

## Unit Three – Heat, Internal Energy and the First Law

Mechanical Engineering 370

### Thermodynamics

Larry Caretto  
September 9, 2010

California State University  
**Northridge**

## Outline

- Quiz solution
- Unit three – heat, internal energy and the first law of thermodynamics
  - Heat,  $Q$ , is energy in transit due only to a temperature gradient
  - Internal energy,  $U$ , is a property found from tables or relations for ideal gases
  - $Q = \Delta U + W$  or  $Q_{in} - Q_{out} = \Delta U + W_{out} - W_{in}$
  - Specific internal energy:  $\Delta U = m\Delta u$

California State University  
**Northridge**

2

## Unit Three Goals

- As a result of studying this unit you should be able to
  - find properties more easily than you were able to do after units one and two
  - describe the path for a process and determine the work with more confidence
  - understand that heat is energy in transit due only to a temperature difference

California State University  
**Northridge**

3

## Unit Three Goals Continued

- understand the meaning of the internal energy as a property giving the amount of energy stored in a body.
- use the first law as  $Q = \Delta U + W = m\Delta u + W$  to solve problems in closed (fixed mass) systems
- use the sign convention for heat and work
  - Heat added to a system is positive; heat removed from a system is negative:  $Q = Q_{in} - Q_{out}$
  - Work done by a system is positive; work done on a system is negative:  $W = W_{out} - W_{in}$

California State University  
**Northridge**

4

## Unit Three Goals Continued

- we do not have to worry about the sign convention when we use  $W = \int PdV$ ; we automatically get the correct sign
- use the first law as  $q = \Delta u + w$  where  $q = Q/m$  and  $w = W/m$ , in problem solving
- find the internal energy in tables from any definition of the state in one-phase or mixed regions

California State University  
**Northridge**

5

## Unit Three Goals Concluded

- find the internal energy from the enthalpy as  $u = h - Pv$  (with correct units) – this is needed for quiz tables
- use the enthalpy in place of the internal energy when enthalpy is given in a table but internal energy is not
- work problems, possible involving trial-and-error solutions, using the first law, property relations and a path equation

California State University  
**Northridge**

6

### First Law as Energy Balance

- Change in Energy = energy added as heat – energy lost by doing work
- Heat and work are processes
- We can store energy as kinetic energy, potential energy or thermodynamic internal energy,  $U = mu$ 
  - $u$  is a property (depends on state)
- Most processes have negligible KE and PE changes; consider only  $\Delta U$  here

### First-law Problems

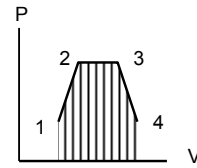
- Start with closed (fixed mass) systems
- Here  $\Delta U = m\Delta u$ , and we find  $u$  from tables or ideal gas relationships
- In tables,  $u$  acts like volume
  - Find  $u(T,P)$  in gas (superheat) region (or compressed liquid tables for water)
  - $u = u_f + x(u_g - u_f)$  in mixed region
  - Compressed liquid:  $u(T,P) \approx u_f(T)$
  - Can find  $u$  given  $v$  and  $(T \text{ or } P)$  by same rules used to find state previously

### Solving First-law Problems

- Data usually given: partial information about state points along a path
- Usually want to find heat transfer
- Have to do same calculations done previously to find work:  $W = \int_{\text{path}} P dV$ 
  - May have other types of work such as electrical work or applied torque
- Use property data to get  $u$  at initial and final states of overall process
- $Q = m(u_{\text{final}} - u_{\text{initial}}) + W$

### First Law for a Complex Path

- Here we have an initial point (1) and a final point (4)
- Work = area under path =  $(P_1 + P_2)(V_2 - V_1)/2 + P_{2-3}(V_3 - V_2) + (P_3 + P_4)(V_4 - V_3)/2$
- $Q = (U_{\text{final}} - U_{\text{initial}}) + W = m(u_4 - u_1) + W$
- Do not need intermediate  $u$  values
- Use property data for  $u$  (and possibly  $v$ )



### Enthalpy

- Defined property  $H \equiv U + PV$
- $h = H/m = U/m + P(V/m) = u + Pv = u + P/\rho$
- Constant pressure path has solution that  $Q = m(u_2 - u_1) + P_{1-2} m(v_2 - v_1) = m[(u_2 + P_2v_2) - (u_1 + P_1v_1)] = m(h_2 - h_1)$
- For constant pressure process  $Q = \Delta H$
- $H$  used in open systems and quiz tables require calculation of  $u = h - Pv$

