

**THE UNIVERSITY CORPORATION**

**SINGLE AUDIT REPORTS**

**June 30, 2012**

**THE UNIVERSITY CORPORATION**

**SINGLE AUDIT REPORTS**

**June 30, 2012**

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CERTIFIED PUBLIC ACCOUNTANTS  
&  
BUSINESS CONSULTANTS

September 21, 2012

**INDEPENDENT AUDITORS' REPORT ON INTERNAL CONTROL OVER FINANCIAL  
REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT  
OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH GOVERNMENT  
AUDITING STANDARDS**

To The Board of Directors  
The University Corporation  
California State University Northridge  
Northridge, California

We have audited the financial statements of The University Corporation, California State University, Northridge (a California State University Auxiliary Organization) (the Corporation) as of and for the year ended June 30, 2012, and have issued our report thereon dated September 21, 2012. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

**Internal Control Over Financial Reporting**

Management of the Corporation is responsible for establishing and maintaining effective internal control over financial reporting. In planning and performing our audit, we considered the Corporation's internal control over financial reporting as a basis for designing our auditing procedures for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Corporation's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of the Corporation's internal control over financial reporting.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct misstatements on a timely basis. A material weakness is a deficiency, or a combination of deficiencies, in internal control such that there is a reasonable possibility that a material misstatement of the entity's financial statements that will not be prevented, detected and corrected on a timely basis.

Our consideration of the internal control over financial reporting was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over financial reporting that might be deficiencies, significant deficiencies, or material weaknesses. We did not identify any deficiencies in internal control over financial reporting that we consider to be material weaknesses, as defined above.

INDEPENDENT AUDITORS' REPORT ON INTERNAL CONTROL OVER FINANCIAL  
REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT  
OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH GOVERNMENT  
AUDITING STANDARDS (CONT.)

**Compliance and Other Matters**

As part of obtaining reasonable assurance about whether the Corporation's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

This report is intended solely for the information and use of management, the audit committee, the Board of Directors, others within the entity, and federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

*NSBNLLP*  
NSBN LLP



CERTIFIED PUBLIC ACCOUNTANTS  
&  
BUSINESS CONSULTANTS

September 21, 2012

**INDEPENDENT AUDITORS' REPORT ON COMPLIANCE WITH REQUIREMENTS THAT COULD  
HAVE A DIRECT AND MATERIAL EFFECT ON EACH MAJOR PROGRAM AND ON INTERNAL  
CONTROL OVER COMPLIANCE IN ACCORDANCE WITH OMB CIRCULAR A-133**

To The Board of Directors  
The University Corporation  
California State University Northridge  
Northridge, California

**Compliance**

We have audited the compliance of The University Corporation, California State University, Northridge (a California State University Auxiliary Organization) (the Corporation) with the types of compliance requirements described in the U.S. Office of Management and Budget (OMB) Circular A-133 *Compliance Supplement* that could have a direct and material effect on each of the Corporation's major federal programs for the year ended June 30, 2012. The Corporation's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs. Compliance with the requirements of laws, regulations, contracts and grants applicable to each of its major federal programs is the responsibility of the Corporation's management. Our responsibility is to express an opinion on the Corporation's compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the Corporation's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination of the Corporation's compliance with those requirements.

In our opinion, the Corporation complied, in all material respects, with the requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2012.

INDEPENDENT AUDITORS' REPORT ON COMPLIANCE WITH REQUIREMENTS THAT COULD HAVE A DIRECT AND MATERIAL EFFECT ON EACH MAJOR PROGRAM AND ON INTERNAL CONTROL OVER COMPLIANCE IN ACCORDANCE WITH OMB CIRCULAR A-133 (CONT.)

**Internal Control Over Compliance**

Management of the Corporation is responsible for establishing and maintaining effective internal control over compliance with the requirements of laws, regulations, contracts, and grants applicable to federal programs. In planning and performing our audit, we considered the Corporation's internal control over compliance with the requirements that could have a direct and material effect on a major federal program to determine the auditing procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness the Corporation's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. A material weakness in internal control over compliance is a deficiency, or a combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis.

Our consideration of the internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be deficiencies, significant deficiencies, or material weaknesses. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses, as defined above.

**Schedule of Expenditure of Federal Awards**

We have audited the financial statements of the Corporation as of and for the year ended June 30, 2012, and have issued our report thereon dated September 21, 2012, which contained an unqualified opinion on those financial statements. Our audit was conducted for the purpose of forming an opinion on the financial statements as a whole. The schedule of expenditures of federal awards is presented for purposes of additional analysis as required by the U.S. Office of Management and Budget Circular A-133, Audits of States, Local Governments, and Non-Profit Organizations, and is not a required part of the basic financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the basic financial statements as a whole.

INDEPENDENT AUDITORS' REPORT ON COMPLIANCE WITH REQUIREMENTS THAT COULD  
HAVE A DIRECT AND MATERIAL EFFECT ON EACH MAJOR PROGRAM AND ON INTERNAL  
CONTROL OVER COMPLIANCE IN ACCORDANCE WITH OMB CIRCULAR A-133 (CONT.)

This report is intended solely for the information and use of management, the audit committee, the Board of Directors, others within the entity, and federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

*NSBN LLP*

NSBN LLP

**THE UNIVERSITY CORPORATION**  
**SCHEDULE OF FINDING AND QUESTIONED COSTS**  
**FOR THE YEAR ENDED JUNE 30, 2012**

**Section I - Summary of Auditor's Results**

**Financial Statements**

Type of auditor's report issued: Unqualified

Internal control over financial reporting:

· Material weakness(es) identified?            Yes       X       No  
· Significant deficiencies identified that are not considered to be material weakness(es)?            Yes       X       None reported  
Noncompliance material to financial statements noted?            Yes       X       No

**Federal Awards**

Internal control over major programs:

· Material weakness(es) identified?            Yes       X       No  
· Significant deficiencies identified that are not considered to be material weakness(es)?            Yes       X       None reported

Type of auditors' report issued on compliance for major programs: Unqualified

Any audit findings disclosed that are required to be reported in accordance with section 510(a) of Circular A-133?            Yes       X       No

**Major programs:**

<u>CFDA Number(s)</u>	<u>Name of Federal Program or Cluster</u>
17.258	WIA Adult Program (ARRA)
47.049	Mathematical and Physical Sciences
84.325	Special Education - Personnel Development to Improve Services and Results for Children with Disabilities
84.367	Improving Teacher Quality State Grants
84.405	Teacher Quality Partnerships, Recovery Act (ARRA)
93.556	Promoting Safe and Stable Families
93.658	Foster Care Title IV-E
93.859	Biomedical Research and Research Training

Dollar threshold used to distinguish between type A and type B programs: \$745,103

Auditee qualified as low-risk?       X       Yes            No

See accompanying independent auditors' report.



