

THE UNIVERSITY CORPORATION

SINGLE AUDIT REPORTS

June 30, 2011

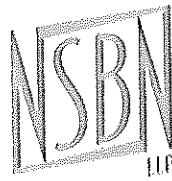
THE UNIVERSITY CORPORATION

SINGLE AUDIT REPORTS

June 30, 2011

TABLE OF CONTENTS

	<u>Page</u>
Independent Auditors' Report on Internal Control over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with Government Auditing Standards	1-2
Independent Auditors' Report on Compliance with Requirements Applicable to Each Major Program and on Internal Control over Compliance in Accordance with OMB Circular A-133	3-5
Schedule of Findings and Questioned Costs	6-8
Schedule of Expenditures of Federal Awards	9-13
Notes to Schedule of Expenditures of Federal Awards	14



CERTIFIED PUBLIC ACCOUNTANTS
&
BUSINESS CONSULTANTS

September 21, 2011

INDEPENDENT AUDITORS' REPORT ON INTERNAL CONTROL OVER FINANCIAL
REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT
OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH *GOVERNMENT
AUDITING STANDARDS*

To The Board of Directors
The University Corporation
California State University Northridge
Northridge, California

We have audited the financial statements of The University Corporation, California State University, Northridge (a California State University Auxiliary Organization) (the Corporation) as of and for the year ended June 30, 2011, and have issued our report thereon dated September 21, 2011. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

Internal Control Over Financial Reporting

In planning and performing our audit, we considered the Corporation's internal control over financial reporting as a basis for designing our auditing procedures for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Corporation's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of the Corporation's internal control over financial reporting.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct misstatements on a timely basis. A material weakness is a deficiency, or a combination of deficiencies, in internal control such that there is a reasonable possibility that a material misstatement of the entity's financial statements that will not be prevented, detected and corrected on a timely basis.

Our consideration of the internal control over financial reporting was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over financial reporting that might be deficiencies, significant deficiencies, or material weaknesses. We did not identify any deficiencies in internal control over financial reporting that we consider to be material weaknesses, as defined above.

INDEPENDENT AUDITORS' REPORT ON INTERNAL CONTROL OVER FINANCIAL
REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT
OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH GOVERNMENT
AUDITING STANDARDS (CONT.)

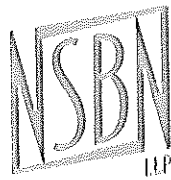
Compliance and Other Matters

As part of obtaining reasonable assurance about whether the Corporation's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

This report is intended solely for the information and use of management, the audit committee, the Board of Directors, others within the entity, and federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

NSBN LLP

NSBN LLP



CERTIFIED PUBLIC ACCOUNTANTS
&
BUSINESS CONSULTANTS

September 21, 2011

INDEPENDENT AUDITORS' REPORT ON COMPLIANCE WITH REQUIREMENTS THAT COULD
HAVE A DIRECT AND MATERIAL EFFECT ON EACH MAJOR PROGRAM AND ON INTERNAL
CONTROL OVER COMPLIANCE IN ACCORDANCE WITH OMB CIRCULAR A-133

To The Board of Directors
The University Corporation
California State University Northridge
Northridge, California

Compliance

We have audited the compliance of The University Corporation, California State University, Northridge (a California State University Auxiliary Organization) (the Corporation) with the types of compliance requirements described in the U.S. Office of Management and Budget (OMB) Circular A-133 *Compliance Supplement* that could have a direct and material effect on each of the Corporation's major federal programs for the year ended June 30, 2011. The Corporation's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs. Compliance with the requirements of laws, regulations, contracts and grants applicable to each of its major federal programs is the responsibility of the Corporation's management. Our responsibility is to express an opinion on the Corporation's compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the Corporation's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination of the Corporation's compliance with those requirements.

In our opinion, the Corporation complied, in all material respects, with the requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2011.

INDEPENDENT AUDITORS' REPORT ON COMPLIANCE WITH REQUIREMENTS THAT COULD HAVE A DIRECT AND MATERIAL EFFECT ON EACH MAJOR PROGRAM AND ON INTERNAL CONTROL OVER COMPLIANCE IN ACCORDANCE WITH OMB CIRCULAR A-133 (CONT.)

Internal Control Over Compliance

Management of the Corporation is responsible for establishing and maintaining effective internal control over compliance with the requirements of laws, regulations, contracts, and grants applicable to federal programs. In planning and performing our audit, we considered the Corporation's internal control over compliance with the requirements that could have a direct and material effect on a major federal program to determine the auditing procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness the Corporation's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. A material weakness in internal control over compliance is a deficiency, or a combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis.

Our consideration of the internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be deficiencies, significant deficiencies, or material weaknesses. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses, as defined above.

Schedule of Expenditure of Federal Awards

We have audited the financial statements of the Corporation as of and for the year ended June 30, 2011, and have issued our report thereon dated September 21, 2011, which contained an unqualified opinion on those financial statements. Our audit was conducted for the purpose of forming an opinion on the basic financial statements. The schedule of expenditures of federal awards is presented for purposes of additional analysis as required by the U.S. Office of Management and Budget Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*, and is not a required part of the basic financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the basic financial statements as a whole.



INDEPENDENT AUDITORS' REPORT ON COMPLIANCE WITH REQUIREMENTS THAT COULD
HAVE A DIRECT AND MATERIAL EFFECT ON EACH MAJOR PROGRAM AND ON INTERNAL
CONTROL OVER COMPLIANCE IN ACCORDANCE WITH OMB CIRCULAR A-133 (CONT.)