### Is Electricity Heat or Work?

- We know that “resistance heating” is  $I^2R$
- If the system boundary is defined such that electricity crosses boundary then we do  $I^2R$  work on system
  - For  $Q = 0$ ,  $\Delta U = -W = -(-I^2R) = I^2R$
- If resistor is outside system boundary then  $I^2R$  heat is added to system
  - For  $W = 0$ ,  $\Delta U = Q = I^2R$
- Either approach gives  $\Delta U = I^2R$

### Example Calculation

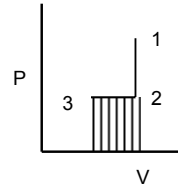
- Refrigerant 134a, initially at 100°F and 20 psia fills a container with an initial volume of 20 ft<sup>3</sup>. It is cooled in two steps: (1) at constant volume to 40°F and (2) at constant pressure to 0°F.
- What is the heat transfer?

### Example Calculation II

- Given data:**
  - Initial state:**  $p_1 = 20 \text{ psia}$ ,  $T_1 = 100^\circ\text{F}$ ,  $V_1 = 20 \text{ ft}^3$
  - Path:** Constant volume to intermediate state then constant pressure to final state
  - Intermediate state:**  $v_2 = v_1$  and  $T_2 = 40^\circ\text{F}$
  - Final state:**  $P_3 = P_2$  and  $T_3 = 0^\circ\text{F}$
- Equations:**
  - First law:**  $Q = \Delta U + W = m(u_3 - u_1) + W$
  - Work:**  $W = \int_{\text{path}} P dV$
  - Mass:**  $m = V_1 / v_1$

### Finding the Work

- No work done during the first (constant-volume) part of the path (1 to 2)
- Total work comes from second (constant-pressure) part (2 to 3)
- $W = P_{2-3}(V_3 - V_2)$  where  $P_{2-3} = P_2 = P_3$
- $V_3 = mv_3$  and  $V_2 = mv_2$
- $Q = \Delta U + W = m(u_3 - u_1) + mP_{2-3}(v_3 - v_2)$



### Solving the Problem

- $Q = m(u_3 - u_1) + mP_{2-3}(v_3 - v_2)$
- $m = V_1 / v_1$
- We have to find  $u_1$  and  $v_1$ ,  $P_2 = P_3 = P_{2-3}$ ,  $v_2$ ,  $v_3$  and  $u_3$ .
- State 1 has  $P_1 = 20 \text{ psia}$ ,  $T_1 = 100^\circ\text{F}$
- State 2 has  $v_2 = v_1$  and  $T_2 = 40^\circ\text{F}$
- State 3 has  $P_3 = P_2$  and  $T_3 = 0^\circ\text{F}$
- Find properties from R-134a tables

### States 1 and 2 and Mass

- We can find  $P_1 = 20 \text{ psia}$  and  $T_1 = 100^\circ\text{F}$  in superheat tables giving  $v_1 = 2.8726 \text{ ft}^3/\text{lb}_m$  and  $u_1 = 112.66 \text{ Btu}/\text{lb}_m$
- State 2 has  $v_2 = v_1$  and  $T_2 = 40^\circ\text{F}$
- This is between 15 and 20 psia

Properties from Table A-13E, page 978

$$P_2 = 15 \text{ psia} + \frac{20 \text{ psia} - 15 \text{ psia}}{\frac{2.5306 \text{ ft}^3}{\text{lb}_m} - 3.4074 \text{ ft}^3/\text{lb}_m} \left( \frac{2.8726 \text{ ft}^3}{\text{lb}_m} - \frac{3.4074 \text{ ft}^3}{\text{lb}_m} \right) = 18.086 \text{ psia}$$

### Interpolate for Final State

- Final state has  $P_3 = P_2 = 18.086 \text{ psia}$  and  $T_3 = 0^\circ\text{F}$  – interpolate between 15 and 20 psia to find  $u_3$  and  $v_3$

$$v_3 = \frac{3.1001 \text{ ft}^3}{\text{lb}_m} + \frac{18.086 \text{ psia} - 15 \text{ psia}}{20 \text{ psia} - 15 \text{ psia}} \left( \frac{2.2922 \text{ ft}^3}{\text{lb}_m} - \frac{3.1001 \text{ ft}^3}{\text{lb}_m} \right) = \frac{2.549 \text{ ft}^3}{\text{lb}_m}$$

$$u_3 = \frac{95.08 \text{ Btu}}{\text{lb}_m} + \frac{18.086 \text{ psia} - 15 \text{ psia}}{20 \text{ psia} - 15 \text{ psia}} \left( \frac{94.72 \text{ Btu}}{\text{lb}_m} - \frac{95.08 \text{ Btu}}{\text{lb}_m} \right) = \frac{94.86 \text{ Btu}}{\text{lb}_m}$$

