FOR THE HUMANITIES: | | | | |
| The Interland of Sinop, Turkey: A Case Study of the Origins & Development of Black Sea Trade | 45.161 | | RZ-51060-09 | 3,148.31 |
| Scholarly Editions & Transtlations: An Archive of Early Middle English | 45.161 | VASSAR COLLEGE | NEH009.A | 12,791.26 |
| | | | | <u>15,939.57</u> |

See accompanying notes to the Schedule of Expenditures of Federal Awards and independent auditors' report.

**THE UNIVERSITY CORPORATION
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2014**

| <u>Federal Grantor/Program Title</u> | <u>Federal CFDA Number</u> | <u>Pass-through Grantor</u> | <u>Grant Award or Contract Number</u> | <u>Federal Expenditures</u> |
|---|----------------------------|---|---------------------------------------|-----------------------------|
| NATIONAL SCIENCE FOUNDATION: | | | | |
| Electric-Field-Controlled Nonvolatile Magnetic Memory Devices | 47.041 | INSTON, INC | 1314951CSUN | 16,045.93 |
| NSF Nanosystems Engineering Research Center for Translational Applications of Nanoscale Multiferroic Systems TANMS | 47.041 | UC LOS ANGELES | 0140 G QA005 | 136,483.39 |
| NSF Nanosystems Engineering Research Center for Translational Applications of Nanoscale Multiferroic Systems TANMS | 47.041 | UC LOS ANGELES | 0140 G QA005 | 85,326.96 |
| NSF Nanosystems Engineering Research Center for Translational Applications of Nanoscale Multiferroic Systems TANMS | 47.041 | UC LOS ANGELES | 0140 G QA005 | 82,320.80 |
| Veterans Research Supplement: NSF Nanosystems Engineering Research Center for Translational Applications of Nanoscale Multiferroic Systems TANMS | 47.041 | UC LOS ANGELES | 0140 G QA005 | 6,625.44 |
| Collaborative Research: Hierarchical Kinetic Models for Chemically & Hydrodynamically Coupled Organisms | 47.049 | | DMS-1021850 AGREEMENT | 55,222.35 |
| Materials for a Sustainable Energy Future | 47.049 | UC LOS ANGELES - IPAM | | 14,892.92 |
| RUI: Novel Radical & Ionic Reactions in Cobalt-Alkyne Series | 47.049 | | CHE-1112129 | 45,504.41 |
| RUI: Theoretical (Numerical) Investigations of Novel Quantum Phases & Transitions in Strongly Interacting Systems | 47.049 | | DMR-0906816 | 104,750.23 |
| Development Method to Predict Phase Separation & Change Transport in Bulk Heterojunction Conjugated Polymer Solar Cells | 47.049 | REGENTS UNIVERSITY OF CALIFORNIA | LL118 | 58,946.86 |
| RUI: Spectroscopy in Bulk Superfluid 4He | 47.049 | | CHE-1262306 | 53,677.91 |
| RUI: Critical Models of Two Dimensional Statistical Mechanics. A Mathematical Approach | 47.049 | | PHY-1306571 | 25,905.67 |
| PREM - Computational Research and Education for Emergent Materials | 47.049 | | DMR-1205734 | 471,824.02 |
| New Frontier in Materials Science: Artificial Nanosolids | 47.049 | | DMR-1158666 | 91,704.32 |
| Collaborative Research: Trait evolution and the stability of ecological communities | 47.049 | | DMS-1312490 | 734.51 |
| CSU Alliance for Preparing Undergraduates Through Mentoring Towards PhDs | 47.049 | | DMS-1247679 | 247,460.55 |
| CAREER: A Portable Solar Adaptive Optics System for High-Resolution Imaging Investigations | 47.050 | | ATM-0841440 | 75,553.84 |
| CAREER: Magnetic Tomography of Emerging Sunspots (MATES) | 47.050 | | ATM-0548260 | 110,595.48 |
| CAREER: Geodynamic Study of Earth's Mantle Asthenosphere & Core Formation | 47.050 | | EAR-1151941 | 151,264.22 |
| Collaborative Research: Depth Distribution of Anisotropic Fabric in the Ocean Mantle | 47.050 | | OCE-0830798 | 19,171.15 |
| Collaborative Research: Expedition 317 Objective Research - Linking Sediment Provenance to Supply & Lithofacies Formation on the Canterbury Margin | 47.050 | | OCE-1060703 | 3,633.86 |
| Collaborative Research: Investigation of a Late Jurassic Paired Magmatic Belt (Blue Mountains, NE Oregon): Evaluation of Magmatic Growth During Contractional Orogeny | 47.050 | | EAR-1215643 | 11,918.24 |
| Smear-slide Reference Set & Atlas for IODP Shipboard Sedimentologists; Phase 1: Siliciclastic & Volcaniclastic Components | 47.050 | IODP MANAGEMENT INTERNATIONAL, INC. | IODP-MI-11-01 | 4,081.89 |
| Long Term Ecological Research: Coral Reefs in Moorea, French Polynesia | 47.050 | UNIVERSITY OF CALIFORNIA AT SANTA BARBARA | KK1136 | 234.75 |

See accompanying notes to the Schedule of Expenditures of Federal Awards and independent auditors' report.