**THE UNIVERSITY CORPORATION**  
**SCHEDULE OF FINDING AND QUESTIONED COSTS**  
**FOR THE YEAR ENDED JUNE 30, 2012**

**Section II - Financial Statement Findings**

**None**

**Section III - Federal Award Findings and Questioned Costs**

**None**

See accompanying independent auditors' report.

**THE UNIVERSITY CORPORATION**  
**SCHEDULE OF PRIOR YEAR AUDIT FINDING AND QUESTIONED COSTS**  
**FOR THE YEAR ENDED JUNE 30, 2012**

**Section IV - Prior Audit Findings and Questioned Costs**

**None**

See accompanying independent auditors' report.

**THE UNIVERSITY CORPORATION**  
**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS**  
**FOR THE YEAR ENDED JUNE 30, 2012**

<u>Federal Grantor/Program Title</u>	<u>Federal CFDA Number</u>	<u>Pass-through Grantor</u>	<u>Grant Award or Contract Number</u>	<u>Federal Expenditures</u>
<b>Research &amp; Development Cluster:</b>				
<b>DEPARTMENT OF COMMERCE:</b>				
Community Climate Change Adaptation Initiative	11.417	SAN DIEGO STATE UNIVERSITY	A/CC-01	3,213.71 <u>3,213.71</u>
<b>DEPARTMENT OF DEFENSE:</b>				
Scalable, Stochastic and Spatiotemporal Game Theory for Real-World Human Adversarial Behavior	12.300	UNIVERSITY OF SOUTHERN CALIFORNIA	158859	40,765.43
Army High Performance Computing Research Center (AHPCRC) Consortium	12.300		10-04-090	7,683.15
Acquisition of a Beowulf Cluster of Computational Materials Research and Education	12.300		10-12-271	37,999.99
First-Principles Based Multiscale Modeling of Structure, Energetics and Mobility of Defects in Metal	12.300		N00014-11-1-0175	178,917.04
Aerodynamics Characteristics of Cargo Ram-Air Canopies During Maneuvering	12.300		W911QY-10-2-0002	14,498.54
Climatic Monitoring Program on San Clemente Island	12.300		W9126G-10-2-0036	22,722.41
Electronic Structure of Semiconductor Detector Surfaces & Contact Interfaces: Optimization of Signal-to-Noise Ratio	12.351		HDTRA-10-1-0113	341,049.26
Stress-Controlled Catalysis via Engineered Nanostructures	12.431	BROWN UNIVERSITY	00000451	89,646.26
SiC/GaN Based Optically Triggered MESFET for High Power efficiency and High Radiation resistance Solid State Switch Application for Actuator System	12.630		W911NF-12-1-0068	19,733.87
A New Computational Tool for Understanding Light-Matter Interactions	12.630		W911NF-12-1-0072	13,481.45
Sub-Aperture Based Conformal Imaging System	12.800	OPTICAL PHYSICS COMPANY (OPC)	OPC2344	76,233.43
Evaluation & Eligibility Investigations and Limited Data Recovery at CA-SDI-1313/14791	N/A	AECOM TECHNICAL SERVICES FAR WESTERN	12S-14814-LB10	7,480.22
T.O. 34 - Archaeological Survey in Johnson Valley for MCAGCC 29 Palms	N/A	ARCHAEOLOGICAL RESEARCH GROUP, INC.	N62473-11-D-2220	12,458.88
Simultaneous Channel Utilization Techniques	N/A		N68936-11-P0063	1,904.55
Optical Data Link	N/A		N68936-11-P0196	10,952.30
Signal integrity in far-field antenna measurements in time domain	N/A		N68936-12-P-0057	22,717.76
Simultaneous Channel Utilization Techniques - Phase II	N/A		N68936-12-P-0082	24,947.21 <u>923,191.75</u>
<b>DEPARTMENT OF THE INTERIOR U.S. GEOLOGICAL SURVEY:</b>				
Mapping & Assimilating of Off-Shore Geologic & Geophysical Data to Study the Pacific-North American Plate Boundary in Southern California	15.807	USC-SOUTHERN CALIFORNIA EARTHQUAKE CENTER	137753	248.37
Weather and Fog-Drip Monitoring on Santa Rosa Island	15.808		G11AC20448	2,849.80 <u>3,098.17</u>

See accompanying notes to the Schedule of Expenditures of Federal Awards and independent auditors' report.

**THE UNIVERSITY CORPORATION**  
**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS**  
**FOR THE YEAR ENDED JUNE 30, 2012**