- Common interpolation factor for  $u$  and  $v$

### Compute Heat Transfer

- $Q = m(u_3 - u_1) + mP_{2-3}(v_3 - v_2)$
- Apply previous results to obtain

$$Q = (6.962 \text{ lb}_m) \left( \frac{94.86 \text{ Btu}}{\text{lb}_m} - \frac{112.66 \text{ Btu}}{\text{lb}_m} \right) + (6.962 \text{ lb}_m) \frac{18.086 \text{ lb}_f}{\text{in}^2} \left( \frac{2.549 \text{ ft}^3}{\text{lb}_m} - \frac{2.8726 \text{ ft}^3}{\text{lb}_m} \right) \frac{\text{in}^2 \cdot \text{Btu}}{5.40395 \text{ lb}_f \cdot \text{ft}^3} = -145 \text{ Btu}$$

- Negative Q means heat is rejected from system

California State University Northridge 19

### Another Example Calculation

- **Given:** 10 kg of H<sub>2</sub>O at 200°C and 50% quality is expanded to 400°C at constant pressure
- **Find:** Heat Transfer
- **Given data:**
  - **Initial state:** T<sub>1</sub> = 200°C, x<sub>1</sub> = 0.5
  - **Path:** Constant pressure P<sub>1</sub> = P<sub>2</sub> = P<sub>1-2</sub>
  - **Final state:** T<sub>2</sub> = 400°C
  - **Substance:** Water, use property tables
  - **Mass:** m = 10 kg

California State University Northridge 20

### Another Example Calculation II

- **Equations:**
  - First Law: Q = ΔU + W = m(u<sub>2</sub> - u<sub>1</sub>) + W
  - W = ∫<sub>path</sub> PdV
  - W = P<sub>1-2</sub>(V<sub>2</sub> - V<sub>1</sub>) for constant pressure, P<sub>1-2</sub> = P<sub>1</sub> = P<sub>2</sub>
- Combine first law and work equation to get Q = m(u<sub>2</sub> - u<sub>1</sub>) + P<sub>1-2</sub>(V<sub>2</sub> - V<sub>1</sub>)
  - V<sub>2</sub> = mv<sub>2</sub> and V<sub>1</sub> = mv<sub>1</sub>
- We have to find v<sub>1</sub>, v<sub>2</sub>, P<sub>1</sub> = P<sub>2</sub> = P<sub>1-2</sub>, u<sub>1</sub>, and u<sub>2</sub>
- Use property tables for water

California State University Northridge 21

### Find Initial State Properties

- Initial state is x<sub>1</sub> = 50% and T<sub>1</sub> = 200°C
- x<sub>1</sub> = 50% quality implies mixed region at T<sub>1</sub> = 200°C
- P<sub>1</sub> = P<sub>sat</sub>(T<sub>1</sub> = 200°C) = 1554.9 kPa
- v<sub>1</sub> = (1 - x<sub>1</sub>) v<sub>f</sub>(T<sub>1</sub> = 200°C) + x<sub>1</sub> v<sub>g</sub>(T<sub>1</sub> = 200°C) = 0.06426 m<sup>3</sup>/kg
- u<sub>1</sub> = (1 - x<sub>1</sub>) u<sub>f</sub>(T<sub>1</sub> = 200°C) + x<sub>1</sub> u<sub>g</sub>(T<sub>1</sub> = 200°C) = 1723.0 kJ/kg

Saturation properties from Table A-4, page 916 22

California State University Northridge

### Find Final State Properties

- Point 2 has T<sub>2</sub> = 400°C and P<sub>2</sub> = P<sub>1</sub> = 1554.9 kPa
- Interpolation in superheat table between 1.40 MPa and 1.60 MPa at T<sub>2</sub> = 400°C
  - At P = 1.40 MPa, u = 2953.1 and v = 0.21782 m<sup>3</sup>/kg
  - At P = 1.60 MPa, u = 2950.8 kJ/kg and v = 0.19007 m<sup>3</sup>/kg
- Interpolation (details next page) gives v<sub>2</sub> = 0.19646 m<sup>3</sup>/kg and u<sub>2</sub> = 2951.3 kJ/kg