This report is intended solely for the information and use of management, the audit committee, the Board of Directors, others within the entity, and federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

NSBN LLP

NSBN LLP

THE UNIVERSITY CORPORATION
SCHEDULE OF FINDING AND QUESTIONED COSTS
FOR THE YEAR ENDED JUNE 30, 2011

Section I - Summary of Auditor's Results

Financial Statements

Type of auditor's report issued: Unqualified

Internal control over financial reporting:

· Material weakness(es) identified? _____ Yes X No
· Significant deficiencies identified that are not considered to be material weakness(es)? _____ Yes X None reported
Noncompliance material to financial statements noted? _____ Yes X No

Federal Awards

Internal control over major programs:

· Material weakness(es) identified? _____ Yes X No
· Significant deficiencies identified that are not considered to be material weakness(es)? _____ Yes X None reported

Type of auditors' report issued on compliance for major programs: Unqualified

Any audit findings disclosed that are required to be reported in accordance with section 510(a) of Circular A-133?

_____ Yes X No

Major programs:

<u>CFDA Number(s)</u>	<u>Name of Federal Program or Cluster</u>
Various 84.042, 84.044, 84.047, and 84.217	Research and Development Cluster
17.258	TRIO Cluster
84.031	WIA Adult Program (ARRA)
84.215	Higher Education_Institutional Aid
84.326	Fund for the Improvement of Education
84.405	Special Education_Technical Assistance and Dissemination to Improve Services and Results for Children with Disabilities
	Teacher Quality Partnerships, Recovery Act (ARRA)

Dollar threshold used to distinguish between type A and type B programs: \$593,060

Auditee qualified as low-risk? X Yes _____ No

See accompanying independent auditors' report.

THE UNIVERSITY CORPORATION
SCHEDULE OF FINDING AND QUESTIONED COSTS
FOR THE YEAR ENDED JUNE 30, 2011

Section II - Financial Statement Findings

None

Section III - Federal Award Findings and Questioned Costs

None

See accompanying independent auditors' report.

THE UNIVERSITY CORPORATION
SCHEDULE OF PRIOR YEAR AUDIT FINDING AND QUESTIONED COSTS
FOR THE YEAR ENDED JUNE 30, 2011

Section IV - Prior Audit Findings and Questioned Costs

None

See accompanying independent auditors' report.