**THE UNIVERSITY CORPORATION
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2014**

| <u>Federal Grantor/Program Title</u> | <u>Federal CFDA Number</u> | <u>Pass-through Grantor</u> | <u>Grant Award or Contract Number</u> | <u>Federal Expenditures</u> |
|---|----------------------------|---|---------------------------------------|-----------------------------|
| RUI: Ocean Acidification - Category 1 - The Effects of Ocean Acidification on the Organismic Biology & Community Ecology of Corals, Calcified Algae, & Coral Reefs | 47.050 | | OCE-1041270 | 9,384.71 |
| RUI: Ocean Acidification - Category 1 - The Effects of Ocean Acidification on the Organismic Biology & Community Ecology of Corals, Calcified Algae, & Coral Reefs | 47.050 | | OCE-1041270 | 260,366.32 |
| RUI: Ocean Acidification - Category 1 - The Effects of Ocean Acidification on the Organismic Biology & Community Ecology of Corals, Calcified Algae, & Coral Reefs | 47.050 | | OCE-1041270 | 252,686.88 |
| Smear-Slide Reference Set & Atlas for IODP Shipboard Sedimentologists Phase II: Biogenic & Other Authigenic Components | 47.050 | CONSORTIUM FOR OCEAN LEADERSHIP | SA 13-39 | 74,422.05 |
| Long Term Ecological Research: Coral Reefs in Moorea, French Polynesia | 47.050 | UNIVERSITY OF CALIFORNIA AT SANTA BARBARA | KK1327 | 92,223.60 |
| Long Term Ecological Research: Coral Reefs in Moorea, French Polynesia | 47.050 | UNIVERSITY OF CALIFORNIA AT SANTA BARBARA | KK1324 | 95,833.87 |
| Frequency, Timing, and Size of San Gorgonio Pass Fault Zone Ruptures: Local Source or Through-going San Andreas Events | 47.050 | UNIVERSITY OF SOUTHERN CALIFORNIA | Y82053 | 4,497.80 |
| Paleoseismology and Slip Rate of the Garnet Hill Fault at Whitewater Hill | 47.050 | UNIVERSITY OF SOUTHERN CALIFORNIA | Y82053 | 12,886.00 |
| RUI: Ocean Acidification: Scope for Resilience to Ocean Acidification in Macroalgae | 47.050 | | OCE-1316198 | 150,941.86 |
| Collaborative Research: RUI - Ecology and Functional Biology of Octocoral Communities | 47.050 | | OCE-1332915 | 74,272.45 |
| Collaborative Research: Elucidating the Geologic Record of Late Paleozoic Subduction Initiation Along the Southwestern Margin of Laurentia, Southern California and Sonora, Mexico | 47.050 | | EAR-1322043 | 27,969.08 |
| Coping with Change: Water Availability and And Land Management | 47.050 | | ICER-1313656 | 18,324.97 |
| CAREER: Investigating Controls on Arc Flare-ups and the Growth of Lower Continental Crust | 47.050 | | EAR-1352021 | 30,036.63 |
| RUI: Collaborative: Evaluating the Influence of Crustal Deformation on Episodic Magmatism: Southern Coast Mountains of Batholith, BC | 47.050 | | EAR-1347219 | 1,537.59 |
| RUI/Collaborative Research: Plio-Quaternary History of Basin Evolution, Climate Change, and Fold Growth in the Qaidam Basin - Investigating Wind-Enhanced Climate-Tectonic Feedback Relationships | 47.050 | | EAR-1348075 | 11,425.47 |
| Mid-Late Pleistocene Slip Rate through the San Gorgonio Pass from Cosmogenic Dating of the Heights Fanlomerate | 47.050 | UNIVERSITY OF SOUTHERN CALIFORNIA | Y82056 | 4,509.40 |
| CIF: Small: RUI: Mathematical Problems in Space-Time Block Codes for MIMO Systems | 47.070 | | CCF-1318260 | 18,878.49 |
| RUI-LTREB Long Term Coral Reef Community Dynamics in St. John, USVI: 1987-2019 | 47.074 | | DEB-0841441 | 85,543.46 |
| RUI: NMR Investigation of the Role of Protein Dynamics in the Selections Between RGS and Galpha Signaling Proteins | 47.074 | | MCB-1158177 | 132,600.03 |
| RUI-LTREB Renewal: Three Decades of Coral Reef Community Dynamics in St. John, USVI: 2014-2019 | 47.074 | | DEB-1350146 | 3,727.72 |

See accompanying notes to the Schedule of Expenditures of Federal Awards and independent auditors' report.