<u>Federal Grantor/Program Title</u>	<u>Federal CFDA Number</u>	<u>Pass-through Grantor</u>	<u>Grant Award or Contract Number</u>	<u>Federal Expenditures</u>
<b>DEPARTMENT OF JUSTICE:</b>				
Father's Count Study	16.542	NORTH CAROLINA STATE UNIVERISTY	2008-0433-01	60,268.14 <u>60,268.14</u>
<b>NATIONAL AERONAUTICS AND SPACE ADMINISTRATION:</b>				
Comet 103P/Hartley 2 During the EPOXI Spacecraft Encounter	43.001	SMITHSONIAN ASTROPHYSICAL OBSERVATORY	G01-12011B	4,948.01
Photometric Observations & Analysis in Support of SORCE/TIM & GLORY/TIM	43.001		NNX11AK46G	12,078.82
High Resolution Imaging & Spectroscopy of the Chandra Comet Survey II	43.001		AR2-13000X	12,102.31
Observations and Analysis of Solar Total and Spectral Irradiance Variability	43.001		NNX11AB51G	25,723.94
NRA/Research Opportunities in Space & Earth Sciences-2009	43.001		NNX10AM81G	46,392.78
Annex 13: Alerts in Trajectory Operations Human Factors Study	43.001	SAN JOSE UNIVERSITY RESEARCH FOUNDATION	21-1614-219-CSUN	53,410.79
Exploring the Magnetic Connection and Associated Dynamics from the Photosphere through Chromosphere to the Corona	43.001		NNX08AQ32G	55,854.08
Influence of Cultural, Organizational & Automation Capability on Human Reliance on Automation: A Case Study of Air Force ACAT	43.008	AERO INSTITUTE	AERO 560	1,093.44
Mathematical and Geospatial Pathways to Climate Change Education	43.008		NNX11AL97A	124,213.84 <u>335,818.01</u>
<b>NATIONAL ENDOWMENT FOR THE HUMANITIES:</b>				
The Interland of Sinop, Turkey: A Case Study of the Origins & Development of Black Sea Trade	45.161		RZ-51060-09	40,030.99
The Spanish & Mexican Influence on California: 1769-1884	45.163		BH-50366-10	132,204.19 <u>172,235.18</u>
<b>NATIONAL SCIENCE FOUNDATION:</b>				
International Astronomical Union (IAU) Symposium 273: Physics of Sun & Star Spots	47.049		AST-0968672	4,438.80
RUI: Problems in Varieties of Communiting Matrices, Jet Schemes, & Division Algebras	47.049		DMS-0700904	9,149.77
Stochastic Models of Viral Adsorption, Fusion & Replication	47.049		DMS-0719462	20,689.03
Hierarchical Kinetic Models for Chemically & Hydrodynamically Coupled Organisms	47.049		DMS-1021850	21,956.40
RUI: Novel Radical & Ionic Reactions in Cobalt-Alkyne Series	47.049		CHE-1112129	46,793.31
RUI: Theoretical (Numerical) Investigations of Novel Quantum Phases & Transitions in Strongly Interacting Systems	47.049		DMR-0906816	61,794.80
"PUMP: Preparing Undergraduates Through Mentoring Towards Ph.D.'s"	47.049		DMS-0502258	93,756.77
Development Method to Predict Phase Separation & Change Transport in Bulk Heterojunction Conjugated Polymer Solar Cells	47.049	REGENTS UNIVERSITY OF CALIFORNIA	LL118	94,442.08

See accompanying notes to the Schedule of Expenditures of Federal Awards and independent auditors' report.

**THE UNIVERSITY CORPORATION**  
**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS**  
**FOR THE YEAR ENDED JUNE 30, 2012**

<u>Federal Grantor/Program Title</u>	<u>Federal CFDA Number</u>	<u>Pass-through Grantor</u>	<u>Grant Award or Contract Number</u>	<u>Federal Expenditures</u>
CAREER: A Protable Solar Adaptive Optics System for High-Resolution Imaging Investigations	47.049		ATM-0841440	120,244.11
EAGER: Towards Rapid Sequencing of Individual DNA Molecules in Graphene Nanogaps	47.049		DMR-1034937	122,616.37
RUI: Spectroscopy in bulk superfluid 4He	47.049		CHE-0949057	124,555.61
PREM-Multidisciplinary Research & Education in Computational Materials Science	47.049		DMR-0611562	311,942.79
Coral Reefs in Moorea, French Polynesia	47.050	UNIVERSITY OF CALIFORNIA AT SANTA BARBARA	KK5131	(1,521.70)
Coral Reefs in Moorea, French Polynesia	47.050	UNIVERSITY OF CALIFORNIA AT SANTA BARBARA	KK5130	(888.31)
Career: Magnetic Tomography of Emerging Sunspots (MATES)	47.050		ATM-0548260	20,987.70
CAREER: Geodynamic Study of Earth's Mantle Asthenosphere & Core Formation	47.050		EAR-1151941	22,151.44
Collaborative Research: Earthquake Geology of the Pakistani Himalaya: Characterizing Slip Rates & Recurrence of Potentially Devastating Earthquake Sources	47.050		EAR-0635929	29,397.67
Collaborative Research: Depth Distribution of Anisotropic Fabric in the Ocean Mantle	47.050		OCE-0830798	31,312.45
Collaborative Research: Expedition 317 Objective Research - Linking Sediment Provenance to Supply & Lithofacies Formation on the Canterbury Margin	47.050		OCE-1060703	32,671.85
Collaborative Research: Investigation of a Late Jurassic Paired Magmatic Belt (Blue Mountains, NE Oregon): Evaluation of Magmatic Growth During Contractional Orogeny	47.050		EAR-1215643	42,750.43
Smear-slide Reference Set & Atlas for IODP Shipboard Sedimentologists; Phase 1: Siliciclastic & Volcaniclastic Components	47.050	IODP MANAGEMENT INTERNATIONAL, INC.	IODP-MI-11-01	45,855.91
Long Term Ecological Research: Coral Reefs in Moorea, French Polynesia	47.050	UNIVERSITY OF CALIFORNIA AT SANTA BARBARA	KK1136	97,658.16
Long Term Ecological Research: Coral Reefs in Moorea, French Polynesia	47.050	UNIVERSITY OF CALIFORNIA AT SANTA BARBARA	KK1136	99,394.43
RUI: Ocean Acidification - Category 1 - The Effects of Ocean Acidification on the Organismic Biology & Community Ecology of Corals, Calcified Algae, & Coral Reefs	47.050		OCE-1041270	214,219.39
RUI: Ocean Acidification - Category 1 - The Effects of Ocean Acidification on the Organismic Biology & Community Ecology of Corals, Calcified Algae & Coral Reefs	47.050		OCE-1041270	252,974.66
Time Scales And Dimensions Of Rheological Heterogeneity And Fabric Evolution In The Lower Continental Crust During Extensional Orogenic Collapse	47.050	UNIVERSITY OF ALABAMA	N/A	762.80
Collaborative Research: Homeostasis, Stoichiometry and Dynamic Energy Budgets at Multiple Levels of Biological Organization	47.074		EF-0742567	1,943.57
RUI: REVSYS: Integrative Systematics of Gekkotan Lizards-Phylogenetic Resolution, Taxonomic revision & Comparative Biology	47.074	VILLANOVA UNIVERSITY	BAUER/5-25588	5,321.96
Effect of PAH Exposure on Aquatic Plant Community Structure, Productivity & Resilience	47.074	UNIVERSITY OF SOUTH ALABAMA	10-100360-01	7,796.73

See accompanying notes to the Schedule of Expenditures of Federal Awards and independent auditors' report.

