

**THE UNIVERSITY CORPORATION**

**SINGLE AUDIT REPORTS**

**JUNE 30, 2013**

**THE UNIVERSITY CORPORATION**

**SINGLE AUDIT REPORTS**

**JUNE 30, 2013**

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CERTIFIED PUBLIC ACCOUNTANTS  
&  
BUSINESS CONSULTANTS

September 17, 2013

**INDEPENDENT AUDITORS' REPORT ON INTERNAL CONTROL  
OVER FINANCIAL REPORTING AND ON COMPLIANCE  
AND OTHER MATTERS BASED ON AN AUDIT OF  
FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE  
WITH GOVERNMENT AUDITING STANDARDS**

To The Board of Directors  
The University Corporation  
California State University, Northridge  
Northridge, California

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in Government Auditing Standards issued by the Comptroller General of the United States, the financial statements of The University Corporation, California State University, Northridge (a California State University Auxiliary Organization) (the Corporation), which comprise the statement of financial position as of June 30, 2013, and the related statements of activities, and cash flows for the year then ended, and the related notes to the financial statements, and have issued our report thereon dated September 17, 2013.

**Internal Control Over Financial Reporting**

In planning and performing our audit of the financial statements, we considered the Corporation's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Corporation's internal control. Accordingly, we do not express an opinion on the effectiveness of the Corporation's internal control.

*A deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

**INDEPENDENT AUDITORS' REPORT ON INTERNAL CONTROL  
OVER FINANCIAL REPORTING AND ON COMPLIANCE  
AND OTHER MATTERS BASED ON AN AUDIT OF  
FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE  
WITH GOVERNMENT AUDITING STANDARDS (CONT.)**

**Compliance and Other Matters**

As part of obtaining reasonable assurance about whether the Corporation's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

**Purpose of this Report**

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the organization's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the organization's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

*NSBN LLP*

NSBN LLP  
Beverly Hills, CA





CERTIFIED PUBLIC ACCOUNTANTS  
&  
BUSINESS CONSULTANTS

September 17, 2013

**INDEPENDENT AUDITORS' REPORT ON COMPLIANCE FOR EACH MAJOR PROGRAM AND  
ON INTERNAL CONTROL OVER COMPLIANCE REQUIRED BY OMB CIRCULAR A-133**

To The Board of Directors  
The University Corporation  
California State University, Northridge  
Northridge, California

**Report on Compliance for Each Major Federal Program**

We have audited the compliance of The University Corporation, California State University, Northridge (a California State University Auxiliary Organization) (the Corporation), with the types of compliance requirements described in the OMB Circular A-133 Compliance Supplement that could have a direct and material effect on each of the Corporation's major federal programs for the fiscal year ended June 30, 2013. The Corporation's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs.

**Management's Responsibility**

Management is responsible for compliance with the requirements of laws, regulations, contracts and grants applicable to its federal programs.

**Auditor's Responsibility**

Our responsibility is to express an opinion on compliance for each of the Corporation's major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the Corporation's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of the Corporation's compliance.

**INDEPENDENT AUDITORS' REPORT ON COMPLIANCE FOR EACH MAJOR PROGRAM AND  
ON INTERNAL CONTROL OVER COMPLIANCE REQUIRED BY OMB CIRCULAR A-133  
(CONT.)**

**Opinion on Each Major Federal Program**

In our opinion, The University Corporation, California State University, Northridge complied, in all material respects, with the requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2013.

**Report on Internal Control Over Compliance**

Management of the Corporation is responsible for establishing and maintaining effective internal control over compliance with the types of requirements referred to above. In planning and performing our audit of compliance, we considered the Corporation's internal control over compliance with requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness the Corporation's internal control over compliance.

*A deficiency in internal control over compliance* exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of the internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

This purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of OMB Circular A-133. Accordingly, this report is not suitable for any other purpose.

**INDEPENDENT AUDITORS' REPORT ON COMPLIANCE FOR EACH MAJOR PROGRAM AND  
ON INTERNAL CONTROL OVER COMPLIANCE REQUIRED BY OMB CIRCULAR A-133  
(CONT.)**

**Schedule of Expenditure of Federal Awards**

We have audited the financial statements of the Corporation as of and for the year ended June 30, 2013, and have issued our report thereon dated September 17, 2013, which contained an unmodified opinion on those financial statements. Our audit was conducted for the purpose of forming an opinion on the financial statements as a whole. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by OMB Circular A-133, and is not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the schedule of expenditures of federal awards is fairly stated in all material respects in relation to the financial statements as a whole.

*NSBN LLP*  
NSBN LLP  
Beverly Hills, CA

THE UNIVERSITY CORPORATION  
 SCHEDULE OF FINDINGS AND QUESTIONED COSTS  
 FOR THE YEAR ENDED JUNE 30, 2013

**Section I - Summary of Auditor's Results**

**Financial Statements**

Type of auditor's report issued: Unqualified

Internal control over financial reporting:

- Material weakness(es) identified? \_\_\_\_\_ Yes \_\_\_\_\_ X No
- Significant deficiencies identified that are not considered to be material weakness(es)? \_\_\_\_\_ Yes \_\_\_\_\_ X None reported
- Noncompliance material to financial statements noted? \_\_\_\_\_ Yes \_\_\_\_\_ X No

**Federal Awards**

Internal control over major programs:

- Material weakness(es) identified? \_\_\_\_\_ Yes \_\_\_\_\_ X No
- Significant deficiencies identified that are not considered to be material weakness(es)? \_\_\_\_\_ Yes \_\_\_\_\_ X None reported

Type of auditors' report issued on compliance for major programs: Unqualified

Any audit findings disclosed that are required to be reported in accordance with section 510(a) of Circular A-133? \_\_\_\_\_ Yes \_\_\_\_\_ X No

**Major programs:**

CFDA Number(s)	Name of Federal Program or Cluster
Various 47.076 84.326 84.405	Research and Development Cluster Education and Human Resources Special Education Technical Assistance Teacher Quality Partnerships, Recovery Act (ARRA)

Dollar threshold used to distinguish between type A and type B programs: \$840,420

Auditee qualified as low-risk? \_\_\_\_\_ X \_\_\_\_\_ Yes \_\_\_\_\_ No

See accompanying auditors' reports.