**THE UNIVERSITY CORPORATION
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2014**

| <u>Federal Grantor/Program Title</u> | <u>Federal CFDA Number</u> | <u>Pass-through Grantor</u> | <u>Grant Award or Contract Number</u> | <u>Federal Expenditures</u> |
|---|----------------------------|---|---------------------------------------|-----------------------------|
| RUI: REVSYS: Integrative Systematics of Gekkotan Lizards-Phylogenetic Resolution, Taxonomic Revision & Comparative Biology | 47.074 | VILLANOVA UNIVERSITY | BAUER/5-25588 | 1,145.39 |
| LTREB: Experimental tests of Alternative States on Rocky Intertidal Shores | 47.074 | UNIVERSITY OF PENNSYLVANIA | 555250 | 25,948.13 |
| RUI: The Role of Facial Physiognomy in Stereotypic Trait Inference | 47.075 | | BCS-1226143 | 40,533.72 |
| Graduate Research Fellowship Program (GRFP) | 47.076 | | DGE-1339018 | 77,055.06 |
| STEPS (Students Targeting Engineering & Physical Science) | 47.076 | | DUE-0969627 | 224,569.78 |
| CSUN's LSAMP Bridges to the Doctorate Program | 47.076 | UNIVERSITY ENTERPRISES, INC (CSU, SACRAMENTO AUXILIARY) | HRD-1139803-520571 | 51,575.04 |
| CSU Louis Stokes Alliance for Minority Participation, Phase IV | 47.076 | UNIVERSITY ENTERPRISES, INC (CSU, SACRAMENTO AUXILIARY) | HRD-0802628-515355 | 23,374.13 |
| CSU Louis Stokes Alliance for Minority Participation, Phase V | 47.076 | UNIVERSITY ENTERPRISES, INC (CSU, SACRAMENTO AUXILIARY) | 523861 | 29,258.23 |
| Transition to College Statistics | 47.076 | WESTERN MICHIGAN UNIV | 257015240 | 27,548.55 |
| Workshop on Chinese Ways of Innovation: Strategic Directions of Research on China Innovation | 47.079 | | OISE-1066036 | 5,269.01 |
| Collaborative Research: A 3D Seismic Study of the Pacific-North American Plate Boundary in Southern California/ARRA (American Recovery & Reinvestment Act) | 47.082 | | OCE-0824982 | 24,527.24 |
| RUI: Observations & Modeling of Solar Total & Spectral Variability/ARRA (American Recovery & Reinvestment Act) | 47.082 | | ATM-0848515 | 31,789.41 |
| RUI: The Role of Childhood Language Memory in Adult Language Learning: Korean Adoptees Learning Korean as Adults/ARRA (American Recovery & Reinvestment Act) | 47.082 | | BCS-0844106 | 91,092.89 |
| RUI: The Ecophysiological Basis of the Response of Coral Larvae & Early Life History Stages to Global Climate Change (ARRA (American Recovery & Reinvestment Act) | 47.082 | | OCE-0844785 | 1,010.66 |
| | | | | <u>3,890,645.32</u> |
| ENVIRONMENTAL PROTECTION AGENCY: | | | | |
| Assessing Net Wetland Change in California | 66.461 | SO CALIFORNIA COASTAL WATER RESOURCE PROJECT AUTHORITY | 8943 | 33,629.44 |
| | | | | <u>33,629.44</u> |
| DEPARTMENT OF ENERGY: | | | | |
| Novel Fractional Quantum Hall & Quantum Spin Hall Effects in Interacting Systems | 81.049 | | DE-FG02-06ER46305 | 110,472.47 |
| | | | | <u>110,472.47</u> |
| DEPARTMENT OF EDUCATION: | | | | |
| External Evaluation for Center of Excellence for Veterans student Success/Santa Monica College | 84.116 | SANTA MONICA COMMUNITY COLLEGE DISTRICT | AGREEMENT | 3,028.04 |
| Urban Planning & Sustainability Project | 84.116 | | P116M090010-11 | 26,809.16 |
| | | | | <u>29,837.20</u> |
| DEPARTMENT OF HEALTH AND HUMAN SERVICES: | | | | |
| CSUN-SFV Center to Reduce Health Disparities | 93.307 | | 5P20MD003938-05 | 627,502.14 |