THE UNIVERSITY CORPORATION
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

Federal Grantor/Program Title	Federal CFDA Number	Pass-through Grantor	Grant Award or Contract Number	Federal Expenditures
Research & Development Cluster:				
DEPARTMENT OF COMMERCE:				
Visualization of Sea Level Rise	11.417	SAN DIEGO STATE UNIVERSITY	A/CC-01	12,107.49 <u>12,107.49</u>
DEPARTMENT OF DEFENSE:				
Aerodynamics Characteristics of Cargo Ram-Air Canopies During Maneuvering	12.300		W911QY-10-2-0002	62,671.81
GFT-Archaeological Testing & Evaluation for Utilities EIS	12.300		AGREE_EMT	2,507.00
Analysis of Cargo & Personnel Ram-Air Parachute Systems	12.300		W911QY-09-P-0357	14,681.26
Evaluation of Four Archeological Sites in the Emerson Lake Training Area, Marine Air Ground Task	12.300		14120-2-08	4,420.13
Army High Performance Computing Research Center (AHPARC)	12.300		10-04-090	108,235.77
Climatic Monitoring Program on San Clemente Island	12.300		W9126G-10-2-0036	18,239.42
First-Principles Based Multiscale Modeling of Structure, Energetics and Mobility of Defects in Metal	12.300		N06014-11-1-0175	46,590.24
Acquisition of a Beowulf Cluster of Computational Materials Research and Education	12.300		10-12-271	84,212.31
Electronic Structure of Semiconductor Detector Surfaces & Contact Interfaces: Optimization of Signal-to-Noise Ratio	12.351		HDTRA-10-1-0113	218,809.38
Sub-Aperture Based Conformal Imaging System	12.600	OPTICAL PHYSICS COMPANY (OPC)	OPC2344	102,255.89
Simultaneous Channel Utilization Techniques	N/A	NAVAL AIR WARFARE CENTER	N68938-11-P0063	18,095.40
Optical Data Link	N/A	NAVAL AIR WARFARE CENTER	N68938-11-P0196	9,047.70
				<u>689,766.40</u>
DEPARTMENT OF THE INTERIOR:				
Mapping & Assimilating of Off-Shore Geologic & Geophysical Data to Study the Pacific-North American	15.807	USC-SOUTHERN CALIFORNIA EARTHQUAKE CENTER	137753	7,561.93
Earthquake Record & Slip Rate of the San Geronimo Pass Fault Zone: Testing the ShakeOut Scenario Earthquake	15.807	USC-SOUTHERN CALIFORNIA EARTHQUAKE CENTER	137753	30,999.46 <u>38,561.39</u>
NATIONAL AERONAUTICS AND SPACE ADMINISTRATION:				
Magnetic Origins of Solar Irradiance Variations	43.001	MONTANA STATE UNIVERSITY	G253-08-W1796	10,942.72
Exploring the Magnetic Connection and Associated Dynamics from the Photosphere through Chromosphere to the Corona	43.001		NNX08AQ32G	84,059.29
Comet 103P/Hartley 2 During the EPOXI Spacecraft Encounter	43.001	SMITHSONIAN ASTROPHYSICAL OBSERVATORY	G01-12011B	4,921.07
Annex 13: Alerts in Trajectory Operations Human Factors Study	43.001	SAN JOSE UNIVERSITY RESEARCH FOUNDATION	21-1614-219-CSUN	48,974.21
NRA/Research Opportunities in Space & Earth Sciences-2009	43.001		NNX10AM81G	63,210.55
Observations and Analysis of Solar Total and Spectral Irradiance Variability Metrics for Operator Situation Awareness, Workload & Performance in Automated Separation Assurance Systems	43.001		NNX11AB51G	7,971.81
	43.002	CSU LONG BEACH FOUNDATION	S07324706	14,744.28 <u>234,823.93</u>
NATIONAL ENDOWMENT FOR THE HUMANITIES:				
The Interland of Shop, Turkey: A Case Study of the Origins & Development of Black Sea Trade	45.161		RZ-51060-09	58,241.40 <u>58,241.40</u>
NATIONAL ENDOWMENT FOR THE HUMANITIES:				
The Spanish & Mexican Influence on California: 1769-1884	45.163		BH-50366-10	20,990.42 <u>20,990.42</u>
NATIONAL SCIENCE FOUNDATION:				
Numerical Simulation of Flows in Transitional Regime Using Model Kinetic Equations	47.049	UNIVERSITY OF MINNESOTA	CPS # 0000889202	18,000.00
Development Method to Predict Phase Separation & Charge Transport in Bulk Heterojunction Conjugated Polymer Solar Cells	47.049	REGENTS UNIVERSITY OF CALIFORNIA	LL118	14,463.49
RUI: Theoretical (Numerical) Investigations of Novel Quantum Phases and Transitions in Strongly	47.049		DMR-0906816	57,433.49
Stochastic Models of Viral Adsorption, Fusion & Replication	47.049		DMS-0718482	34,076.27
RUI: Spontaneous Generation & Coupling of Cobalt-Complexed Propargyl Radicals: Intra & Intermolecular	47.049		CHE-0707865	43,478.18
RUI: Theoretical (Numerical) Investigations of Novel Transport & Topological Properties of Two-Dimensional Interacting Electron Systems	47.049		DMR-0605698	7,765.40
RUI: Spectroscopy in bulk superfluid 4He	47.049		CHE-0849057	125,382.68
RUI: Problems in Varieties of Commuting Matrices, Jet Schemes, & Division Algebras	47.049		DMS-0709004	32,899.48
PREM-Multidisciplinary Research & Education in Computational Materials Science "PUMP: Preparing Undergraduates Through Mentoring Towards Ph.D.'s"	47.049		DMR-0611562	322,484.88
CAREER: A Probable Solar Adaptive Optics System for High-Resolution Imaging Investigations	47.049		DMS-0502258	222,370.22
International Astronomical Union (IAU) Symposium 273: Physics of Sun & Star Spots	47.049		ATM-0841440	111,558.38
EAGER: Towards Rapid Sequencing of Individual DNA Molecules in Graphene Nanogaps	47.049		AST-0968672	17,876.20
DMR-1034937	47.049		DMR-1034937	122,183.19
Collaborative Research: Earthquake Geology of the Pakistani Himalaya: Characterizing Slip Rates & Recurrence of Potentially Devastating Earthquake Sources	47.050		EAR-0635929	77,943.37
Coral Reefs in Moorea, French Polynesia	47.050	UNIVERSITY OF CALIFORNIA AT SANTA BARBARA	KK5130	49,543.90
Coral Reefs in Moorea, French Polynesia	47.050	UNIVERSITY OF CALIFORNIA AT SANTA BARBARA	KK5131	13,982.00
Long Term Ecological Research: Coral Reefs in Moorea, French Polynesia	47.050	UNIVERSITY OF CALIFORNIA AT SANTA BARBARA	KK1136	69,950.86
Long Term Ecological Research: Coral Reefs in Moorea, French Polynesia	47.050	UNIVERSITY OF CALIFORNIA AT SANTA BARBARA	KK1136	97,534.34
Career: Magnetic Tomography of Emerging Sunspots (MATES)	47.050		ATM-0548260	65,083.07
Collaborative Research: Depth Distribution of Anisotropic Fabric in the Ocean Mantle	47.050		OCE-0830788	12,312.38

See accompanying notes to the Schedule of Expenditures of Federal Awards and independent auditors' report.