THE UNIVERSITY CORPORATION  
SCHEDULE OF FINDINGS AND QUESTIONED COSTS  
FOR THE YEAR ENDED JUNE 30, 2013

**Section II - Financial Statement Findings**

None

See accompanying auditors' reports.

THE UNIVERSITY CORPORATION  
SCHEDULE OF FINDINGS AND QUESTIONED COSTS  
FOR THE YEAR ENDED JUNE 30, 2013

**Section III - Federal Award Findings and Questioned Costs**

None

See accompanying auditors' reports.

THE UNIVERSITY CORPORATION  
SCHEDULE OF PRIOR AUDIT FINDINGS AND QUESTIONED COSTS  
FOR THE YEAR ENDED JUNE 30, 2013

**Section IV - Prior Audit Findings and Questioned Costs**

**None**

See accompanying auditors' reports.

**THE UNIVERSITY CORPORATION  
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS  
FOR THE YEAR ENDED JUNE 30, 2013**

<u>Federal Grantor/Program Title</u>	<u>Federal CFDA Number</u>	<u>Pass-through Grantor</u>	<u>Grant Award or Contract Number</u>	<u>Federal Expenditures</u>
<b>Research &amp; Development Cluster:</b>				
<b>DEPARTMENT OF COMMERCE:</b>				
A Fisheries Independent Assessment of Spawning Biomass of Banded Sand Bass (Paralabrax Nebulifer)	11.417	CALIFORNIA SEA GRANT PROGRAM	NA10AOR4170060	9,951.36
California Broadband Field Testing/ARRA (American Recovery & Reinvestment Act)	11.558	CSU CHICO RESEARCH FND	09IA5851	28,074.90
California Broadband Field Testing/ARRA (American Recovery & Reinvestment Act)	11.558	CSU CHICO RESEARCH FND	09IA5851	27,628.12
California Broadband Field Testing/ARRA (American Recovery & Reinvestment Act)	11.558	CSU CHICO RESEARCH FND	09IA5851	22.32
				<u>65,676.70</u>
<b>DEPARTMENT OF DEFENSE:</b>				
Identification of Defect Candidates and Their Effects on Carrier Lifetimes and Dark Currents in InAs/InAsSb Strained-Layer	12.000	UNIVERSITY OF IOWA	W000397693	24,308.52
Scalable, Stochastic and Spatiotemporal Game Theory for Real-World Human Adversarial Behavior	12.300	UNIVERSITY OF SOUTHERN CALIFORNIA	158859	125,141.35
First-Principles Based Multiscale Modeling of Structure, Energetics and Mobility of Defects in Metal	12.300		N00014-11-1-0175	113,439.21
Climatic Monitoring Program on San Clemente Island	12.300		W9126G-10-2-0036	47,602.69
Electronic Structure of Semiconductor Detector Surfaces & Contact Interfaces: Optimization of Signal-to-Noise Ratio	12.351		HDTRA-10-1-0113	343,486.95
Stress-Controlled Catalysis via Engineered Nanostructures	12.431	BROWN UNIVERSITY	00000451	147,687.51
SiC/GaN Based Optically Triggered MESFET for High Power efficiency and High Radiation resistance Solid State Switch Application for Actuator System	12.630		W911NF-12-1-0068	225,308.42
A New Computational Tool for Understanding Light-Matter Interactions	12.630		W911NF-12-1-0072	124,300.87
Toward Computational Design of High-Efficiency Photovoltaics from First-Principles	12.630		W911-13-1-0147	11,832.35
Sub-Aperture Based Conformal Imaging System	12.800	OPTICAL PHYSICS COMPANY (OPC) FAR WESTERN ARCHAEOLOGICAL RESEARCH GROUP, INC.	OPC2344	18,248.79
TO 66 - Johnson Valley Survey	N/A		N62473-11-D-2220	22,302.10
Senior Design Projects Using Software Defined Radio (SDR)	N/A		F1S0BD2157M001	9,988.08
Alteration of Ram-Air Canopy Aerodynamic Characteristics Using the Flow-Through Concept	N/A		W911-QY-12-2-0002	48,372.81
Signal Integrity in far-field antenna measurements in time domain	N/A		N68936-12-P-0057	2,282.24
				<u>1,264,299.89</u>
<b>DEPARTMENT OF THE INTERIOR U.S. GEOLOGICAL SURVEY:</b>				
Mega-Trenching to Determine a Lengthy Record of San Geronio Pass Fault				
Zone Ruptures: Possible Super Earthquakes on the Southern San Andreas Fault System	15.807		G13AP00013	47,380.64
Weather and Fog-Drip Monitoring on Santa Rosa Island	15.808		G11AC20448	93.65

See accompanying notes to the Schedule of Expenditures of Federal Awards and independent auditors' report.

**THE UNIVERSITY CORPORATION  
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS  
FOR THE YEAR ENDED JUNE 30, 2013**