**THE UNIVERSITY CORPORATION**  
**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS**  
**FOR THE YEAR ENDED JUNE 30, 2012**

<u>Federal Grantor/Program Title</u>	<u>Federal CFDA Number</u>	<u>Pass-through Grantor</u>	<u>Grant Award or Contract Number</u>	<u>Federal Expenditures</u>
RUI: NMR Investigation of the Role of Protein Dynamics in the Selective Interactions Between RGS and Galpha Signaling Proteins	47.074		MCB-1158177	17,941.01
MRI: Acquisition of a Flow Cytometer for Research & Teaching in Cell & Molecular Biology	47.074		DBI-0923533	24,882.69
LTREB: Experimental tests of Alternative States on Rocky Intertidal Shores	47.074	UNIVERSITY OF PENNSYLVANIA	10026091	37,180.19
RUI-LTREB Long Term Coral Reef Community Dynamics in St. John, USVI: 1987-2019	47.074		DEB-0841441	108,546.75
Cognition in Context	47.075		BCS-0642718	12,188.92
Establishing a CDIO Framework to Improve Learning & Retention in a Diverse Undergraduate Engineering Student Population	47.076		DUE-0737139	17,914.11
Participation on Expedition 317 of the Integrated Ocean Drilling Program & Sand Provenance & Sandstone Diagenesis in the Canterbury Basin, New Zealand	47.079	CONSORTIUM FOR OCEAN LEADERSHIP	BA-75 & T317A75	25,605.86
NEESR-CR: Performance-Based Design for Cost-Effective Seismic Hazard Mitigation in New Buildings/ARRA (American Recovery & Reinvestment Act)	47.082	LEHIGH UNIVERSITY	542138-8002	26,752.41
Collaborative Research: A 3D Seismic Study of the Pacific-North American Plate Boundary in Southern California/ARRA (American Recovery & Reinvestment Act)	47.082		OCE-0824982	81,184.53
RUI: Observations & Modeling of Solar Total & Spectral Variability/ARRA (American Recovery & Reinvestment Act)	47.082		ATM-0848515	87,192.23
RUI: The Role of Childhood Language Memory in Adult Language Learning: Korean Adoptees Learning/ARRA (American Recovery & Reinvestment Act)	47.082		BCS-0844106	107,391.18
RUI-The Ecophysiological Basis of the Response of Coral Larvae & Early Life History Stages to/ARRA (American Recovery & Reinvestment Act)	47.082		OCE-0844785	149,616.10
				<u>2,631,564.96</u>
<b>DEPARTMENT OF ENERGY:</b>				
Hierarchical Petascale Simulation Framework for Stress Corrosion Cracking	81.049		DE-FC02-06ER25791	19,399.23
Novel Charge & Spin Fractional Quantum Hall Effects & New Emerging Quantum Phases in Interacting & Spin Orbit Coupling Coupling Electronic Materials	81.049		DE-FG02-06ER46305	134,327.39
A Nationwide Consortium of Universities to Revitalize Electric Power Engineering Education by State-of-the Art Laboratories (American Recovery & Reinvestment Act)	81.122	UNIVERSITY OF MINNESOTA	A000211514	8,116.70
				<u>161,843.32</u>
<b>DEPARTMENT OF EDUCATION:</b>				
American College Health Association National College Health Assessment	84.184	REGENTS UNIVERSITY OF CALIFORNIA	2011-2550	3,480.67
				<u>3,480.67</u>
<b>DEPARTMENT OF HEALTH AND HUMAN SERVICES:</b>				
Multiple Social Tasks & Social Adjustment	93.242		5SC2MH087466-03	74,067.64

See accompanying notes to the Schedule of Expenditures of Federal Awards and independent auditors' report.

**THE UNIVERSITY CORPORATION**  
**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS**  
**FOR THE YEAR ENDED JUNE 30, 2012**

Federal Grantor/Program Title	Federal CFDA Number	Pass-through Grantor	Grant Award or Contract Number	Federal Expenditures
CSUN-SFV Center to Reduce Health Disparities	93.307		1P20MD003938-02	(7,616.59)
CSUN-SFV Center to Reduce Health Disparities	93.307		1P20MD003938-02	6,020.37
CSUN-SFV Center to Reduce Health Disparities	93.307		1P20MD003938-02	17,268.05
CSUN-SFV Center to Reduce Health Disparities	93.307		1P20MD003938-02	22,119.99
CSUN-SFV Center to Reduce Health Disparities	93.307		1P20MD003938-02	27,783.42
CSUN-SFV Center to Reduce Health Disparities	93.307		1P20MD003938-02	37,863.66
CSUN-SFV Center to Reduce Health Disparities	93.307		1P20MD003938-02	59,727.06
CSUN-SFV Center to Reduce Health Disparities	93.307		5P20MD003938-04	129,218.61
CSUN-SFV Center to Reduce Health Disparities	93.307		1P20MD003938-02	298,820.19
Role of E4BP4 in Glucocorticoid-Evoked Lymphocyte Apoptosis/ARRA (American Recovery & Reinvestment Act)	93.701		3SC3GM0081099-03S1	5,620.74
Support Person Use & Child Witness Stress, Accuracy & Perceived Credibility-ARRA (American Recovery & Reinvestment Act)	93.701		1R15HD065651-01	124,361.94
Multi-Scale Modeling of Calcium Mediated Triggered Activity in the Heart	93.837		1R01HL101196-01	232,715.66
Skeletal Muscle Insulin resistance: High Fat Diet & Inflammatory Pathways	93.847		2R15DK057625-04	68,065.75
Roles of Robo Receptor in the Formation of the Enteric Nervous System	93.853		1R1NS060099-01	736.89
Analysis of Neuropeptide Cytokines & Their Receptors in Diabetic Neuropathy	93.853		1R15NS060117-01A2	58,412.13
Role of Slit Molecules in Neural Crest Delamination	93.853		2R15NS060099-02A1	75,052.33
Interaction Between Alzheimer's Beta-Amyloid Peptide and Phospholipid Bilayers	93.859		1SC3GM099635-01	8,901.25
Integrating Lipid Biosynthesis with Bacterial Cell Cycle Progression	93.859		1SC2GM084860-01	33,679.69
Trauma, PTSD Symptomatology & Health Amount Multiethnic Older Women	93.859		1SC3GM094075-01	54,271.25
Multiscale Simulations of Transport in DNA & DNA-Carbon Nanotube Systems	93.859		1SC3GM084838-01	59,348.40
Characterization of Novel Subcellular Structures in Arabidopsis Thaliana	93.859		1SC2GM098160-01	69,900.40
Role of E4BP4 in Glucocorticoid-Evoked Lymphocyte Apoptosis	93.859		5SC3GM081099-04	71,807.82
Recruitment and Fusion of ER Vesicles to the Legionella-Containing Vacuole (SCORE)	93.859		1SC2GM086312-01A1	78,885.78
Daily Functioning, Cognition, & Caregiver Burden in MCI	93.859		5SC3GM094051-03	84,593.82
An Investigation of the Mechanisms by which Down-Regulation of Lipoprotein Lipase Increase Insulin Sensitivity in Muscle Cells	93.859		1SC3GM09513-01	87,611.99
Synthesis & DNA Binding Affinity Evaluation of Novel Glivocarcin-C-Glucosides	93.859		1SC3GM096899-01	95,482.12
Mechanisms of Cytoskeleton Dynamics During Neural Crest Cell Chemoattraction	93.859		1SC3GM096904-01	96,723.09
Molecular Characterization of Insect Pheromone Chemosensory Mutants in Nematodes	93.859		1SC2GM089602-01	96,880.29
New Olefin Metathesis Catalysts Based on Innocuous & Abundant Metals	93.859		1SC3GM098217-01	102,430.62
recruitment of Rad 10 in Double-Strand Break Repair	93.859		1SC3GM093858-01	108,060.40