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| <u>Federal Grantor/Program Title</u> | <u>Federal CFDA Number</u> | <u>Pass-through Grantor</u> | <u>Grant Award or Contract Number</u> | <u>Federal Expenditures</u> |
|--|----------------------------|--|---------------------------------------|-----------------------------|
| Family Source Program - Evaluation | 93.569 | CITY OF LOS ANGELES | 118514 | 66,552.32 |
| FamilySource Evaluation | 93.569 | CITY OF LOS ANGELES | C-123209 | 27,603.13 |
| Are You In? A Systems-Level Mixed-Method Analysis of the Effects of Quality Improvement Initiatives on Participating and Non Participating Providers | 93.575 | ADMINISTRATION FOR CHILDREN AND FAMILIES | 90YE0153-01-00 | 98,778.86 |
| Multi-Scale Modeling of Calcium Mediated Triggered Activity in the Heart | 93.837 | | 1R01HL101196-01 | 345,308.57 |
| Analysis of Neuroipoietic Cytokines and their Receptors in Diabetic Neuropathy | 93.853 | | 2R15NS060117-02 | 135,675.99 |
| Role of Slit Molecules in Neural Crest Delamination | 93.853 | | 2R15NS060099-02A1 | 152,366.71 |
| Interaction Between Alzheimer's Beta-Amyloid Peptide and Phospholipid Bilayers | 93.859 | | 1SC3GM099635-01 | 110,827.28 |
| Trauma, PTSD Symptomatology & Health Amount Multiethnic Older Women | 93.859 | | 1SC3GM094075-01 | 48,443.49 |
| Multiscale Simulations of Transport in DNA & DNA-Carbon Nanotube Systems | 93.859 | | 1SC3GM084838-01 | 152,712.98 |
| Characterization of Novel Subcellular Structures in Arabidopsis Thaliana | 93.859 | | 1SC2GM098160-01 | 92,914.08 |
| Recruitment and Fusion of ER Vesicles to the Legionella-Containing Vacuole (SCORE) | 93.859 | | 1SC2GM086312-01A1 | 116,010.96 |
| Daily Functioning, Cognition, & Caregiver Burden in MCI | 93.859 | | 5SC3GM094051-03 | 115,589.42 |
| An Investigation of the Mechanisms by which Down-Regulation of Lipoprotein Lipase Increase Insulin Sensitivity in Muscle Cells | 93.859 | | 1SC3GM095413-01 | 90,217.16 |
| Synthesis & DNA Binding Affinity Evaluation of Novel Gliovocarin-C-Glucosides | 93.859 | | 1SC3GM096899-01 | 89,340.61 |
| Mechanisms of Cytoskeleton Dynamics During Neural Crest Cell Chemoattraction | 93.859 | | 1SC3GM096904-01 | 112,120.17 |
| Molecular Characterization of Insect Pheromone Chemosensory Mutants in Nematodes | 93.859 | | 1SC2GM089602-01 | 96,121.26 |
| New Olefin Metathesis Catalysts Based on Innocuous & Abundant Metals | 93.859 | | 1SC3GM098217-01 | 74,026.36 |
| Recruitment of Rad 10 in Double-Strand Break Repair | 93.859 | | 1SC3GM093858-01 | 61,125.13 |
| Interface Quality Effects in Phospholipase Membrane Enzymology | 93.859 | | 1SC3GM096876-01 | 110,910.16 |
| Investigating Protein Dynamics in NT-4/5 & TrkB Receptor Interactions | 93.859 | | 1SC2GM094127-01 | 21,340.72 |
| Regulation of Akinete Differentiation in Nostoc Punctiforme | 93.859 | | 1SC1GM093998-01 | 181,123.08 |
| Phospholipid Dynamics & Lypolysis in Membrane Models | 93.859 | | 1SC3GM096878-01 | 70,147.90 |
| Role of E4BP4 in Glucocorticoid-Evoked Lymphocyte Apoptosis | 93.859 | | 2SC3GM081099-05 | 72,639.06 |
| Analysis of EGFR-dependent Sleep in C. Elegans | 93.859 | | 1SC2GM105487-01 | 146,312.94 |
| Tumor-Targeting Salmonella Expressing Apoptosis-Inducing Cytotoxic Proteins | 93.859 | | 1SC3GM098207-01A1 | 96,097.72 |
| | | | 2R25GM063787-09A1 | 558,014.53 |
| Option II MBRS RISE at CSUN | 93.859 | | | |
| MARC U-STAR at California State University, Northridge | 93.859 | | 5T34GM008395-23 | 24,127.85 |
| MARC U-STAR at California State University, Northridge | 93.859 | | 5T34GM008395-24 | 482,807.18 |
| Engineering Isobutylamine N-Hydroxylase for Applications in Antibiotic Biosynthesis | 93.859 | | 1SC2AI109500-01 | 120,744.10 |
| Elucidating the Function of the Lipid-Binding Protein OBI-1 in Pristionchus Pacificus | 93.859 | | | |
| Nematode Chemosensation | 93.859 | | 1SC3GM105579-01 | 64,685.36 |
| MARC U-STAR at California State University, Northridge | 93.859 | | 5T34GM008395-25 | 33,310.43 |