<u>Federal Grantor/Program Title</u>	<u>Federal CFDA Number</u>	<u>Pass-through Grantor</u>	<u>Grant Award or Contract Number</u>	<u>Federal Expenditures</u>
Local-Resolution National Hydrography Dataset Development, San Juan Subbasin, California	15.808		G12AC20200	5,864.37 <u>53,338.66</u>
<b>DEPARTMENT OF JUSTICE:</b>				
Father's Count Study	16.542	NORTH CAROLINA STATE UNIVERISTY	2008-0433-01	(0.01) <u>(0.01)</u>
<b>NATIONAL AERONAUTICS AND SPACE ADMINISTRATION:</b>				
Photometric Observations & Analysis in Support of SORCE/TIM & GLORY/TIM	43.001		NNX11AK46G	26,907.42
High Resolution Imaging & Spectroscopy of the Chandra Comet Survey II	43.001	SMITHSONIAN ASTROPHYSICAL OBSERVATORY	AR2-13000X	41,209.71
Observations and Analysis of Solar Total and Spectral Irradiance Variability	43.001		NNX11AB51G	23,976.90
NRA/Research Opportunities in Space & Earth Sciences-2009	43.001		NNX10AM81G	16,992.96
Microbial Viability in Extreme Environments	43.001	JET PROPULSION LABORATORY	1475160	3,598.77
Performing Thermal Conductivity of Icy Compositlons Relevant to Solar System Bodies	43.001	JET PROPULSION LABORATORY	147695	3,609.09
CSUN/JPL Partnership for CubeSat Development and Launch: Phase 1	43.001	JET PROPULSION LABORATORY	1473715	22,862.99
Influence of Cultural, Organizational & Automation Capability on Human Reliance on Automation: A Case Study of Air Force ACAT	43.008	AERO INSTITUTE	AERO 560	125,709.14
Mathematical and Geospatial Pathways to Climate Change Education	43.008		NNX11AL97A	134,123.50 <u>398,990.48</u>
<b>NATIONAL ENDOWMENT FOR THE HUMANITIES:</b>				
The Interland of Sinop, Turkey: A Case Study of the Origins & Development of Black Sea Trade	45.161		RZ-51060-09	17,062.50 <u>17,062.50</u>
<b>NATIONAL SCIENCE FOUNDATION:</b>				
NSF Nanosystems Engineering Research Center for Translational Applications of Nanoscale Multiferroic Systems TANMS	47.041	UC LOS ANGELES	0140 G QA005	41,893.20
NSF Nanosystems Engineering Research Center for Translational Applications of Nanoscale Multiferroic Systems TANMS	47.041	UC LOS ANGELES	0140 G QA005	32,653.51
NSF Nanosystems Engineering Research Center for Translational Applications of Nanoscale Multiferroic Systems TANMS	47.041	UC LOS ANGELES	0140 G QA005	49,641.65
RUI: Problems in Varieties of Communiting Matrices, Jet Schemes, & Division Algebras	47.049		DMS-0700904	10,518.61
Hierarchical Kinetic Models for Chemically & Hydrodynamically Coupled Organisms	47.049		DMS-1021850	1,515.53
RUI: Novel Radical & Ionic Reactions in Cobalt-Alkyne Series	47.049		CHE-1112129	79,183.82
RUI: Theoretical (Numerical) Investigations of Novel Quantum Phases & Transitions in Strongly Interacting Systems	47.049		DMR-0906816	113,491.91

See accompanying notes to the Schedule of Expenditures of Federal Awards and independent auditors' report.

**THE UNIVERSITY CORPORATION  
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS  
FOR THE YEAR ENDED JUNE 30, 2013**

Federal Grantor/Program Title	Federal CFDA Number	Pass-through Grantor	Grant Award or Contract Number	Federal Expenditures
"PUMP: Preparing Undergraduates Through Mentoring Towards Ph.D.'s"	47.049		DMS-0502258	329.44
Development Method to Predict Phase Separation & Charge Transport in Bulk Heterojunction Conjugated Polymer Solar Cells	47.049	REGENTS UNIVERSITY OF CALIFORNIA	LL118	108,416.33
EAGER: Towards Rapid Sequencing of Individual DNA Molecules in Graphene Nanogaps	47.049		DMR-1034937	1,694.27
RUI: Spectroscopy in bulk superfluid 4He	47.049		CHE-0949057	62,874.26
PREM-Multidisciplinary Research & Education in Computational Materials Science	47.049		DMR-0611562	13,156.72
PREM - Computational Research and Education for Emergent Materials	47.049		DMR-1205734	232,024.77
New Frontier in Materials Science: Artificial Nanosolids	47.049		DMR-1158668	38,103.09
Computational Methods in High-Energy Density Plasmas	47.049	UC LOS ANGELES-IPAM	PL2012	14,000.00
Computational Methods in High-Energy Density Plasmas	47.049	UC LOS ANGELES-IPAM	PL2012	13,842.00
CAREER: A Protable Solar Adaptive Optics System for High-Resolution Imaging Investigations	47.050		ATM-0841440	50,554.83
Career: Magnetic Tomography of Emerging Sunspots (MATES)	47.050		ATM-0548260	9,421.34
CAREER: Geodynamic Study of Earth's Mantle Asthenosphere & Core Formation	47.050		EAR-1151941	111,308.16
Collaborative Research: Depth Distribution of Anisotropic Fabric in the Ocean Mantle	47.050		OCE-0830798	17,789.40
Collaborative Research: Expedition 317 Objective Research - Linking Sediment Provenance to Supply & Lithofacies Formation on the Canterbury Margin	47.050		OCE-1080703	30,442.58
Collaborative Research: Investigation of a Late Jurassic Paired Magmatic Belt (Blue Mountains, NE Oregon): Evaluation of Magmatic Growth During Contractional Orogeny Time Scales And Dimensions Of Rheological Heterogeneity And Fabric Evolution In The Lower Continental Crust During Extensional Orogenic Collapse	47.050	UNIVERSITY OF ALABAMA	N/A	(762.80)
Smear-slide Reference Set & Atlas for IODP Shipboard Sedimentologists; Phase 1: Siliciclastic & Volcaniclastic Components	47.050	IODP MANAGEMENT INTERNATIONAL, INC.	IODP-MI-11-01	42,347.74
Long Term Ecological Research: Coral Reefs in Moorea, French Polynesia	47.050	UNIVERSITY OF CALIFORNIA AT SANTA BARBARA	KK1136	48,870.95
Long Term Ecological Research: Coral Reefs in Moorea, French Polynesia	47.050	UNIVERSITY OF CALIFORNIA AT SANTA BARBARA	KK1136	43,319.37
RUI: Ocean Acidification - Category 1 - The Effects of Ocean Acidification on the Organismic Biology & Community Ecology of Corals, Calcified Algae, & Coral Reefs	47.050		OCE-1041270	212,134.83
RUI: Ocean Acidification - Category 1 - The Effects of Ocean Acidification on the Organismic Biology & Community Ecology of Corals, Calcified Algae, & Coral Reefs	47.050		OCE-1041270	39,327.62
Smear-Slide Reference Set & Atlas for IODP Shipboard Sedimentologists Phase II: Biogenic & Other Authigenic Components	47.050	CONSORTIUM FOR OCEAN LEADERSHIP	SA 13-39	2,443.34

See accompanying notes to the Schedule of Expenditures of Federal Awards and independent auditors' report.