See accompanying notes to the Schedule of Expenditures of Federal Awards and independent auditors' report.

**THE UNIVERSITY CORPORATION**  
**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS**  
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<u>Federal Grantor/Program Title</u>	<u>Federal CFDA Number</u>	<u>Pass-through Grantor</u>	<u>Grant Award or Contract Number</u>	<u>Federal Expenditures</u>
Interface Quality Effects in Phospholipase Membrane Enzymology	93.859		1SC3GM096876-01	120,838.01
Investigating Protein Dynamics in NT-4/5 & TrkB Receptor Interactions	93.859		1SC2GM094127-01	132,842.18
Regulation of Akinete Differentiation in Nostoc Punctiforme	93.859		1SC1GM093998-01	140,827.99
Phospholipid Dynamics & Lypolysis in Membrane Models	93.859		1SC3GM096878-01	130,701.93
Psychosocial Benefits of Ethnic Diversity in Urban Middle Schools: Diversity Supplement	93.865	REGENTS UNIV OF CAL	0070 G PD248	9,755.18
				<u>2,813,780.05</u>
<b>Total Research &amp; Development Cluster:</b>				<u>7,108,493.96</u>
<b>Training &amp; other Sponsored Activities:</b>				
<b>DEPARTMENT OF AGRICULTURE:</b>				
Advancing Students' Competencies in the Health Sciences Through a Breastfeeding Education Program	10.223		2010-38422-21226	117,953.21
				<u>117,953.21</u>
<b>DEPARTMENT OF DEFENSE:</b>				
Applications of Hydrofoils With Leading Edge Protuberances	12.300		N00014-08-1-1043	30,242.87
Strategic Language Initiative Program of the Southern Consortium of California State Universities	12.550	CSU LONG BEACH FOUNDATION	S07-325106-CSUN	30,000.00
California State University System Strategic Language Initiative	12.550	CSU LONG BEACH FOUNDATION	S07-373110-CSUN	120,122.02
Senior Design Projects Using Software Defined Radio	12.800		F1S0BD019M001	20,942.13
CSUN STARTALK Russian Language and Culture Immersion Program	12.900		H98230-12-1-0110	33,673.41
				<u>234,980.43</u>
<b>DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT:</b>				
Reducing the Childhood Obesity Rate Among Low Income Children in the Van Nuys Neighborhood	14.514		HSIAC-10-CA-11	167,776.82
				<u>167,776.82</u>
<b>DEPARTMENT OF JUSTICE:</b>				
OVW Fiscal Year 2011 Legal Assistance for Victims	16.524	NEIGHBORHOOD LEGAL SERVICES OF LOS ANGELES COUNTY	MOU	18,428.26
Valley Trauma Center	16.575	EMERGENCY MANAGEMENT AGENCY	RC10241253	67,552.38
Valley Trauma Center	16.588	EMERGENCY MANAGEMENT AGENCY	RC11251253	239,761.42
Northwestern Los Angeles County Rape Crisis Center	16.588	EMERGENCY MANAGEMENT AGENCY	RC10131253	37,007.25
2011 Law Enforcement Specialized Units Program	16.588	CITY OF LOS ANGELES	C-119702	51,292.28
Northwestern Los Angeles County Rape Crisis Center	16.588	EMERGENCY MANAGEMENT AGENCY	RC11141253	126,315.46
				<u>540,357.05</u>

See accompanying notes to the Schedule of Expenditures of Federal Awards and independent auditors' report.



**THE UNIVERSITY CORPORATION**  
**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS**  
**FOR THE YEAR ENDED JUNE 30, 2012**