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| <u>Federal Grantor/Program Title</u> | <u>Federal CFDA Number</u> | <u>Pass-through Grantor</u> | <u>Grant Award or Contract Number</u> | <u>Federal Expenditures</u> |
|---|----------------------------|---|---------------------------------------|-----------------------------------|
| Psychosocial Benefits of Ethnic Diversity in Urban Middle Schools: Diversity Supplement | 93.865 | REGENTS UNIVERSITY OF CALIFORNIA | 0070 G PD248 | 124,016.72 <u>4,719,514.37</u> |
| Total Research & Development Cluster: | | | | <u><u>11,308,766.30</u></u> |
| Training & other Sponsored Activities: | | | | |
| DEPARTMENT OF AGRICULTURE: | | | | |
| Advancing Students' Competencies in the Health Sciences Through a Breastfeeding Education Program | 10.223 | | 2010-38422-21226 | 7,545.89 |
| Advancing Professional Competencies of Graduate Nutrition Students in the Area of Lactation Education | 10.223 | | 2013-38422-21305 | 36,547.38 <u>44,093.27</u> |
| DEPARTMENT OF DEFENSE | | | | |
| California State University System Strategic Language Initiative | 12.550 | CSU LONG BEACH FOUNDATION | S124910100CSUN | 69,346.86 |
| CSUN STARTALK Russian Language and Culture I | 12.900 | | H98230-13-1-0091 | 46,788.46 |
| STARTALK CSUN Russian Language and Culture Immersion Program | 12.900 | | H98230-14-1-0008 | 28,316.44 <u>144,451.76</u> |
| DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT: | | | | |
| Reducing the Childhood Obesity Rate Among Low Income Children in the Van Nuys Neighborhood | 14.514 | | HSIAC-10-CA-11 | 4,326.65 <u>4,326.65</u> |
| DEPARTMENT OF JUSTICE: | | | | |
| Valley Trauma Center | 16.017 | EMERGENCY MANAGEMENT AGENCY | RC12261253 | 14,584.00 |
| Northwestern Los Angeles County Rape Crisis Center | 16.017 | EMERGENCY MANAGEMENT AGENCY | RC12151253 | 22,598.00 |
| Valley Trauma Center | 16.017 | EMERGENCY MANAGEMENT AGENCY | RC13271253 | 91,585.00 |
| Northwestern Los Angeles County Rape Crisis Center | 16.017 | EMERGENCY MANAGEMENT AGENCY | RC13161253 | 90,993.00 |
| OVW Fiscal Year 2011 Legal Assistance for Victims | 16.524 | NEIGHBORHOOD LEGAL SERVICES OF LOS ANGELES COUNTY | MOU | 7,137.70 |
| Valley Trauma Center | 16.575 | EMERGENCY MANAGEMENT AGENCY | RC12261253 | 29,481.00 |
| Valley Trauma Center | 16.575 | EMERGENCY MANAGEMENT AGENCY | RC13271253 | 38,860.00 |
| Northwestern Los Angeles County Rape Crisis Center | 16.575 | EMERGENCY MANAGEMENT AGENCY | RC13161253 | 14,780.00 |
| Valley Trauma Center | 16.588 | EMERGENCY MANAGEMENT AGENCY | RC13271253 | 60,568.00 |
| The L.A. Domestic Abuse Response Team Expansion and Enhancement Project (DARTEE) | 16.590 | CITY OF LOS ANGELES | C-123873 | 36,823.85 <u>407,410.55</u> |
| DEPARTMENT OF LABOR | | | | |
| LA City EWDD 2013-14 Evaluation Project | 17.258 | CITY OF LOS ANGELES | 123693 | 13,863.33 |
| LA City EWDD 2013-14 Evaluation Project | 17.259 | CITY OF LOS ANGELES | 123693 | 13,881.89 |

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| <u>Federal Grantor/Program Title</u> | <u>Federal CFDA Number</u> | <u>Pass-through Grantor</u> | <u>Grant Award or Contract Number</u> | <u>Federal Expenditures</u> |
|--|----------------------------|---------------------------------------|---------------------------------------|-----------------------------|
| WIB Subaward | | SOUTH BAY WORKFORCE INVESTMENT BOARD | 11-W205 | (36,459.71) |
| LA City EWDD 2013-14 Evaluation Project | 17.277 17.278 | CITY OF LOS ANGELES | 123693 | <u>9,382.06</u> |
| | | | | <u><u>667.57</u></u> |
| DEPARTMENT OF THE TREASURY: | | | | |
| Bookstein Tax Clinic (CONTROVERSY) | 21.008 | | 13LITC0020 | 50,382.42 |
| Bookstein Tax Clinic- ESL | 21.008 | | 13LITC0020 | 23,000.00 |
| Bookstein Tax Clinic (CONTROVERSY) | 21.008 | | 13LITC0044-02-01 | 17,413.69 |
| Bookstein Tax Clinic (ESL) | 21.008 | | 13LITC0044-02-01 | <u>8,513.93</u> |
| | | | | <u><u>99,310.04</u></u> |
| NATIONAL ENDOWMENT FOR THE HUMANITIES: | | | | |
| Proposal for Creating a Digital Database of the African American Photography Collection of the Institute for Arts & Media at California State University, Northridge | 45.149 | | PW-51305-13 | 38,432.28 |
| From Countryside to the City: The Urban Turn of Contemporary Chinese Art | 45.161 | AMERICAN COUNCIL OF LEARNED SOCIETIES | AGREEMENT | 48,594.85 |
| Creating a New Minor in Middle Eastern and Islamic Studies at CSUN | 45.162 | | AC-50089-11 | <u>12,090.75</u> |
| | | | | <u><u>99,117.88</u></u> |
| NATIONAL SCIENCE FOUNDATION: | | | | |
| MRI: Acquisition of Real Time Motion Analysis System | 47.041 | UC LOS ANGELES | CMMI-1338035 | 262,214.41 |
| MRI: Acquisition of a 600 MHz NMR Spectrometer to Greatly Enhance Research & Expand Teaching Activities @ CSU Northridge | 47.049 | | CHE-1040134 | 5,582.14 |
| CSUN NSF Teaching Fellowship Program | 47.076 | | DUE-0934972 | 184,408.26 |
| MESA/NSF S-STEM Scholarship - CSUN | 47.076 | REGENTS UNIVERSITY OF CALIFORNIA | 12-MESA-631188-8-455 | 17,375.00 |
| MESA/NSF S-STEM Scholarship - CSUN | 47.076 | REGENTS UNIVERSITY OF CALIFORNIA | 13-MESA-631188-8-455 | <u>2,500.00</u> |
| | | | | <u><u>472,079.81</u></u> |
| DEPARTMENT OF EDUCATION: | | | | |
| Family Focus Center-Family Empowerment | 84.027 | CAL DEPT OF EDUCATION | 11-14301Z01700 | 1,477.66 |
| Family Focus Center-Family Empowerment | 84.027 | CAL DEPT OF EDUCATION | 12-14301-Z017-00 | 4,377.55 |
| Family Focus Empowerment Center | 84.027 | CAL DEPT OF EDUCATION | 13-14301-Z017-00 | 191,471.95 |
| CSUN Special Education Intern Program (CAIP) | 84.027 | LA COUNTY OFFICE OF EDUCATION | 093888-12-13 | 20,455.92 |
| Improving Support & Academic Success for Latino & Low-Income Students | 84.031 | | P031S100051-12 | 66,421.10 |
| CSU, Northridge Engineering & Computer Science HSI-STEM Initiative | 84.031 | | P031C110031-12 | 301,255.10 |
| CSU, Northridge Engineering & Computer Science HSI-STEM Initiative | 84.031 | | P031C110031-13 | 659,173.23 |
| Educating Hispanic Students for the 21st Century | 84.031 | | P031M105020 | 454,493.91 |
| Improving Support & Academic Success for Latino & Low-Income Students | 84.031 | | P031S100051-13 | 478,430.52 |
| Student Support Services Project at CSU, Northridge | 84.042 | | P042A101371-12 | 37,445.06 |
| Student Support Services Project at CSU, Northridge | 84.042 | | P042A101371-13 | 174,972.61 |
| Talent Search San Fernando Valley Northeast (Region 1) | 84.044 | | P044A110416-12 | 59,140.88 |
| Talent Search San Fernando Valley North Central (Region 2) | 84.044 | | P044A110914-12 | 76,275.79 |
| Talent Search San Fernando Valley Northeast | 84.044 | | P044A110416-13 | 185,152.88 |