**THE UNIVERSITY CORPORATION  
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS  
FOR THE YEAR ENDED JUNE 30, 2013**

<u>Federal Grantor/Program Title</u>	<u>Federal CFDA Number</u>	<u>Pass-through Grantor</u>	<u>Grant Award or Contract Number</u>	<u>Federal Expenditures</u>
Mid-Late Pleistocene Slip Rate through the San Gorgonio Pass from Cosmogenic Dating of the Heights Fanglomerate	47.050	UNIVERSITY OF SOUTHERN CALIFORNIA	Y82056	13,490.51
Long Term Ecological Research: Coral Reefs in Moorea, French Polynesia	47.050	UNIVERSITY OF CALIFORNIA AT SANTA BARBARA	KK1327	60,908.47
Long Term Ecological Research: Coral Reefs in Moorea, French Polynesia	47.050	UNIVERSITY OF CALIFORNIA AT SANTA BARBARA	KK1324	42,728.73
Frequency, Timing, and Size of San Gorgonio Pass Fault Zone Ruptures: Local Source or Through-going San Andreas Events	47.050	UNIVERSITY OF SOUTHERN CALIFORNIA	Y82053	13,942.95
RUI: Ocean Acidification - Category 1 - The Effects of Ocean Acidification on the Organismic Biology & Community Ecology of Corals, Calcified Algae & Coral Reefs	47.050		OCE-1041270	186,642.33
Effect of PAH Exposure on Aquatic Plant Community Structure, Productivity & Resilience	47.074	UNIVERSITY OF SOUTH ALABAMA	10-100360-01	16,666.83
RUI: NMR Investigation of the Role of Protein Dynamics in the Selective Interactions Between RGS and Galpha Signaling Proteins	47.074		MCB-1158177	81,372.22
LTREB: Experimental tests of Alternative States on Rocky Intertidal Shores	47.074	UNIVERSITY OF PENNSYLVANIA	10026091	50,101.28
RUI-LTREB Long Term Coral Reef Community Dynamics in St. John, USVI: 1987-2019	47.074		DEB-0841441	98,332.02
RUI: The Role of Facial Physiognomy in Stereotypic Trait Inference	47.075		BCS-1226143	52,681.21
Establishing a CDIO Framework to Improve Learning & Retention				
in a Diverse Undergraduate Engineering Student Population	47.076		DUE-0737139	38,257.61
Graduate Research Fellowship Program (GRFP)	47.076		DGE-1339018	40,603.00
Participation on Expedition 317 of the Integrated Ocean Drilling Program & Sand Provenance & Sandstone Diagenesis in the Canterbury Basin, New Zealand	47.079	CONSORTIUM FOR OCEAN LEADERSHIP	BA-75 & T317A75	5,532.95
NEESR-CR: Performance-Based Design for Cost-Effective Seismic Hazard Mitigation in New Buildings/ARRA (American Recovery & Reinvestment Act)	47.082	LEHIGH UNIVERSITY	542138-8002	1,442.63
Collaborative Research: A 3D Seismic Study of the Pacific-North American Plate Boundary in Southern California/ARRA (American Recovery & Reinvestment Act)	47.082		OCE-0824982	31,619.31
RUI: Observations & Modeling of Solar Total & Spectral Variability/ARRA (American Recovery & Reinvestment Act)	47.082		ATM-0848515	60,736.97
RUI: The Role of Childhood Language Memory in Adult Language Learning: Korean Adoptees Learning/ARRA (American Recovery & Reinvestment Act)	47.082		BCS-0844106	133,276.30
RUI-The Ecophysiological Basis of the Response of Coral Larvae & Early Life History Stages to/ARRA (American Recovery & Reinvestment Act)	47.082		OCE-0844785	196,413.70
				<u>2,585,264.17</u>
<b>ENVIRONMENTAL PROTECTION AGENCY:</b>				
Assessing Net Wetland Change in California	66.461	SO CALIFORNIA COASTAL WATER RESOURCE PROJECT AUTHORITY	8943	5,149.47

See accompanying notes to the Schedule of Expenditures of Federal Awards and independent auditors' report.

**THE UNIVERSITY CORPORATION  
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS  
FOR THE YEAR ENDED JUNE 30, 2013**

<u>Federal Grantor/Program Title</u>	<u>Federal CFDA Number</u>	<u>Pass-through Grantor</u>	<u>Grant Award or Contract Number</u>	<u>Federal Expenditures</u>
				<u>5,149.47</u>
<b>DEPARTMENT OF ENERGY:</b>				
Hierarchical Petascale Simulation Framework for Stress Corrosion Cracking	81.049		DE-FC02-06ER25791	775.12
Novel Charge & Spin Fractional Quantum Hall Effects & New Emerging Quantum Phases in Interacting & Spin Orbit Coupling Coupling Electronic Materials	81.049		DE-FG02-06ER46305	99,620.06
A Nationwide Consortium of Universities to Revitalize Electric Power Engineering Education by State-of-the Art Laboratories (American Recovery & Reinvestment Act)	81.122	UNIVERSITY OF MINNESOTA	A000211514	<u>16,516.89</u>
				<u>116,912.07</u>
<b>DEPARTMENT OF HEALTH AND HUMAN SERVICES:</b>				
Multiple Social Tasks & Social Adjustment	93.242		5SC2MH087466-03	101,124.77
CSUN-SFV Center to Reduce Health Disparities	93.307		1P20MD003938-02	(3,335.00)
CSUN-SFV Center to Reduce Health Disparities	93.307		1P20MD003938-02	(2,791.77)
CSUN-SFV Center to Reduce Health Disparities	93.307		5P20MD003938-03	26.10
CSUN-SFV Center to Reduce Health Disparities	93.307		5P20MD003938-04	926,202.20
CSUN-SFV Center to Reduce Health Disparities	93.307		5P20MD003938-05	39,784.14
Support Person Use & Child Witness Stress, Accuracy & Perceived Credibility-ARRA (American Recovery & Reinvestment Act)	93.701		1R15HD065651-01	117,432.95
Multi-Scale Modeling of Calcium Mediated Triggered Activity in the Heart	93.837		1R01HL101196-01	180,990.91
Skeletal Muscle Insulin resistance: High Fat Diet & Inflammatory Pathways	93.847		2R15DK057625-04	56,473.03
Analysis of Neuropoietic Cytokines & Their Receptors in Diabetic Neuropathy	93.853		1R15NS060117-01A2	31,383.89
Role of Silt Molecules in Neural Crest Delamination	93.853		2R15NS060099-02A1	121,800.28
Analysis of Neuropoietic Cytokines and their Receptors in Diabetic Neuropath	93.853		2R15NS060117-02	81,211.89
Interaction Between Alzheimer's Beta-Amyloid Peptide and Phospholipid Bilayers	93.859		1SC3GM099635-01	92,401.48
Integrating Lipid Biosynthesis with Bacterial Cell Cycle Progression	93.859		1SC2GM084860-01	2,650.21
Trauma, PTSD Symptomatology & Health Amount Multiethnic Older Women	93.859		1SC3GM084075-01	115,707.75
Multiscale Simulations of Transport in DNA & DNA-Carbon Nanotube Systems	93.859		1SC3GM084838-01	3,806.97
Characterization of Novel Subcellular Structures in Arabidopsis Thaliana	93.859		1SC2GM098160-01	99,027.78
Role of E4BP4 in Glucocorticoid-Evoked Lymphocyte Apoptosis	93.859		5SC3GM081099-04	85,715.02
Recruitment and Fusion of ER Vesicles to the Legionella-Containing Vacuole (SCORE)	93.859		1SC2GM086312-01A1	88,532.68
Daily Functioning, Cognition, & Caregiver Burden in MCI	93.859		5SC3GM094051-03	109,532.35
An Investigation of the Mechanisms by which Down-Regulation of Lipoprotein Lipase Increase Insulin Sensitivity in Muscle Cells	93.859		1SC3GM095413-01	80,810.72
Synthesis & DNA Binding Affinity Evaluation of Novel Glivocarcin-C-Glucosides	93.859		1SC3GM096899-01	77,017.68