THE UNIVERSITY CORPORATION
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

Federal Grantor/Program Title	Federal CFDA Number	Pass-through Grantor	Grant Award or Contract Number	Federal Expenditures
RUI: Ocean Acidification - Category 1 - The Effects of Ocean Acidification on the Organismic Biology & Community Ecology of Corals, Calcified Algae, & Coral Reefs	47.050		OCE-1041270	66,193.75
RUI: Ocean Acidification - Category 1 - The Effects of Ocean Acidification on the Organismic Biology & Community Ecology of Corals, Calcified Algae, & Coral Reefs	47.050		OCE-1041270	51,662.70
Collaborative Research: Homeostasis, Stoichiometry and Dynamic Energy Budgets at Multiple Levels of Biological Organization	47.074		EF-0742587	69,667.34
RUI-LTREB Long Term Coral Reef Community Dynamics in St. John, USVI: 1987-2019	47.074		DEB-0841441	68,372.65
MRU: Acquisition of a Flow Cytometer for Research & Teaching in Cell & Molecular Biology	47.074		DBI-0923533	50,298.00
RUI: REVSYS: Integrative Systematics of Gekkonan Lizards-Phylogenetic Resolution, Taxonomic Revision & Comparative Biology	47.074		BAUER5-25568	6,210.68
Effect of PAH Exposure on Aquatic Plant Community Structure, Productivity & Resilience	47.074		10-100360-01	27,830.16
LTREB: Experimental tests of Alternative States on Rocky Intertidal Shores	47.074		10026091	30,493.32
Collaborative Research: A Comparative Study of ICT Industry Development in Beijing, Shanghai-Suzhou	47.075		BSC-0552265	4,982.86
Cognition in Context	47.075		BCS-0642718	4,710.50
Collaborative Research: Gaming & Interactive Visualization for Education	47.076		DUE-0736875	16,191.13
Establishing a CDIO Framework to Improve Learning & Retention in a Diverse Undergraduate	47.076		DUE-0737139	45,230.06
Participation on Expedition 317 of the Integrated Ocean Drilling Program	47.079	CONSORTIUM FOR OCEAN LEADERSHIP	BA-75 & T317A75	28,120.38
RUI: Observations & Modeling of Solar Total & Spectral Variability/ARRA (American Recovery & Reinvestment Act)	47.082		ATH-0848515	48,820.47
RUI: The Ecophysiological Basis of the Response of Coral Larvae & Early Life History Stages to/ARRA (American Recovery & Reinvestment Act)	47.082		OCE-0844785	179,314.55
RUI: The Role of Childhood Language Memory in Adult Language Learning: Korean Adoptees Learning/ARRA (American Recovery & Reinvestment Act)	47.082		BCS-0844106	85,350.44
Collaborative Research: A 3D Seismic Study of the Pacific-North American Plate Boundary in Southern California/ARRA (American Recovery & Reinvestment Act)	47.082		OCE-0824982	72,446.81
"MRI-R2" Acquisition of a Beowulf Cluster for Computational Materials Research and Education/ARRA (American Recovery & Reinvestment Act)	47.082		DMR-0958596	164,466.03
NEESR-CR: Performance-Based Design for Cost-Effective Seismic Hazard Mitigation in New Buildings/ARRA (American Recovery & Reinvestment Act)	47.082	LEHIGH UNIVERSITY	542138-8002	15,593.62
				<u>2,602,187.19</u>
DEPARTMENT OF ENERGY:				
Hierarchical Petascale Simulation Framework for Stress Corrosion Cracking	61.049		DE-FC02-06ER25791	168,196.73
Numerical Investigations of Novel Charge & Spin Hall Effects in Graphene & Other Spin-Orbit Coupling	61.049		DE-FG02-06ER46305	96,750.65
				<u>264,947.38</u>
DEPARTMENT OF EDUCATION:				
American College Health Association National College Health Assessment	84.184	REGENTS UNIVERSITY OF CALIFORNIA	2011-2550	6,500.88
				<u>6,500.88</u>
DEPARTMENT OF HEALTH AND HUMAN SERVICES:				
Outcomes of C26 Testing in Deaf/Hard of Hearing Adults	93.172	UNIVERSITY OF CALIFORNIA AT LOS ANGELES	2000GHG121	17,345.06
Multiple Social Tasks & Social Adjustment	93.242		SSC2M04087466-03	108,807.43
CSUN-SFV Center to Reduce Health Disparities	93.307		1P20MD003938-01	(5,800.00)
CSUN-SFV Center to Reduce Health Disparities	93.307		1P20MD003938-01	1,593.39
CSUN-SFV Center to Reduce Health Disparities	93.307		1P20MD003938-01	68.11
CSUN-SFV Center to Reduce Health Disparities	93.307		1P20MD003938-02	433,457.78
CSUN-SFV Center to Reduce Health Disparities	93.307		1P20MD003938-02	105,834.52
CSUN-SFV Center to Reduce Health Disparities	93.307		1P20MD003938-02	129,149.74
CSUN-SFV Center to Reduce Health Disparities	93.307		1P20MD003938-02	167,504.34
CSUN-SFV Center to Reduce Health Disparities	93.307		1P20MD003938-02	15,509.66
CSUN-SFV Center to Reduce Health Disparities	93.307		1P20MD003938-02	8,235.48
CSUN-SFV Center to Reduce Health Disparities	93.307		1P20MD003938-02	2,479.74
Modulation of Glucocorticoid-Evoked Lymphocyte Apoptosis by BTG1	93.395		1R15CA122613-01A1	8,254.77
An Environmentally Benign Method for the Synthesis of C-aryl Glycosides/ARRA (American Recovery & Reinvestment Act)	93.701		3SC2GM081064-03S1	21,142.33
Role of E4BP4 in Glucocorticoid-Evoked Lymphocyte Apoptosis/ARRA (American Recovery & Reinvestment Act)	93.701		3SC3GM0081099-03S1	53,783.25
Temporal & Spatial Relationships of Proteins in Yeast NER/ARRA (American Recovery & Reinvestment Act)	93.701		3SC2GM081155-03S1	4,711.99
Multiscale Simulations of Transport in DNA & DNA-Carbon Nanotube Systems/ARRA (American Recovery & Reinvestment Act)	93.701		3SC3GM048638-02S1	60,262.27
Support Person Use & Child Witness Stress, Accuracy & Perceived Credibility-ARRA (American Recovery & Reinvestment Act)	93.701		1R1SHD065651-01	115,089.26
Multi-Scale Modeling of Calcium Mediated Triggered Activity in the Heart	93.837		1R01HL101196-01	171,666.93
Skeletal Muscle Insulin Resistance: High Fat Diet & Inflammatory Pathways	93.847		2R15DK057625-04	85,076.04
Role of Robo Receptor in the Formation of the Enteric Nervous System	93.853		1R1NS060909-01	46,885.35
Analysis of Neurotrophic Cytokines & Their Receptors in Diabetic Neuropathy	93.853		1R15NS060117-01A2	35,124.80
Recruitment and Fusion of ER Vesicles to the Legionella-Containing Vacuole (SCORE)	93.859		1SC2GM066312-01A1	103,903.86
MBRS SCORE at California State University Northridge	93.859		5S06GM048680-15	50,655.55
MBRS SCORE at California State University Northridge	93.859		5S06GM048680-15	11,269.50
MBRS SCORE at California State University Northridge	93.859		5S06GM048680-15	44,544.91
MBRS SCORE at California State University Northridge	93.859		5S06GM048680-15	16,137.02
MBRS SCORE at California State University Northridge	93.859		5S06GM048680-15	12,126.75
MBRS SCORE at California State University Northridge	93.859		5S06GM048680-15	11,652.32
MBRS SCORE at California State University Northridge	93.859		5S06GM048680-15	1,547.92
MBRS SCORE at California State University Northridge	93.859		5S06GM048680-15	9,570.71
MBRS SCORE at California State University Northridge	93.859		5S06GM048680-15	18,815.57
MBRS SCORE at California State University Northridge	93.859		5S06GM048680-15	40,327.44
MBRS SCORE at California State University Northridge	93.859		5S06GM048680-14	2,478.11
MBRS SCORE at California State University Northridge	93.859		5S06GM048680-15	1,568.75
MBRS SCORE at California State University Northridge	93.859		5S06GM048680-14	5,068.98