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| <u>Federal Grantor/Program Title</u> | <u>Federal CFDA Number</u> | <u>Pass-through Grantor</u> | <u>Grant Award or Contract Number</u> | <u>Federal Expenditures</u> |
|--|----------------------------|--------------------------------------|---------------------------------------|-----------------------------|
| Talent Search San Fernando Valley North Central | 84.044 | | P044A110914-13 | 189,146.94 |
| Upward Bound San Fernando Valley - North Central | 84.047 | | P047A121709 | 102,815.35 |
| Upward Bound Math & Science | 84.047 | | P047M090337-12 | 107,353.24 |
| Upward Bound San Fernando Valley - North Central | 84.047 | | P047A121709-13 | 154,606.04 |
| Workability IV | 84.126 | DEPT OF REHABILITATION | 28212 | 1,154.60 |
| Workability IV | 84.126 | DEPT OF REHABILITATION | 28212 | 183,210.28 |
| Family Focus Resource Centers (SFV/SCV & AV) | 84.181 | NO LA COUNTY REGIONAL CTR | AGREEMENT | 2,838.00 |
| Family Resource Center/Network (FRC/N) | 84.181 | NO LA COUNTY REGIONAL CTR | AGREEMENT | 113,833.47 |
| Ronald E. McNair Post-Baccalaureate Achievement | 84.217 | | P217A090315 | 207,054.52 |
| Restructuring Education Around Clinical Practice in High-Need Schools: Project REACH | 84.325 | | H325T110011 | 302,441.35 |
| Combined Priority for Personnel Development Center for Results in Education & Employment for Deaf Students: Research & Collaboration for System Change | 84.325 | | H325K100426 | 178,402.60 |
| Center for Results in Education & Employment for Deaf Students: Research & Collaboration for System Change | 84.326 | | H326D110003-13 | 2,741,381.61 |
| Center for Results in Education & Employment for Deaf Students: Research & Collaboration for System Change | 84.326 | | H326D110003-12 | 1,023,721.83 |
| GEAR UP Southgate College Partnership | 84.334 | LOS ANGELES UNIFIED SCHOOL DISTRICT | 1300030 | 206.80 |
| Project STEPS | 84.334 | LOS ANGELES UNIFIED SCHOOL DISTRICT | 1200262 | 82,236.96 |
| LASSO/Gear Up | 84.334 | LOS ANGELES UNIFIED SCHOOL DISTRICT | 0800739 | 1.03 |
| Project GRAD Los Angeles | 84.334 | PROJECT GRAD LOS ANGELES | AGREEMENT | 49,820.60 |
| Preparing Asian ESL and Bilingual Teachers for the 21st Century Classroom | 84.365 | | T365Z110101 | 361,704.81 |
| Professional Development Services in Support of Private Schools | 84.367 | LOS ANGELES UNIFIED SCHOOL DISTRICT | 1100190 | 8,509.00 |
| Professional Development Services in Support of Private Schools | 84.367 | LOS ANGELES UNIFIED SCHOOL DISTRICT | 1100188 | 20,171.00 |
| 2013-2014 SEED Professional Development in a High-Need School Grant | 84.367 | NATIONAL WRITING PROJECT CORPORATION | 09-CA20-SEED2012 | 13,445.44 |
| Cal State Northridge Writing Project - NCLB Funding (No Child Left Behind) | 84.367 | REGENTS UNIVERSITY OF CALIFORNIA | NCLB8-CWP-NORTHRIDGE | 34,535.19 |
| Student Improvement Through Teacher Empowerment (SITTE) | 84.367 | CAL POSTSECONDARY EDUC COMMISSION | ITQ-09-607 | 79,304.86 |
| Enhancing Quality with Teachers of Students who are Deaf/Hard of Hearing | 84.367 | CAL POSTSECONDARY EDUC COMMISSION | ITQ-11-812 | 131,752.96 |
| Single Subject University Intern Program (SSUIP) | 84.367 | LOS ANGELES UNIFIED SCHOOL DISTRICT | 1300022 | 75,263.45 |
| SEED Teacher Leadership Development Grant | 84.367 | NATIONAL WRITING PROJECT CORPORATION | 09-CA20-SEED2012 | 11,668.25 |
| San Fernando Valley Science Project (NCLB9) | 84.367 | REGENTS UNIVERSITY OF CALIFORNIA | NCLB9-CSP-NORTHRIDGE | 2,129.27 |
| San Fernando Valley Science Project - NCLB 10 | 84.367 | REGENTS UNIVERSITY OF CALIFORNIA | NCLB10-CSP-NORTHRIDGE | 41,484.58 |
| SEED Professional Development in a High-Need School Grant | 84.367 | NATIONAL WRITING PROJECT CORPORATION | 09-CA20-SEED2012 | 737.38 |
| A Teaching Residency Program in Special Education: Improving Achievement of Students with Disabilities in High-Need Schools (American Recovery & Reinvestment Act) | 84.405 | | U405A100082 | 1,742,059.89 |
| | | | | <u>10,673,635.46</u> |