See accompanying notes to the Schedule of Expenditures of Federal Awards and independent auditors' report.



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<u>Federal Grantor/Program Title</u>	<u>Federal CFDA Number</u>	<u>Pass-through Grantor</u>	<u>Grant Award or Contract Number</u>	<u>Federal Expenditures</u>
Mechanisms of Cytoskeleton Dynamics During Neural Crest Cell Chemoattraction	93.859		1SC3GM096904-01	94,757.00
Molecular Characterization of Insect Pheromone Chemosensory Mutants in Nematodes	93.859		1SC2GM089602-01	73,525.23
New Olefin Metathesis Catalysts Based on Innocuous & Abundant Metals	93.859		1SC3GM098217-01	84,543.17
recruitment of Rad 10 in Double-Strand Break Repair	93.859		1SC3GM093858-01	121,540.32
Interface Quality Effects in Phospholipase Membrane Enzymology	93.859		1SC3GM096876-01	95,983.67
Investigating Protein Dynamics in NT-4/5 & TrkB Receptor Interactions	93.859		1SC2GM094127-01	121,369.09
Regulation of Akinete Differentiation in Nostoc Punctiforme	93.859		1SC1GM093998-01	170,914.87
Phospholipid Dynamics & Lypolysis in Membrane Models	93.859		1SC3GM096878-01	91,771.71
Role of E4BP4 in Glucocorticoid-Evoked Lymphocyte Apoptosis	93.859		2SC3GM081099-05	9,332.30
Analysis of EGFR-dependent Sleep in C. Elegans	93.859		1SC2GM105487-01 1SC3GM098207-01A1	20,622.49 14,073.96
Tumor-Targeting Salmonella Expressing Apoptosis-Inducing Cytotoxic Proteins	93.859			110,634.04
Psychosocial Benefits of Ethnic Diversity in Urban Middle Schools: Diversity Supplement	93.865	REGENTS UNIVERSITY OF CALIFORNIA	0070 G PD248	<u>3,414,573.88</u>
<b>Total Research &amp; Development Cluster:</b>				<u><u>7,921,267.81</u></u>
<b>Training &amp; other Sponsored Activities:</b>				
<b>DEPARTMENT OF AGRICULTURE:</b>				
Advancing Students' Competencies in the Health Sciences Through a Breastfeeding Education Program	10.223		2010-38422-21226	<u>85,497.83</u> <u>85,497.83</u>
<b>DEPARTMENT OF DEFENSE:</b>				
California State University System Strategic Language Initiative	12.550	CSU LONG BEACH FOUNDATION	S07-373110-CSUN	43,672.14
California State University System Strategic Language Initiative	12.550	CSU LONG BEACH FOUNDATION	S124910100CSUN	21,498.70
CSUN STARTALK Russian Language and Culture Immersion Program	12.800		H98230-12-1-0110	52,343.51
CSUN STARTALK Russian Language and Culture Immersion Program	12.900		H98230-13-1-0091	<u>50,477.38</u> <u>167,991.73</u>
<b>DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT:</b>				
Reducing the Childhood Obesity Rate Among Low Income Children in the Van Nuys Neighborhood	14.514		HSIAC-10-CA-11	<u>185,202.61</u> <u>185,202.61</u>
<b>DEPARTMENT OF JUSTICE:</b>				
Valley Trauma Center	16.017	EMERGENCY MANAGEMENT AGENCY	RC12261253	60,031.00
Northwestern Los Angeles County Rape Crisis Center	16.017	EMERGENCY MANAGEMENT AGENCY	RC12151253	93,824.00

See accompanying notes to the Schedule of Expenditures of Federal Awards and independent auditors' report.