See accompanying notes to the Schedule of Expenditures of Federal Awards and independent auditors' report.

THE UNIVERSITY CORPORATION
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

Federal Grantor/Program Title	Federal CFDA Number	Pass-through Grantor	Grant Award or Contract Number	Federal Expenditures
Molecular Characterization of Insect Pheromone Chemosensory Mutants in Nematodes	93.859		1SC2GM089602-01	103,057.27
CCWGG Methylation in Developmentally Regulated B-Cell Specific Gene Silencing	93.859		1R15GM080683-01	43,532.73
Regulation of Akt1/2 Differentiation in Nostoc Punctiforme	93.859		1SC1GM093998-01	154,487.34
Investigating Protein Dynamics in NT-4S & TrkB Receptor Interactions	93.859		1SC2GM094127-01	121,170.92
Daily Functioning, Cognition, & Caregiver Burden in MCI	93.859		1SC3GM094051-01	102,171.50
Trauma, PTSD Symptomatology & Health Among Multicultural Older Women	93.859		1SC3GM094075-01	102,543.61
recruitment of Rad 10 in Double-Strand Break Repair	93.859		1SC3GM093858-01	78,484.86
An Investigation of the Mechanisms by which Down-Regulation of Lipoprotein Lipase Increase Insulin	93.859		1SC3GM09513-01	4,742.25
Mechanisms of Cytoskeleton Dynamics During Neural Crest Cell Chemoattraction	93.859		1SC3GM096904-01	31,190.91
Temporal & Spatial Relationships of Proteins in Yeast NER	93.859		5SC2GM081155-03	5,977.99
Multiscale Simulations of Transport in DNA & DNA-Carbon Nanotube Systems	93.859	HEALTH & HUMAN SERVICES	1SC3GM084838-01	65,447.93
Role of E4BP4 in Glucocorticoid-Evoked Lymphocyte Apoptosis	93.859		5SC3GM081099-04	34,212.83
Integrating Lipid Biosynthesis with Bacterial Cell Cycle Progression	93.859		1SC2GM084860-01	125,408.71
An Environmentally Benign Method for the Synthesis of C-aryl Glycosides	93.859		5SC2GM081084-02	46,307.00
				<u>2,932,485.73</u>
Total Research & Development Cluster:				<u><u>6,860,612.19</u></u>
Training & Other Sponsored Activities:				
DEPARTMENT OF AGRICULTURE:				
Advancing Students' Competencies in the Health Sciences Through a Breastfeeding Education Program	10.223		2010-38422-21226	73,149.01
				<u>73,149.01</u>
DEPARTMENT OF DEFENSE:				
Applications of Hydrophobes With Leading Edge Protruberances	12.300		N00014-08-1-1D43	62,309.79
Strategic Language Initiative Program of the Southern Consortium of California State Universities	12.650	CSU LONG BEACH FOUNDATION	S07-325106-CSUN	74,801.32
California State University System Strategic Language Initiative	12.550	CSU LONG BEACH FOUNDATION	S07-373110-CSUN	102,839.69
Strategic Language Initiative Program of the Southern Consortium of California State Universities	12.650	CSU LONG BEACH FOUNDATION	S07-325106-CSUN	20,766.43
Intelligence Studies Program	12.630	CSU SAN BERNARDINO	GT10213	14,053.89
Senior Design Projects Using Software Defined Radio	12.800		F1S08D019M001	7,877.66
Senior Design Project Using Software Defined Radio (SBR)	12.800		F1S08D0195M003	282,648.88
				<u>282,648.88</u>
DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT:				
Reducing the Childhood Obesity Rate Among Low Income Children in the Van Nuys Neighborhood	14.514		HSIAC-10-CA-11	115,721.76
				<u>115,721.76</u>
DEPARTMENT OF JUSTICE:				
Valley Trauma Center	16.017	EMERGENCY MANAGEMENT AGENCY	RC09231253	34,147.71
Valley Trauma Center	16.575	EMERGENCY MANAGEMENT AGENCY	RC10241253	218,772.98
Northwestern Los Angeles County Rape Crisis Center	16.588	EMERGENCY MANAGEMENT AGENCY	RC09121253	14,748.70
Northwestern Los Angeles County Rape Crisis Center	16.588	EMERGENCY MANAGEMENT AGENCY	RC10131253	118,286.56
				<u>385,955.95</u>
DEPARTMENT OF LABOR:				
Integrated Services Delivery Evaluation (American Recovery & Reinvestment Act)	17.258	CAL WORKFORCE INVESTMENT BOARD		115,056.37
				<u>115,056.37</u>
DEPARTMENT OF TRANSPORTATION:				
Operational, Safety, Environmental & Financial Feasibility Analysis of Integrating Exclusive Truck Roads into SR60 Freight Corridor	20.701	CSU SAN BERNARDINO	GT 10132	37,072.42
				<u>37,072.42</u>
DEPARTMENT OF THE TREASURY:				
The Tax Clinic (CONTROVERSY)	21.008		2010020	24,273.65
Tax Clinic (ESL EDUCATION)	21.008		2010020	9,024.16
Bookstein Tax Clinic (CONTROVERSY)	21.008		11LITC0020	14,952.23
Bookstein Tax Clinic (ESL)	21.008		11LITC0020	20,628.35
				<u>68,878.39</u>
NATIONAL AERONAUTICS AND SPACE ADMINISTRATION:				
IAU Symposium 273 on the Physics of the Sun & Star Spots	43.001		NNX10AQ67G	17,154.74
NASA Graduate Student Researchers Program (GSRP) FY 2010	43.001		NNX10AS77H	30,000.01
				<u>47,154.75</u>
NATIONAL ENDOWMENT FOR THE HUMANITIES:				
Creating a New Minor in Middle Eastern and Islamic Studies at CSUN	45.162		AC-50089-11	1,851.37
				<u>1,851.37</u>
NATIONAL SCIENCE FOUNDATION:				
CSUN-ESSEA Online Teacher Professional Development Project	47.050	GLOBAL ENVIRON STRATEGIES	07#03	10,154.10
California State University, Northridge (CSUN) Robert Noyce Scholarship Program	47.076		DUE-0630452	195,959.51
Individual Nomination - Presidential Award for Excellence in Science, Mathematics & Engineering	47.076		DUE-0731633	8,817.28
CSU Louis Stokes Alliance for minority Participation, Phase IV	47.076	UNIVERSITY ENTERPRISES, INC (CSUS)	HRD-0902628-515351	5,274.96
Louis Stokes Alliance for Minority Participation (LSAMP) Program, Bridge to the Doctorate	47.076	UNIVERSITY ENTERPRISES, INC (CSUS)	HRD-0928852-517271	22,872.35