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**THE UNIVERSITY CORPORATION
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| <u>Federal Grantor/Program Title</u> | <u>Federal CFDA Number</u> | <u>Pass-through Grantor</u> | <u>Grant Award or Contract Number</u> | <u>Federal Expenditures</u> |
|--|----------------------------|--|---------------------------------------|-----------------------------|
| DEPARTMENT OF HEALTH AND HUMAN SERVICES: | | | | |
| California Rape Crisis Centers Rape Prevention & Education (RPE) Program Grantees | 93.136 | CALIFORNIA DEPT OF PUBLIC HEALTH | 12-10417 | 68,307.38 |
| California Rape Crisis Centers Rape Prevention & Education (RPE) Program Grantees | 93.136 | CALIFORNIA DEPT OF PUBLIC HEALTH | 12-10417 | 50,288.54 |
| CSUN Suicide Prevention and Awareness Program | 93.243 | | 1U79SM060524-01 | (906.57) |
| CSUN Suicide Prevention and Awareness Program | 93.243 | | 5U79SM060524-02 | 111,669.52 |
| CSUN/TTC START: Services for Telehealth and Rapid Testing | 93.243 | | 1H79SP020199-01 | 203,566.76 |
| Parent Links | 93.251 | CAL DEPT OF EDUCATION | 12-15129-Z017-00 | 26,909.83 |
| Parent Links | 93.251 | CAL DEPT OF EDUCATION | 13-15129-Z017-00 | 50,084.67 |
| Child Abuse & Neglect Prevention, Intervention and Treatment Program Services (CAPIT) | 93.556 | LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES | AB1733/AB2994 | 2,365.80 |
| Family Support Services for SPA 2 | 93.556 | LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES | 04-024-12 | 1,010.23 |
| Family Preservation Services for North Hollywood | 93.556 | LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES | 04-025-54&05-028-11 | 30,514.81 |
| Family Preservation Services for Santa Clarita | 93.556 | LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES | 04-025-55 | 27,965.80 |
| Adoption Promotion & Support Services Program - SPA 2 | 93.556 | LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES | 04-025-25 | 3,191.96 |
| Child Abuse and Neglect Prevention, Intervention and Treatment Program Services (CAPIT) | 93.556 | LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES | AB1733/AB2994 | 99,447.40 |
| Family Support Services - SPA 2 | 93.556 | LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES | 04-024-12 | 126,928.13 |
| Family Preservation Services for North Hollywood | 93.556 | LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES | 04-025-54&05-028-11 | 571,177.38 |
| Family Preservation Program - Santa Clarita | 93.556 | LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES | 04-025-55 | 510,340.34 |
| Adoption Promotion and Support Services Program - SPA2 | 93.556 | LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES | 04-024-25 | 210,680.47 |
| Inter-University Consortium (IUC) Training Service Contract | 93.658 | LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES | 08-053-6 | 285,850.99 |
| Inter-University Consortium (IUC) Training Service Contract | 93.658 | LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES | 08-53-06 | 5,791.72 |
| CalSWEC Title IV-E Funding Stipend Program | 93.658 | REGENTS OF THE UNIVERSITY OF CALIFORNIA | 00007943 | 5,535.48 |
| Title IV-E Social Work Training Program | 93.658 | REGENTS OF THE UNIVERSITY OF CALIFORNIA | 00008298 | 1,136,067.02 |
| Telephone-Based Support & Education to Promote Breastfeeding Rates of Latina Medicaid Recipients | 93.779 | | 1HOCMS3308303-02-00 | 32,705.31 |
| Women's Health Resources Dissemination Outreach Project | 93.879 | ICF INCORPORATED, L.L.C. | 13EDPO0014 | 8,596.40 |
| | | | | <u>3,568,089.37</u> |