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<u>Federal Grantor/Program Title</u>	<u>Federal CFDA Number</u>	<u>Pass-through Grantor</u>	<u>Grant Award or Contract Number</u>	<u>Federal Expenditures</u>
OVW Fiscal Year 2011 Legal Assistance for Victims	16.524	NEIGHBORHOOD LEGAL SERVICES OF LOS ANGELES COUNTY	MOU	32,705.89
Valley Trauma Center	16.575	EMERGENCY MANAGEMENT AGENCY	RC12261253	117,351.00
Valley Trauma Center	16.588	EMERGENCY MANAGEMENT AGENCY	RC11251253	71,448.43
Northwestern Los Angeles County Rape Crisis Center	16.588	EMERGENCY MANAGEMENT AGENCY	RC11141253	36,477.32
				<u>411,837.64</u>
<b>DEPARTMENT OF LABOR:</b>				
WorkSource Evaluation Project 2012-2013	17.258	CITY OF LOS ANGELES	121570	47,431.19
WorkSource Evaluation Project 2011-2012	17.258	CITY OF LOS ANGELES	119986	19,709.08
WorkSource Evaluation Project 2011-2012	17.259	CITY OF LOS ANGELES	119998	24,077.88
WIB Subaward	17.277	SOUTH BAY WORKFORCE INVESTMENT BOARD	11-W205	373,470.07
WorkSource Evaluation Project 2011-2012	17.278	CITY OF LOS ANGELES	119996	13,416.32
				<u>478,104.54</u>
<b>DEPARTMENT OF TRANSPORTATION:</b>				
College DUI Awareness Program	20.601	UC IRVINE HEALTH EDUCATION CENTER (AUXILIARY)	20360	680.32
				<u>680.32</u>
<b>DEPARTMENT OF THE TREASURY:</b>				
The Bookstein Tax Clinic (CONTROVERSY)	21.008		12LITC0020	15,412.27
The Bookstein Tax Clinic (ESL)	21.008		12LITC0020	7,931.26
The Bookstein Tax Clinic (CONTROVERSY)	21.008		13LITC0020	817.58
				<u>24,161.11</u>
<b>NATIONAL ENDOWMENT FOR THE HUMANITIES:</b>				
Creating a New Minor in Middle Eastern and Islamic Studies at CSUN	45.162		AC-50089-11	3,515.07
				<u>3,515.07</u>
<b>NATIONAL SCIENCE FOUNDATION:</b>				
CSU Louis Stokes Alliance for Minority Participation, Phase IV	47.076	UNIVERSITY ENTERPRISES, INC (CSU, SACRAMENTO AUXILIARY)	HRD-0802628-515355	63,042.54
CSU-LSAMP Student Scholar Program 2012-2013	47.076	UNIVERSITY ENTERPRISES, INC (CSU, SACRAMENTO AUXILIARY)	HRD-0802628-521691	4,000.00
Transition to College Statistics	47.076	WESTERN MICHIGAN UNIVERCITY	257015240	73,949.75
CSU Louis Stokes Alliance for Minority Participation, Phase IV	47.076	UNIVERSITY ENTERPRISES, INC (CSU, SACRAMENTO AUXILIARY)	HRD-0802628-515351	375.00
California State University, Northridge (CSUN)	47.076		DUE-0630452	67,224.80
Robert Noyce Scholarship Program	47.076		DUE-0934972	155,551.18
CSUN NSF Teaching Fellowship Program	47.076		DUE-0969627	167,388.28
STEPS (Students Targeting Engineering & Physical Science)	47.076			167,388.28
CSUN's LSAMP Bridges to the Doctorate Program	47.076	UNIVERSITY ENTERPRISES, INC (CSU, SACRAMENTO AUXILIARY)	HRD-1139803-520571	476,074.19
Workshop on Chinese Ways of Innovation: Strategic Directions of Research on China Innovation	47.079		OISE-1066036	14,985.55
				<u>1,022,591.29</u>

See accompanying notes to the Schedule of Expenditures of Federal Awards and independent auditors' report.

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<u>Federal Grantor/Program Title</u>	<u>Federal CFDA Number</u>	<u>Pass-through Grantor</u>	<u>Grant Award or Contract Number</u>	<u>Federal Expenditures</u>
<b>DEPARTMENT OF EDUCATION:</b>				
Family Focus Center-Family Empowerment	84.027	CAL DEPT OF EDUCATION	11-14301Z01700	15,611.79
Family Focus Center-Family Empowerment	84.027	CAL DEPT OF EDUCATION	12-14301-Z017-00	198,349.37
CSUN Special Education Intern Program (CAIP)	84.027	LA COUNTY OFFICE OF EDUC	088477-11-12	10,898.01
CSUN Special Education Intern Program (CAIP)	84.027	LA COUNTY OFFICE OF EDUC	093888-12-13	231,537.43
Improving Support & Academic Success for Latino & Low-Income Students	84.031		P031S100051-12	628,811.12
CSU, Northridge Engineering & Computer Science HSI-STEM Initiative	84.031		P031C110031-12	833,412.61
CSU, Northridge Engineering & Computer Science HSI-STEM Initiative	84.031		P031C110031	167,434.90
Educating Hispanic Students for the 21st Century	84.031		P031M105020	619,491.56
Improving Support & Academic Success for Latino & Low-Income Students	84.031		P031S100051-11	124,266.43
Student Support Services Project at CSU, Northridge	84.042		P042A101371-11	37,135.81
Student Support Services Project at CSU, Northridge	84.042		P042A101371-12	178,921.86
Talent Search San Fernando Valley Northeast (Region 1)	84.044		P044A110416	44,040.37
Talent Search San Fernando Valley North Central (Region 2)	84.044		P044A110914	35,061.23
Talent Search San Fernando Valley Northeast	84.044		P044A110416-12	188,838.81
Talent Search San Fernando Valley North Central	84.044		P044A110914-12	150,528.80
Upward Bound Classic	84.047		P047A070883-11	85,942.64
Upward Bound Math & Science	84.047		P047M090337-11	78,560.67
Upward Bound San Fernando Valley - North Central	84.047		P047A121709	145,345.53
Upward Bound Math & Science	84.047		P047M090337-12	145,439.89
External Evaluation for Center of Excellence for Veterans student Success/Santa Monica College	84.116	SANTA MONICA COMMUNITY COLLEGE DISTRICT	AGREEMENT	7,113.58
Urban Planning & Sustainability Project	84.116		P116M090010-11	68,372.86
Workability IV	84.126	DEPT OF REHABILITATION	28212	147.20
Workability IV	84.126	DEPT OF REHABILITATION	28212	208,660.86
Family Focus Resource Centers (SFV/SCV & AV)	84.181	NO LA COUNTY REGIONAL CTR	AGREEMENT	492.69
Family Focus Resource Centers (SFV/SCV & AV)	84.181	NO LA COUNTY REGIONAL CTR	AGREEMENT	103,609.50
Improving the Preparation of Educators through Collaborative, Integrative & Interdisciplinary Experiences	84.215		U215K100257	17,111.00
Ronald E. McNair Post-Baccalaureate Achievement	84.217		P217A090315	195,483.22
Restructuring Education Around Clinical Practice in High-Need Schools: Project REACH	84.325		H325T110011	125,453.03
Combined Priority for Personnel Development	84.325		H325K100426	205,902.20
Technology Enhanced Culturally-Responsive (TEC) Intern Program	84.325		H325K060501-09	101,951.28
Postsecondary Education Program for Individuals Who Are Deaf	84.326		H326D060002-10	697.35
Center for Results in Education & Employment for Deaf Students: Research & Collaboration for System Change	84.326		H326D110003	1,161,467.86

See accompanying notes to the Schedule of Expenditures of Federal Awards and independent auditors' report.