See accompanying notes to the Schedule of Expenditures of Federal Awards and independent auditors' report.

THE UNIVERSITY CORPORATION
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

Federal Grantor/Program Title	Federal CFDA Number	Pass-through Grantor	Grant Award or Contract Number	Federal Expenditures
CSU Louis Stokes Alliance for minority Participation, Phase IV	47.076	UNIVERSITY ENTERPRISES, INC (CSUS)	515352	5,750.00
CSU Louis Stokes Alliance for minority Participation, Phase IV	47.076	UNIVERSITY ENTERPRISES, INC (CSUS)	515353	67,959.66
CSU/Nothridge MESA/CSEMS Scholars	47.076	REGENTS UNIVERSITY OF CALIFORNIA	10-MESA-324218-8-120	3,125.00
CSU/Nothridge MESA/CSEMS Scholars	47.076	REGENTS UNIVERSITY OF CALIFORNIA	10-MESA-324218-8-16	2,500.00
CSU-LSAMP Student Scholar Program 2010-2011	47.076	UNIVERSITY ENTERPRISES, INC (CSUS)	HRD-0802628-518641	9,500.00
Louis Stokes Alliance for Minority Participation (LSAMP) Program, Bridge to the Doctorate	47.076	UNIVERSITY ENTERPRISES, INC (CSUS)	HRD-0928852-517272	497,690.38
Transition to College Statistics	47.076	WESTERN MICHIGAN UNIVERSITY	257015240	54,199.14
CSUN NSF Teaching Fellowship Program	47.076		DUE-0934972	142,225.33
STEPS (Students Targeting Engineering & Physical Science)	47.076		DUE-0969627	222,863.55
Workshop on Chinese Ways of Innovation: Strategic Directions of Research on China Innovation	47.076		OISE-1066038	1,100.00
				<u>1,249,991.26</u>
DEPARTMENT OF EDUCATION:				
Family Focus Center-Family Empowerment	84.027	CALIFORNIA DEPARTMENT OF EDUCATION	1014301201700	179,894.47
Family Focus Center-Family Empowerment	84.027	CALIFORNIA DEPARTMENT OF EDUCATION	09 14301 2017 00	2,408.41
CSUN Special Education Intern Program (CAIP)	84.027	LA COUNTY OFFICE OF EDUCATION	073033-09-10	62,553.64
CSUN Special Education Intern Program (CAIP)	84.027	LA COUNTY OFFICE OF EDUCATION	082236-10-11	414,032.43
Education Hispanic Students for the 21st Century	84.031		P031M105020	256,999.99
Improving Support & Academic Success for Latino & Low-Income Students	84.031		P031S00051	352,205.54
Student Support Services Project at CSU, Northridge	84.042		P042A101371	103,788.89
Talent Search Program - Region I	84.044		P044A060607-09	37,859.70
Talent Search Program - Region I	84.044		P044A060607-10	188,223.92
Talent Search Program - Region II	84.044		P044A060605-09	71,528.80
Talent Search Program - Region II	84.044		P044A060605-10	181,445.14
Upward Bound Program	84.047		P047A07883-09	76,529.74
Upward Bound Project	84.047		9047A07883-10	159,979.05
Upward Bound Math & Science Competition	84.047		P047M090337	116,973.65
Upward Bound Math Science	84.047		P047M090337-10	163,460.12
Urban Planning & Sustainability Project	84.116		P116M090010	95.54
Urban Planning & Sustainability Project	84.116		P116M090010-10	38,284.05
Workability IV	84.128	DEPT OF REHABILITATION	28967	849.96
Workability IV	84.128	DEPT OF REHABILITATION	28967	196,873.51
Assessment & Accountability for Teacher Education Programs: Building a Replicable Database Model	84.215		U215K080330	342,764.10
Arts Education & Teacher Professional Development	84.215		U215K090291	251,941.49
Funds for the Improvement of Education Earmark Grant Awards	84.215		U215K090326	210,589.88
Improving the Preparation of Educators through Collaborative, Integrative & Interdisciplinary Experiences	84.215		U215K100257	235,643.54
Ronald E. McNair Post-Baccalaureate Achievement	84.217		P217A093015	216,514.74
Combined Priority for Personnel Development	84.325		H325K100428	8,549.07
Technology Enhanced Cultural-Responsive (TEC) Intern Program	84.325		H325K060501-09	109,743.83
Combined Priority for Personnel Preparation	84.325		H325K055139-08	268,392.83
Postsecondary Education Program for Individuals Who Are Deaf	84.326		H326D060002-09	338,822.90