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**THE UNIVERSITY CORPORATION
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| <u>Federal Grantor/Program Title</u> | <u>Federal CFDA Number</u> | <u>Pass-through Grantor</u> | <u>Grant Award or Contract Number</u> | <u>Federal Expenditures</u> |
|--|----------------------------|-------------------------------------|---------------------------------------|-----------------------------|
| CORPORATION FOR NATIONAL AND COMMUNITY SERVICE: | | | | |
| JumpStart Program | 94.006 | JUMPSTART | 220200 | 39.83 |
| JumpStart Program | 94.006 | JUMPSTART | 220200 | 10,285.23 |
| JumpStart Program | 94.006 | JUMPSTART | 220200 | 59,677.55 |
| | | | | <u>70,002.61</u> |
| DEPARTMENT OF HOMELAND SECURITY: | | | | |
| Boating Safety Grant | 97.012 | BOATING & WATERWAYS TRUSTEES CAL ST | 11-201-009 | 40,000.00 |
| Systems, Video Assessment, Security | 97.067 | UNIVERSITY | X0068212-NRAUX | 494.69 |
| | | | | <u>40,494.69</u> |
| NATIONAL AERONAUTICS AND SPACE ADMINISTRATION: | | | | |
| Development of an Internship Honors Co-op Program Between Pratt & Whitney and CECS | N/A | AEROJET ROCKETDYNE | 4410008305 | 182,913.00 |
| | | | | <u>182,913.00</u> |
| Total Training & Other Sponsored Activities: | | | | <u>15,806,492.66</u> |
| Total Expenditures of Federal Awards | | | | <u>27,115,258.96</u> |

See accompanying notes to the Schedule of Expenditures of Federal Awards and independent auditors' report.

**THE UNIVERSITY CORPORATION
NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
JUNE 30, 2014**

NOTE 1: GENERAL

The accompanying schedule of expenditures of federal awards presents the activity of all federal awards programs of The University Corporation, California State University, Northridge (a California State University Auxiliary Organization) (the Corporation). The schedule of expenditures of federal awards includes federal awards programs of the Corporation received directly from federal agencies as well as federal awards passed through other agencies. The Corporation's reporting entity is defined in Note 1 to the Corporation's financial statements.

NOTE 2: BASIS OF ACCOUNTING

The accompanying schedule of expenditures of federal awards is presented using the accrual basis of accounting which is described in Note 2 of the Corporation's financial statements.

NOTE 3: RELATIONSHIP TO BASIC FINANCIAL STATEMENTS AND FEDERAL FINANCIAL REPORTS

Information reported in the accompanying schedule of expenditures of federal awards agrees with the amounts reported in both the basic financial statements and related federal financial reports for the major federal programs.

NOTE 4: AMOUNTS PROVIDED TO SUBRECIPIENTS

Of the federal expenditures presented in the accompanying schedule of expenditures of federal awards, the Corporation provided federal awards to subrecipients as follows:

| <u>Number</u> | <u>Federal Grantor /Program Title</u> | <u>Amount</u> |
|---------------|---|---------------|
| | DEPARTMENT OF DEFENSE: | |
| 12.351 | Electronic Structure of Semiconductor Detector Surfaces & Contact Interfaces: Optimization of Signal-to-Noise Ratio | 175,266.77 |
| | NATIONAL AERONAUTICS AND SPACE ADMINISTRATION: | |
| 43.008 | Mathematical and Geospatial Pathways to Climate Change Education | 36,964.05 |
| | NATIONAL SCIENCE FOUNDATION: | |
| 47.049 | PREM - Computational Research and Education for Emergent Material | 56,813.43 |
| 47.074 | RUI-LTREB Long Term Coral Reef Community Dynamics in St. John, USVI: 1987-2019 | 18,713.04 |
| 47.082 | RUI: The Role of Childhood Language Memory in Adult Language Learning: Korean Adoptees Learning/ARRA (American Recovery & Reinvestment Act) | 67,478.80 |

**THE UNIVERSITY CORPORATION
NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
JUNE 30, 2014**

NOTE 4: AMOUNTS PROVIDED TO SUBRECIPIENTS (CONT.)

| <u>Number</u> | <u>Federal Grantor /Program Title</u> | <u>Amount</u> |
|---------------|---|-------------------------------|
| | DEPARTMENT OF EDUCATION: | |
| 84.031 | CSU, Northridge Engineering & Computer Science HSI-STEM Initiative | 166,314.88 |
| 84.031 | CSU, Northridge Engineering & Computer Science HSI-STEM Initiative | 204,357.21 |
| 84.326 | Center for Results in Education & Employment for Deaf Students: Research & Collaboration for System Change | 1,128,788.53 |
| 84.326 | Center for Results in Education & Employment for Deaf Students: Research & Collaboration for System Change | 606,414.84 |
| | DEPARTMENT OF HEALTH AND HUMAN SERVICES: | |
| 93.243 | CSUN/TTC START: Services for Telehealth and Rapid Testing Are You In? A Systems-Level Mixed-Method Analysis of the Effects of Quality Improvement Initiatives on Participating and Non Participating Providers | 74,999.97 |
| 93.575 | | 58,323.00 |
| 93.837 | Multi-Scale Modeling of Calcium Mediated Triggered Activity in the Heart | 177,781.63 |
| | | <u>\$ 2,772,216.15</u> |