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<u>Federal Grantor/Program Title</u>	<u>Federal CFDA Number</u>	<u>Pass-through Grantor</u>	<u>Grant Award or Contract Number</u>	<u>Federal Expenditures</u>
Center for Results in Education & Employment for Deaf Students: Research & Collaboration for System Change	84.326		H326D110003-12	2,257,891.10
GEAR UP Southgate College Partnership	84.334	LOS ANGELES UNIFIED SCHOOL DISTRICT	1300030	41,331.79
Project STEPS	84.334	LOS ANGELES UNIFIED SCHOOL DISTRICT	1200262	81,497.86
GEAR UP Southgate College Partnership	84.334	LOS ANGELES UNIFIED SCHOOL DISTRICT	0800597-OPTION YR 3	11,333.49
LASSO/Gear Up	84.334	LOS ANGELES UNIFIED SCHOOL DISTRICT	0800739	(1.03)
Project GRAD Los Angeles-GEAR UP	84.334	ANGELES PROJECT GRAD LOS	AGREEMENT	248,461.07
Project GRAD Los Angeles-GEAR UP	84.334	ANGELES PROJECT GRAD LOS	AGREEMENT	17,428.63
Los Angeles Apprentice Teacher Program	84.350	LOS ANGELES UNIFIED SCHOOL DISTRICT	PO # 4138 & 5377	14,989.36
Preparing Asian ESL and Bilingual Teachers for the 21st Century Classroom	84.365		T365Z110101	248,195.73
Professional Development Services in Support of Private Schools	84.367	LOS ANGELES UNIFIED SCHOOL DISTRICT	1100190	8,060.00
Professional Development Services in Support of Private Schools	84.367	LOS ANGELES UNIFIED SCHOOL DISTRICT	1100190	12,345.00
Professional Development Services in Support of Private Schools	84.367	LOS ANGELES UNIFIED SCHOOL DISTRICT	1100190	15,177.00
Single Subject University Intern Program (SSUIP)	84.367	LOS ANGELES UNIFIED SCHOOL DISTRICT	0800368	10,731.27
San Fernando Valley Science Project (NCLB8A)	84.367	REGENTS UNIVERSITY OF CALIFORNIA	NCLB8A-CSP-NORTHRIDG	212.16
Cal State Northridge Writing Project - NCLB Funding	84.367	REGENTS UNIVERSITY OF CALIFORNIA	NCLB8-CWP-NORTHRIDG	873.66
San Fernando Valley Science Project	84.367	REGENTS UNIVERSITY OF CALIFORNIA	NCLB8-CSP-NORTHRIDG	2,178.68
Student Improvement Through Teacher Empowerment (SITTE)	84.367	CAL POSTSECONDARY EDUC COMMISSION	ITQ-09-607	194,920.95
Student Improvement Through Teacher Empowerment (SITTE)	84.367	CAL POSTSECONDARY EDUC COMMISSION	ITQ-09-607	131,382.58
Enhancing Quality with Teachers of Students who are Deaf/Hard of Hearing	84.367	CAL POSTSECONDARY EDUC COMMISSION	ITQ-11-812	136,578.86
Enhancing Quality with Teachers of Students who are Deaf/Hard of Hearing	84.367	CAL POSTSECONDARY EDUC COMMISSION	ITQ-11-812	42,103.91
Single Subject University Intern Program (SSUIP)	84.367	LOS ANGELES UNIFIED SCHOOL DISTRICT	1300022	299,128.43
Multiple Subject University Intern Program	84.367	LOS ANGELES UNIFIED SCHOOL DISTRICT	1300023	47,364.00
SEED Teacher Leadership Development Grant	84.367	NATIONAL WRITING PROJECT CORPORATION	09-CA20-SEED2012	8,291.69
Cal State Northridge Writing Project - NCLB Funding	84.367	REGENTS UNIVERSITY OF CALIFORNIA	NCLB9-CWP-NORTHRIDG	34,532.87
San Fernando Valley Science Project (NCLB9)	84.367	REGENTS UNIVERSITY OF CALIFORNIA	NCLB9-CSP-NORTHRIDG	37,092.78
SEED Professional Development in a High-Need School Grant	84.367	NATIONAL WRITING PROJECT CORPORATION	09-CA20-SEED2012	19,256.23
A Teaching Residency Program in Special Education: Improving Achievement of Students with Disabilities in High-Need Schools (American Recovery & Reinvestment Act)	84.405		U405A100082	1,780,537.83
National Writing Project 2009-2010 & 2010-2011	84.928	NATIONAL WRITING PROJECT CORPORATION	09-CA20	16,269.55
				<u>11,834,266.91</u>

See accompanying notes to the Schedule of Expenditures of Federal Awards and independent auditors' report.

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<u>Federal Grantor/Program Title</u>	<u>Federal CFDA Number</u>	<u>Pass-through Grantor</u>	<u>Grant Award or Contract Number</u>	<u>Federal Expenditures</u>
<b>DEPARTMENT OF HEALTH AND HUMAN SERVICES:</b>				
Rape Prevention & Education - University Corporation	93.136	CALIFORNIA DEPARTMENT OF PUBLIC HEALTH	11-10386	83,233.16
CSUN Suicide Prevention and Awareness Program	93.243		1U79SM060524-01	50,567.98
Parentlinks	93.251	CAL DEPT OF EDUCATION	12-15129-Z017-00	54,384.33
Parentlinks	93.251	CAL DEPT OF EDUCATION	11-01811-Z017-00	23,214.63
Family Preservation Program - North Hollywood	93.558	LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES	04-025-54/05-028-11	18,626.41
Family Preservation Services for Santa Clarita	93.558	LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES	04-025-55	25,331.31
Child Abuse & Neglect Prevention, Intervention and Treatment Program Services	93.558	LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES	AB 1733/AB 2994	2,473.99
Family Support Services for SPA 2	93.558	LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES	04-024-12	2,924.47
Adoption Promotion & Support Services for SPA 2	93.558	LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES	04-024-25	2,804.40
Child Abuse & Neglect Prevention, Intervention and Treatment Program Services	93.558	LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES	AB1733/AB2994	102,286.25
Family Support Services for SPA 2	93.558	LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES	04-024-12	126,697.88
Family Preservation Program - North Hollywood	93.558	LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES	04-025-54&05-028-11	774,879.98
Family Preservation Services for Santa Clarita	93.558	LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES	04-025-55	964,355.44
Adoption Promotion & Support Services Program - SPA 2	93.558	LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES	04-025-25	244,477.04
Family Source Program - Evaluation	93.569	CITY OF LOS ANGELES	118514	93,153.34
Inter-University Consortium (IUC) Training Service Contract	93.658	LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES	08-53-6	12,727.05
Inter-University Consortium (IUC) Training Service Contract	93.658	LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES	08-53-06	317,579.99
CalSWEC Title IV-E Funding Stipend Program	93.658	REGENTS OF THE UNIVERSITY OF CALIFORNIA	00007805	249,606.19
CalSWEC Title IV-E Funding Stipend Program	93.658	REGENTS OF THE UNIVERSITY OF CALIFORNIA	00007943	1,045,386.15
Telephone-Based Support & Education to Promote Breastfeeding Rates of Latina Medicaid Recipients	93.779		1HOCMS3308303-02-00	51,471.70
MARC U-STAR at California State University Northridge	93.859		5T34GM008395-24	15,504.00
MARC U-STAR at California State University Northridge	93.859		5T34GM008395-23 2R25GM063787-	568,027.40
Option II MBRS RISE at CSUN	93.859		09A1	637,326.33
MARC U-STAR at California State University Northridge	93.859		5T34GM008395-22	41,580.11
Women's Health Resources Dissemination Outreach Project	93.879	ICF INCORPORATED, L.L.C.	13EDPO0014	41,351.77
				<u>5,549,771.30</u>