Postsecondary Education Program for Individuals Who Are Deaf	84.326		H326D060002-10	708,169.82
Project GRAD Los Angeles-GEAR UP	84.334	PROJECT GRAD LOS ANGELES	AGREEMENT	30,741.77
GEAR-UP Project - STEPS	84.334	LOS ANGELES UNIFIED SCHOOL DISTRICT	0900264-OPTION YR 1	10,018.17
GEAR UP Southgate College Partnership	84.334	LOS ANGELES UNIFIED SCHOOL DISTRICT	0806597-OPTION YR 1	9,061.72
GEAR UP Southgate College Partnership	84.334	LOS ANGELES UNIFIED SCHOOL DISTRICT	0806597-OPTION YR 2	33,294.40
LASSO/Gear Up	84.334	LOS ANGELES UNIFIED SCHOOL DISTRICT	0800739	265,765.22
GEAR UP Project - LASSO	84.334	LOS ANGELES UNIFIED SCHOOL DISTRICT	1000346	782.97
GEAR UP Project - LASSO	84.334	LOS ANGELES UNIFIED SCHOOL DISTRICT	1000346	65,944.43
Project GRAD Los Angeles-GEAR UP	84.334	PROJECT GRAD LOS ANGELES	AGREEMENT	131,933.98
GEAR-UP Project - STEPS	84.334	LOS ANGELES UNIFIED SCHOOL DISTRICT	0900264-OPTION YR 2	59,755.48
Project Change: A Partnership to Prepare Tomorrow's Leaders	84.383		U383A050020-07	(8.70)
Student Improvement Through Teacher Empowerment	84.387	CAL POSTSECONDARY EDUC COMMISSION	ITQ-09-807	162,648.69
San Fernando Valley Science Project-Teacher Retention Initiative	84.387	REGENTS OF THE UNIVERSITY OF CALIFORNIA	0995 G MA097	32,559.03
San Fernando Valley Science Project	84.387	REGENTS OF THE UNIVERSITY OF CALIFORNIA	NCLB7-CSP-NORTHRIDGE	77,391.42
Cal State Northridge Writing Project - NCLB Funding	84.387	REGENTS OF THE UNIVERSITY OF CALIFORNIA	NCLB7-CWP-NORTHRIDGE	43,504.06
Improving Achievement of Students with Disabilities in High-Need Schools-Teacher Quality Partnership Grant Program Recovery Act/ARRA (American Recovery & Reinvestment Act)	84.405		U405A100082	1,013,722.24
National Writing Project 2009-2010 & 2010-2011	84.928	NATIONAL WRITING PROJECT CORPORATION	09-CA20	28,514.19
				<u>7,198,769.82</u>
DEPARTMENT OF HEALTH AND HUMAN SERVICES:				
Rape Prevention & Education - University Corporation	93.136	CALIFORNIA DEPARTMENT OF HEALTH SERVICES	09-11630	110,586.89
Facilitating Advancement in the Social Sciences-COR	93.242		5T34MH020023-09	7,161.00
Facilitating Advancement in Social Sciences - COR	93.242		5T34MH020023-10	235,319.79
Parentlinks	93.251	CAL DEPT OF EDUCATION	10 01811 2017 00	52,059.23
Parentlinks	93.251	CAL DEPT OF EDUCATION	09 01811 2017 00	10,809.08
Child Abuse & Neglect Prevention, Intervention and Treatment Program Services	93.556	LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES	AB173/AB2994	(4,170.38)
Child Abuse & Neglect Prevention, Intervention and Treatment Program Services	93.556	LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES	AB173/AB2994	80,899.67
Family Support Services for SPA 2	93.556	LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES	04-024-12	(10,195.84)
Family Support Services for SPA 2	93.556	LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES	04-024-12	119,572.65
Family Preservation Program - North Hollywood	93.556	LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES	04-025-54/05-028-11	(28,354.82)
Family Preservation Program - North Hollywood	93.556	LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES	04-025-54/05-028-11	684,919.07
Family Preservation Program - Santa Clarita	93.556	LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES	04-025-55	(3,932.84)
Family Preservation Program - Santa Clarita	93.556	LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES	04-025-55	901,466.70
Adoption Promotion & Support Services for SPA 2	93.556	LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES	04-024-25	(13,935.14)
Adoption Promotion & Support Services for SPA 2	93.556	LOS ANGELES DEPARTMENT OF CHILDREN & FAMILY SERVICES	04-024-25	235,804.26

See accompanying notes to the Schedule of Expenditures of Federal Awards and independent auditors' report.