<u>Federal Grantor/Program Title</u>	<u>Federal CFDA Number</u>	<u>Pass-through Grantor</u>	<u>Grant Award or Contract Number</u>	<u>Federal Expenditures</u>
<b>DEPARTMENT OF LABOR:</b>				
Integrated Services Delivery Evaluation (American Recovery & Reinvestment Act)	17.258	CAL WORKFORCE INVESTMENT BOARD		149,543.22 <u>149,543.22</u>
<b>DEPARTMENT OF TRANSPORTATION:</b>				
College DUI Awareness Program	20.601	UC IRVINE HEALTH EDUCATION CENTER (AUXILIARY)	20360	4,233.30 <u>4,233.30</u>
<b>DEPARTMENT OF THE TREASURY:</b>				
The Tax Clinic (CONTROVERSY)	21.008		2010020	1.31
The Bookstein Tax Clinic (CONTROVERSY)	21.008		12LITC0020	31,087.73
The Bookstein Tax Clinic (ESL)	21.008		12LITC0020	15,068.74
Bookstein Tax Clinic (CONTROVERSY)	21.008		11LITC0020	27,046.97
Bookstein Tax Clinic (ESL)	21.008		11LITC0020	2,371.65 <u>76,676.40</u>
<b>NATIONAL AERONAUTICS AND SPACE ADMINISTRATION:</b>				
IAU Symposium 273 on the Physics of the Sun & Star Spots	43.001		NNX10AQ67G	2,630.00
NASA Graduate Student Researchers Program (GSRP) FY 2010	43.001		NNX10AK577H	29,999.62 <u>32,629.62</u>
<b>NATIONAL ENDOWMENT FOR THE HUMANITIES:</b>				
Creating a New Minor in Middle Eastern and Islamic Studies at CSUN	45.162		AC-50089-11	65,485.89 <u>65,485.89</u>
<b>NATIONAL SCIENCE FOUNDATION:</b>				
MRI: Acquisition of a 600 MHz NMR Spectrometer to Greatly Enhance Research & Expand Teaching Activities @ CSU Northridge	47.049		CHE-1040134	766,661.41
CSUN-ESSEA Online Teacher Professional Development Project	47.050	GLOBAL ENVIRON STRATEGIES	071013	4,150.10
Louis Stokes Alliance for Minority Participation (LSAMP) Program, Bridge to the Doctorate Individual Nomination - Presidential Award for Excellence in Science, Mathematics & Engineering	47.076	UNIVERSITY ENTERPRISES, INC (CSUS)	HRD-0928852- 517272	1,002.80
	47.076		DUE-0731633	1,068.07
MESA/NSF Scholarship - CSUN	47.076	REGENTS UNIV OF CAL UNIVERSITY ENTERPRISES, INC (CSU, SACRAMENTO AUXILIARY)	11-MESA-631188- 8-120	7,375.00
CSU-LSAMP Student Scholar Program	47.076		HRD-0802628- 519931	10,000.00
CSU Louis Stokes Alliance for minority Participation, Phase IV	47.076	UNIVERSITY ENTERPRISES, INC (CSUS)	515353	22,800.00
Transition to College Statistics	47.076	WESTERN MICHIGAN UNIVERCITY	257015240	50,583.63
CSU Louis Stokes Alliance for Minority Participation, Phase IV	47.076	UNIVERSITY ENTERPRISES, INC (CSU, SACRAMENTO AUXILIARY)	HRD-0802628- 515351	89,898.67
California State University, Northridge (CSUN)	47.076		DUE-0630452	97,271.10
Robert Noyce Scholarship Program	47.076		DUE-0934972	147,371.61
CSUN NSF Teaching Fellowship Program	47.076		DUE-0969627	247,871.02
STEPS (Students Targeting Engineering & Physical Science)	47.076			

See accompanying notes to the Schedule of Expenditures of Federal Awards and independent auditors' report.

THE UNIVERSITY CORPORATION

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS

FOR THE YEAR ENDED JUNE 30, 2012

Federal Grantor/Program Title	CFDA Number	Pass-through Grantor	Grant Award or Contract Number	Federal Expenditures
CSUN's LSAMP Bridges to the Doctorate Program	47.076	UNIVERSITY ENTERPRISES, INC (CSU, SACRAMENTO AUXILIARY)	HRD-1139803-520571	444,350.77
CSUN Special Education Intern Program	47.079		OISE-1066036	35,628.30
Strategic Directions of Research on China Innovation				1,926,032.48
<b>DEPARTMENT OF EDUCATION:</b>				
Family Focus Center-Family Empowerment (CAIP)	84.027	CALIFORNIA DEPARTMENT OF EDUCATION	1014301201700	23,579.94
CSUN Special Education Intern Program (CAIP)	84.027	LA COUNTY OFFICE OF EDUCATION	082236:10:11	82,746.22
Family Focus Center-Family Empowerment (CAIP)	84.027	CALIFORNIA DEPARTMENT OF EDUCATION	11-14301201700	187,416.08
Improving Support & Academic Success for Latin & Low-income Students	84.027	LA COUNTY OFFICE OF EDUCATION	088477-11-12	241,101.31
Improving Support & Academic Success for Latin & Low-income Students	84.031		P031S00051	115,444.08
CSU, Northridge Engineering & Computer Science HSI-STEM Initiative	84.031		P031C110031	436,841.62
Improving Support & Academic Success for Latin & Low-income Students	84.031		P031M105020	529,094.87
Latin & Low-income Students	84.031		P031S100051-11	647,677.55
Student Support Services Project at CSU, Northridge	84.042		P042A101371	104,838.30
Student Support Services Project at CSU, Northridge	84.042		P042A101371-11	150,985.33
Talent Search Program - Region I	84.044		P044A060607-10	45,794.60
Talent Search Program - Region II	84.044		P044A060605-10	60,303.86
(Region 1)	84.044		P044A110416	158,815.88
Talent Search San Fernando Valley North Central (Region 2)	84.044		P044A110914	164,827.42
Upward Bound Classic	84.047		P047A070883-10	82,735.15
Upward Bound Math Science	84.047		P047M090337-10	88,295.43
Upward Bound Math Science	84.047		P047M090337-11	164,890.91
Upward Bound Classic	84.047		P047A070883-11	166,253.99
Urban Planning & Sustainability Project	84.116		P116M090010-10	2,255.16
External Evaluation for Center of Excellence for Veterans Student Success/Santa Monica College	84.116	SANTA MONICA COMMUNITY COLLEGE DISTRICT	AGREEMENT	14,858.38
Service	84.116		P116H100007	43,775.48
Urban Planning & Sustainability Project	84.116		P116M090010-11	59,465.48
Workability IV	84.126	DEPT OF REHABILITATION	26967	2,252.20
Workability IV	84.126	DEPT OF REHABILITATION	28212	203,159.47
Arts Education & Teacher Professional Development	84.215		U215K090291	25,358.92
Funds for the Improvement of Education	84.215		U215K090326	37,462.00
Earnmark Grant Awards	84.215		U215K100257	147,087.44
Improving the Preparation of Educators through Collaborative, Integrative & Interdisciplinary Experiences	84.215		U217A093015	154,738.70
Achievement	84.215		H325K10011	15,702.71
Practice in High-Need Schools: Project REACH	84.325		H325K05139-08	148,429.82
Combined Priority for Personnel Development	84.325		H325K100426	210,833.79
Combined Priority for Personnel Development	84.325		H325K060501-09	269,984.55
Technology Enhanced Culturally-Responsive (TEC) Intern Program	84.325			

See accompanying notes to the Schedule of Expenditures of Federal Awards and independent auditors' report.