See accompanying notes to the Schedule of Expenditures of Federal Awards and independent auditors' report.

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<u>Federal Grantor/Program Title</u>	<u>Federal CFDA Number</u>	<u>Pass-through Grantor</u>	<u>Grant Award or Contract Number</u>	<u>Federal Expenditures</u>
<b>CORPORATION FOR NATIONAL AND COMMUNITY SERVICE:</b>				
JumpStart Program	94.006	JUMPSTART	220200	11,317.24
JumpStart Program	94.006	JUMPSTART	220200	<u>64,487.56</u>
				<u><u>75,804.80</u></u>
<b>DEPARTMENT OF HOMELAND SECURITY:</b>				
Boating Safety Grant	97.012	BOATING & WATERWAYS	11-201-009	40,000.00
FY 2010 Homeland Security Grant Program (HSGP)	97.067	TRUSTEES CAL ST UNIVERSITY	X0068212-NRAUX	<u>19,787.49</u>
				<u><u>59,787.49</u></u>
<b>NATIONAL AERONAUTICS AND SPACE ADMINISTRATION:</b>				
Development of an Internship Honors Co-op Program Between Pratt & Whitney Rocketdyne & CECS	N/A	PRATT & WHITNEY ROCKETDYNE	4410008305	<u>193,504.62</u>
				<u><u>193,504.62</u></u>
<b>Total Training &amp; Other Sponsored Activities:</b>				<u><u>20,092,717.26</u></u>
<b>Total Expenditures of Federal Awards</b>				<u><u>28,013,985.07</u></u>

THE UNIVERSITY CORPORATION  
NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS  
JUNE 30, 2013

NOTE 1: GENERAL

The accompanying schedule of expenditures of federal awards presents the activity of all federal awards programs of The University Corporation, California State University, Northridge (a California State University Auxiliary Organization) (the Corporation). The schedule of expenditures of federal awards includes federal awards programs of the Corporation received directly from federal agencies as well as federal awards passed through other agencies. The Corporation's reporting entity is defined in Note 1 to the Corporation's financial statements.

NOTE 2: BASIS OF ACCOUNTING

The accompanying schedule of expenditures of federal awards is presented using the accrual basis of accounting which is described in Note 2 of the Corporation's financial statements.

NOTE 3: RELATIONSHIP TO BASIC FINANCIAL STATEMENTS AND FEDERAL FINANCIAL REPORTS

Information reported in the accompanying schedule of expenditures of federal awards agrees with the amounts reported in both the basic financial statements and related federal financial reports for the major federal programs.

NOTE 4: AMOUNTS PROVIDED TO SUBRECIPIENTS

Of the federal expenditures presented in the accompanying schedule of expenditures of federal awards, the Corporation provided federal awards to subrecipients as follows:

<u>CFDA</u>	<u>Federal Grantor /Program Title</u>	<u>Amount</u>
	<b>DEPARTMENT OF DEFENSE:</b>	
12.351	Interfaces: Optimization of Signal-to-Noise Ratio	208,231.50
	<b>DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT:</b>	
14.514	Van Nuys Neighborhood	30,000.00
	<b>NATIONAL AERONAUTICS AND SPACE ADMINISTRATION:</b>	
43.008	Mathematical and Geospatial Pathways to Climate Change Education	16,957.37
	<b>NATIONAL SCIENCE FOUNDATION:</b>	
47.049	PREM-Multidisciplinary Research & Education in Computational Materials Science	(147.36)
47.049	PREM - Computational Research and Education for Emergent Material	1,327.03
47.074	RUI-LTREB Long Term Coral Reef Community Dynamics in St. John, USVI: 1987-2019	21,485.35
47.079	Workshop on Chinese Ways of Innovation: Strategic Directions of Research on China Innovation	8,903.00
47.082	RUI: The Role of Childhood Language Memory in Adult Language Learning: Korean Adoptees Learning/ARRA (American Recovery & Reinvestment Act)	102,454.34

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NOTE 4: AMOUNTS PROVIDED TO SUBRECIPIENTS (CONT.)

<u>CFDA</u>	<u>Federal Grantor /Program Title</u>	<u>Amount</u>
	<b>DEPARTMENT OF EDUCATION:</b>	
84.031	CSU, Northridge Engineering & Computer Science HSI-STEM Initiative	112,409.43
84.031	CSU, Northridge Engineering & Computer Science HSI-STEM Initiative	290,072.05
84.326	Center for Results in Education & Employment for Deaf Students: Research & Collaboration for System Change	764,785.78
84.326	Center for Results in Education & Employment for Deaf Students: Research & Collaboration for System Change	1,129,111.85
	<b>DEPARTMENT OF HEALTH AND HUMAN SERVICES:</b>	
93.837	Multi-Scale Modeling of Calcium Mediated Triggered Activity in the Heart	25,138.73
		<b><u>\$ 2,710,729.07</u></b>