THE UNIVERSITY CORPORATION
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2011

Federal Grantor/Program Title	Federal CFDA Number	Pass-through Grantor	Grant Award or Contract Number	Federal Expenditures
Head Start Hispanic Latino Service: Partnership Institutions	93.600		90YP0041/05	52,261.53
CALSwec Title IV-E Funding SSpnd Program	93.658	REGENTS OF THE UNIVERSITY OF CALIFORNIA	00006681	(27,927.47)
Integrating Lipid Biosynthesis with Bacterial Cell Cycle Progression/ARRA (American Recovery & Reinvestment Act)	93.701		3SC2GM084860-03S1	56,197.56
Department of Health & Human Services, Centers for Medicare & Medicaid Services	93.779		1HOCMS3308303-01-00	36,545.59
MBRS Development & Enhancement at CSUN (RISE)	93.859		5R25GM063787-08	238,683.55
Phospholipid Dynamics & Lypolysis in Membrane Models	93.859		1SC3GM066878-01	38,767.96
MARC U-STAR at California State University Northridge	93.859		5T34G1M008395-22	16,608.84
MARC U-STAR at California State University Northridge	93.859		5T34G1M008395-20	24,039.42
MARC U-STAR at California State University Northridge	93.859		2T34GM008395-21	460,949.05
				<u>3,272,205.35</u>
CORPORATION FOR NATIONAL AND COMMUNITY SERVICE:				
Mentoring to Overcome Struggles & Inspire Courage (MOSAIC)	94.005		06LHRCA002	4,112.69
Jumpstart Program	94.006	JUMPSTART	220200, JS SITE # 22	9,876.36
Jumpstart Program	94.006	JUMPSTART	220200, JS SITE # 22	54,818.49
TEAMS/AmeriCorps Program	94.008	UNIVERSITY OF SAN FRANCISCO	08-042-F	(36,950.64)
TEAMS/AmeriCorps Program	94.008	UNIVERSITY OF SAN FRANCISCO	08-042-F	653.14
				<u>32,509.64</u>
DEPARTMENT OF HOMELAND SECURITY:				
Homeland Security Grant - Imperable Communications	97.073	TRUSTEES CAL ST UNIVERSITY	X0023108-NRAUX	25,272.43
				<u>25,272.43</u>
DEPARTMENT OF COMMERCE (CORPORATION FOR PUBLIC BROADCASTING):				
Radio Community Service Grant (FY 2009)	N/A		FY 2009	2,030.88
				<u>2,030.88</u>
Total Training & Other Sponsored Activities:				<u>12,908,058.48</u>
Total Expenditures of Federal Awards				<u>19,768,670.67</u>

See accompanying notes to the Schedule of Expenditures of Federal Awards and independent auditors' report.

THE UNIVERSITY CORPORATION
SCHEDULE OF FINDING AND QUESTIONED COSTS

June 30, 2011

NOTE 1: GENERAL

The accompanying schedule of expenditures of federal awards presents the activity of all federal awards programs of The University Corporation, California State University, Northridge (a California State University Auxiliary Organization) (the Corporation). The schedule of expenditures of federal awards includes federal awards programs of the Corporation received directly from federal agencies as well as federal awards passed through other agencies. The Corporation's reporting entity is defined in Note 1 to the Corporation's financial statements.

NOTE 2: BASIS OF ACCOUNTING

The accompanying schedule of expenditures of federal awards is presented using the accrual basis of accounting which is described in Note 2 of the Corporation's financial statements.

NOTE 3: RELATIONSHIP TO BASIC FINANCIAL STATEMENTS AND FEDERAL FINANCIAL REPORTS

Information reported in the accompanying schedule of expenditures of federal awards agrees with the amounts reported in both the basic financial statements and related federal financial reports for the major federal programs.

NOTE 4: AMOUNTS PROVIDED TO SUBRECIPIENTS

Of the federal expenditures presented in the accompanying schedule of expenditures of federal awards, the Corporation provided federal awards to subrecipients as follows:

Number	Federal Grantor /Program Title	Amount
DEPARTMENT OF DEFENSE:		
12.300	Applications of Hydrofoils With Leading Edge Protruberances	36,149.45
12.351	Electronic Structure of Semiconductor Detector Surfaces & Contact Interfaces: Optimization of Signal-to-Noise Ratio	141,248.56
NATIONAL SCIENCE FOUNDATION:		
47.049	PREM-Multidisciplinary Research & Education in Computational Materials Science	59,016.96
47.074	RUI-LTREB Long Term Coral Reef Community Dynamics in St. John, USVI: 1987-2019	16,053.60
47.082	RUI: The Role of Childhood Language Memory in Adult Language Learning: Korean Adoptees Learning/ARRA (American Recovery & Reinvestment Act)	60,228.64
DEPARTMENT OF EDUCATION:		
84.326	Postsecondary Education Program for Individuals Who Are Deaf	146,720.87
84.326	Postsecondary Education Program for Individuals Who Are Deaf	278,614.04
84.367	Student Improvement Through Teacher Empowerment	20,450.92
DEPARTMENT OF HEALTH AND HUMAN SERVICES:		
93.837	Multi-Scale Modeling of Calcium Mediated Triggered Activity in the Heart	18,364.33
		<u>\$ 776,847.37</u>