**THE UNIVERSITY CORPORATION**  
**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS**  
**FOR THE YEAR ENDED JUNE 30, 2012**

<u>Federal Grantor/Program Title</u>	<u>Federal CFDA Number</u>	<u>Pass-through Grantor</u>	<u>Grant Award or Contract Number</u>	<u>Federal Expenditures</u>
Postsecondary Education Program for Individuals Who Are Deaf	84.326		H326D060002-10	394,292.60
Center for Results in Education & Employment for Deaf Students: Research & Collaboration for System Change	84.326		H326D110003	1,704,643.67
GEAR UP Southgate College Partnership	84.334	LOS ANGELES UNIFIED SCHOOL DISTRICT	0800597-OPTION YR 2	74.82
GEAR-UP Project - STEPS	84.334	LOS ANGELES UNIFIED SCHOOL DISTRICT	0900264-OPTION YR 2	10,457.38
Project STEPS	84.334	LOS ANGELES UNIFIED SCHOOL DISTRICT	1200262	26,362.41
GEAR UP Southgate College Partnership	84.334	LOS ANGELES UNIFIED SCHOOL DISTRICT	0800597-OPTION YR 3	27,790.38
GEAR UP Project - LASSO	84.334	LOS ANGELES UNIFIED SCHOOL DISTRICT	1000346	30,038.96
GEAR-UP Project - STEPS	84.334	LOS ANGELES UNIFIED SCHOOL DISTRICT	0900264-OPTION YR 3	34,023.37
LASSO/Gear Up	84.334	LOS ANGELES UNIFIED SCHOOL DISTRICT	0800739	61,656.41
Project GRAD Los Angeles-GEAR UP	84.334	PROJECT GRAD LOS ANGELES	AGREEMENT	75,942.25
Project GRAD Los Angeles-GEAR UP	84.334	PROJECT GRAD LOS ANGELES	AGREEMENT	93,644.83
Preparing Asian ESL and Bilingual Teachers for the 21st Century Classroom	84.365		T365Z110101	78,861.17
Cal State Northridge Writing Project - NCLB Funding	84.367	REGENTS OF THE UNIVERSITY OF CALIFORNIA	NCLB7-CWP-NORTHRIDGE	(22.06)
San Fernando Valley Science Project-Teacher Retention Initiative	84.367	REGENTS OF THE UNIVERSITY OF CALIFORNIA	0995 G MA097	240.00
San Fernando Valley Science Project	84.367	REGENTS OF THE UNIVERSITY OF CALIFORNIA	NCLB7-CSP-NORTHRIDGE	587.52
Professional Development Services in Support of Private Schools	84.367	LOS ANGELES UNIFIED SCHOOL DISTRICT	1100190	1,930.00
Professional Development Services in Support of Private Schools	84.367	LOS ANGELES UNIFIED SCHOOL DISTRICT	1100190	1,930.00
Professional Development Services in Support of Private Schools	84.367	LOS ANGELES UNIFIED SCHOOL DISTRICT	1100190	10,075.00
Single Subject University Intern Program (SSUIP)	84.367	LOS ANGELES UNIFIED SCHOOL DISTRICT	0800368	2,679.26
San Fernando Valley Science Project (NCLB8A)	84.367	REGENTS UNIV OF CAL	NCLB8A-CSP-NORTHRIDG	4,484.97
Cal State Northridge Writing Project - NCLB Funding	84.367	REGENTS UNIV OF CAL	NCLB8-CWP-NORTHRIDGE	41,594.09
CSUN/LAUSD Multiple Subject Internship Program	84.367	LOS ANGELES UNIFIED SCHOOL DISTRICT	0800369	46,318.57
San Fernando Valley Science Project	84.367	REGENTS UNIV OF CAL	NCLB8-CSP-NORTHRIDG	46,398.40
Student Improvement Through Teacher Empowerment (SITTE)	84.367	CAL POSTSECONDARY EDUC COMMISSION	ITQ-09-607	143,922.34
Enhancing Quality with Teachers of Students who are Deaf/Hard of Hearing	84.367	CAL POSTSECONDARY EDUC COMMISSION	ITQ-11-812	177,291.93
Student Improvement Through Teacher Empowerment	84.367	CAL POSTSECONDARY EDUC COMMISSION	ITQ-09-607	190,076.03
Single Subject University Intern Program (SSUIP)	84.367	LOS ANGELES UNIFIED SCHOOL DISTRICT	0800368	359,829.73
A Teaching Residency Program in Special Education: Improving Achievement of Students with Disabilities in High-Need Schools (American Recovery & Reinvestment Act)	84.405		U405A100082	1,656,784.63
National Writing Project 2009-2010 & 2010-2011	84.928	NATIONAL WRITING PROJECT CORPORATION	09-CA20	40,586.49
				<u>10,049,501.79</u>

See accompanying notes to the Schedule of Expenditures of Federal Awards and independent auditors' report.

**THE UNIVERSITY CORPORATION**  
**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS**  
**FOR THE YEAR ENDED JUNE 30, 2012**

<u>Federal Grantor/Program Title</u>	<u>Federal CFDA Number</u>	<u>Pass-through Grantor</u>	<u>Grant Award or Contract Number</u>	<u>Federal Expenditures</u>
<b>DEPARTMENT OF HEALTH AND HUMAN SERVICES:</b>				
Rape Prevention & Education - University Corporation	93.136	CALIFORNIA DEPARTMENT OF PUBLIC HEALTH	11-10386	5,791.84
Rape Prevention & Education - University Corporation	93.136	CALIFORNIA DEPARTMENT OF HEALTH SERVICES	09-11630	30,973.54
Facilitating Advancement in Social Sciences - COR	93.242		5T34MH020023-10	18,125.42
Parentlinks	93.251	CAL DEPT OF EDUCATION	10-01811-2017-00	12,925.86
Parentlinks	93.251	CAL DEPT OF EDUCATION	11-01811-2017-00	50,395.55
Family Preservation Program - North Hollywood	93.556	LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES	04-025-54/05-028-11	2.93
Child Abuse & Neglect Prevention, Intervention and Treatment Program Services	93.556	LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES	AB173/AB2994	294.26
Family Preservation Program - Santa Clarita	93.556	LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES	04-025-55	2,393.13
Adoption Promotion & Support Services for SPA 2	93.556	LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES	04-024-25	5,113.76
Family Support Services for SPA 2	93.556	LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES	04-024-12	15,636.27
Child Abuse & Neglect Prevention, Intervention and Treatment Program Services	93.556	LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES	AB 1733/AB 2994	84,201.54
Family Support Services for SPA 2	93.556	LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES	04-024-12	121,519.07
Adoption Promotion & Support Services for SPA 2	93.556	LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES	04-024-25	210,255.51
Family Preservation Program - North Hollywood	93.556	LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES	04-025-54/05-028-11	731,761.40
Family Preservation Program - Santa Clarita	93.556	LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES	04-025-55	1,003,692.85
CALswec Title IV-E Funding Stipend Program	93.658	REGENTS OF THE UNIVERSITY OF CALIFORNIA	7304	7,210.97
CalSWEC Title IV-E Funding Stipend Program	93.658	REGENTS OF THE UNIVERSITY OF CALIFORNIA	00007805	839,230.25
Integrating Lipid Biosynthesis with Bacterial Cell Cycle Progression/ARRA (American Recovery & Reinvestment Act)	93.701		3SC2GM084860-03S1	13,039.86
Telephone-Based Support & Education to Promote Breastfeeding Rates of Latina Medicaid Recipients	93.779		1HOCMS3308303-01-00	22,623.82
Telephone-Based Support & Education to Promote Breastfeeding Rates of Latina Medicaid Recipients	93.779		1HOCMS3308303-02-00	56,498.97
MARC U-STAR at California State University Northridge	93.859		2T34GM008395-21	10,469.98
MARC U-STAR at California State University Northridge	93.859		5T34GM008395-23	15,842.29
MBRS Development & Enhancement at CSUN (RISE)	93.859		5R25GM063787-08	28,805.69
Option II MBRS RISE at CSUN	93.859		2R25GM063787-09A1	475,636.24

See accompanying notes to the Schedule of Expenditures of Federal Awards and independent auditors' report.

**THE UNIVERSITY CORPORATION**  
**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS**  
**FOR THE YEAR ENDED JUNE 30, 2012**

<u>Federal Grantor/Program Title</u>	<u>Federal CFDA Number</u>	<u>Pass-through Grantor</u>	<u>Grant Award or Contract Number</u>	<u>Federal Expenditures</u>
MARC U-STAR at California State University Northridge	93.859		5T34GM008395-22	488,130.78 <u>4,250,571.78</u>
<b>CORPORATION FOR NATIONAL AND COMMUNITY SERVICE:</b>				
Teams/Americorp Program	94.006	UNIVERSITY OF SAN FRANCISCO	08-042F 220200, JS SITE	17,062.83
JumpStart Program	94.006	JUMPSTART	#22	10,257.03
JumpStart Program	94.006	JUMPSTART	220200	62,855.09 <u>90,174.95</u>
<b>DEPARTMENT OF HOMELAND SECURITY:</b>				
Homeland Security Grant Program (HSGP) - Interoperable Communications	97.073	TRUSTEES CAL ST UNIVERSITY	X0020209-NRAUX	23,462.27 <u>23,462.27</u>
<b>Total Training &amp; Other Sponsored Activities:</b>				<u>17,728,279.21</u>
<b>Total Expenditures of Federal Awards</b>				<u>24,836,773.17</u>

See accompanying notes to the Schedule of Expenditures of Federal Awards and independent auditors' report.

**THE UNIVERSITY CORPORATION**

**NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS**

**June 30, 2012**

**NOTE 1: GENERAL**

The accompanying schedule of expenditures of federal awards presents the activity of all federal awards programs of The University Corporation, California State University, Northridge (a California State University Auxiliary Organization) (the Corporation). The schedule of expenditures of federal awards includes federal awards programs of the Corporation received directly from federal agencies as well as federal awards passed through other agencies. The Corporation's reporting entity is defined in Note 1 to the Corporation's financial statements.

**NOTE 2: BASIS OF ACCOUNTING**

The accompanying schedule of expenditures of federal awards is presented using the accrual basis of accounting which is described in Note 2 of the Corporation's financial statements.

**NOTE 3: RELATIONSHIP TO BASIC FINANCIAL STATEMENTS AND FEDERAL FINANCIAL REPORTS**

Information reported in the accompanying schedule of expenditures of federal awards agrees with the amounts reported in both the basic financial statements and related federal financial reports for the major federal programs.

**NOTE 4: AMOUNTS PROVIDED TO SUBRECIPIENTS**

Of the federal expenditures presented in the accompanying schedule of expenditures of federal awards, the Corporation provided federal awards to subrecipients as follows:

<u>Number</u>	<u>Federal Grantor /Program Title</u>	<u>Amount</u>
	<b>DEPARTMENT OF AGRICULTURE:</b>	
10.223	Advancing Students' Competencies in the Health Sciences Through a Breastfeeding Education Program	2,500.00
	<b>DEPARTMENT OF DEFENSE:</b>	
12.300	Applications of Hydrofoils With Leading Edge Protuberances	12,154.14
12.351	Electronic Structure of Semiconductor Detector Surfaces & Contact Interfaces: Optimization of Signal-to-Noise Ratio	228,815.80
	<b>DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT:</b>	
14.514	Reducing the Childhood Obesity Rate Among Low Income Children in the Van Nuys Neighborhood	15,000.00
	<b>NATIONAL SCIENCE FOUNDATION:</b>	
47.049	PREM-Multidisciplinary Research & Education in Computational Materials Science	96,150.72
47.049	EAGER: Towards Rapid Sequencing of Individual DNA Molecules in Graphene Nanogaps	10,000.00
47.074	RUI-LTREB Long Term Coral Reef Community Dynamics in St. John, USVI: 1987-2019	5,653.09
47.082	RUI: The Role of Childhood Language Memory in Adult Language Learning: Korean Adoptees Learning/ARRA (American Recovery & Reinvestment Act)	65,958.05

**THE UNIVERSITY CORPORATION**  
**NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS**  
**June 30, 2012**

**NOTE 4: AMOUNTS PROVIDED TO SUBRECIPIENTS (CONT.)**

<u>Number</u>	<u>Federal Grantor /Program Title</u>	<u>Amount</u>
<b>DEPARTMENT OF EDUCATION:</b>		
84.031	CSU, Northridge Engineering & Computer Science HSI-STEM Initiative	51,030.35
84.326	Postsecondary Education Program for Individuals Who Are Deaf	189,092.19
84.326	Center for Results in Education & Employment for Deaf Students: Research & Collaboration for System Change	782,427.45
84.367	Student Improvement Through Teacher Empowerment	65,864.26
<b>DEPARTMENT OF HEALTH AND HUMAN SERVICES:</b>		
93.837	Multi-Scale Modeling of Calcium Mediated Triggered Activity in the Heart	97,094.06
		<u><u>\$ 1,621,740.11</u></u>