California State University Northridge Properties from Table A-6, page 921 23

### Interpolation Details

- Interpolation calculations for both u and v have **common factor for pressure**

$$u = 2953.1 \frac{\text{kJ}}{\text{kg}} + \frac{1.5549 \text{ MPa} - 1.40 \text{ MPa}}{1.60 \text{ MPa} - 1.40 \text{ MPa}} \cdot (2950.8 \frac{\text{kJ}}{\text{kg}} - 2953.1 \frac{\text{kJ}}{\text{kg}}) = 2951.4 \frac{\text{kJ}}{\text{kg}}$$

$$v = 0.21782 \frac{\text{m}^3}{\text{kg}} + \frac{1.5549 \text{ MPa} - 1.40 \text{ MPa}}{1.60 \text{ MPa} - 1.40 \text{ MPa}} \cdot (0.19007 \frac{\text{m}^3}{\text{kg}} - 0.21782 \frac{\text{m}^3}{\text{kg}}) = 0.19646 \frac{\text{m}^3}{\text{kg}}$$

California State University Northridge 24

### First Law Gives Answer

- $Q = \Delta U + W = \Delta U + P_{1-2}(V_2 - V_1) = m(u_2 - u_1) + P_{1-2} m(v_2 - v_1)$

$$Q = (10 \text{ kg}) \left( 2951.4 \frac{\text{kJ}}{\text{kg}} - 1723.0 \frac{\text{kJ}}{\text{kg}} \right) \frac{1 \text{ MJ}}{1000 \text{ kJ}} +$$

$$(10 \text{ kg})(1.5549 \text{ MPa}) \left( 0.19646 \frac{\text{m}^3}{\text{kg}} - 0.06426 \frac{\text{m}^3}{\text{kg}} \right) \frac{1 \text{ MJ}}{\text{MPa} \cdot \text{m}^3}$$

- $Q = 14.33 \text{ MJ}$  (added to system)

California State University Northridge 25

### Third Example Calculation

- **Given:** 110 kg of H<sub>2</sub>O at 200°C and 50% quality is compressed along a linear path,  $P = a + bV$  to 400°C and 10 MPa
- **Find:** Heat Transfer
- **Given data:**
  - **Initial state:**  $T_1 = 200^\circ\text{C}$ ,  $x_1 = 0.5$
  - **Path:** Straight line,  $P = a + bV$
  - **Final state:**  $T_2 = 400^\circ\text{C}$ ,  $P_2 = 10 \text{ MPa}$
  - **Substance:** Water, use property tables
- **Mass:** 110 kg

California State University Northridge 26

### Third Example Calculation II

- **Equations:**
  - First Law:  $Q = \Delta U + W = m(u_2 - u_1) + W$
  - $W = \int_{\text{path}} P dV$
  - $W = (P_1 + P_2)(V_2 - V_1)/2$  for straight line path (area of a trapezoid)
  - Combine first law and work equation to get  $Q = m(u_2 - u_1) + (P_1 + P_2)(V_2 - V_1)/2$
  - $V_2 = mv_2$  and  $V_1 = mv_1$
- We have to find  $v_1$ ,  $v_2$ ,  $P_1$ ,  $u_1$ , and  $u_2$
- Use property tables for water

California State University Northridge 27

### Find Initial State Properties

- Initial state:  $x_1 = 50\%$  and  $T_1 = 200^\circ\text{C}$
- $x_1 = 50\%$  quality implies mixed region at  $T_1 = 200^\circ\text{C}$
- $P_1 = P_{\text{sat}}(T_1 = 200^\circ\text{C}) = 1554.9 \text{ kPa}$
- $v_1 = (1 - x_1) v_f(T_1 = 200^\circ\text{C}) + x_1 v_g(T_1 = 200^\circ\text{C}) = 0.06426 \text{ m}^3/\text{kg}$
- $u_1 = (1 - x_1) u_f(T_1 = 200^\circ\text{C}) + x_1 u_g(T_1 = 200^\circ\text{C}) = 1723.0 \text{ kJ/kg}$

Saturation properties from Table A-4, page 916 28

California State University Northridge

### Final State, Work and Heat

- At point 2,  $T_2 = 400^\circ\text{C}$  and  $P_2 = 10 \text{ MPa}$
- In superheat table,  $v_2 = 0.026436 \text{ m}^3/\text{kg}$  and  $u_2 = 2833.1 \text{ kJ/kg}$  (page 922)

$$W = \frac{P_1 + P_2}{2}(V_2 - V_1) = \frac{P_1 + P_2}{2} m(v_2 - v_1) = \frac{1.5549 \text{ MPa} + 10 \text{ MPa}}{2} (10 \text{ kg}) \cdot$$

$$\left( 0.026436 \frac{\text{m}^3}{\text{kg}} - 0.06426 \frac{\text{m}^3}{\text{kg}} \right) \frac{1 \text{ MJ}}{1 \text{ MPa} \cdot \text{m}^3} = -0.4373 \text{ MJ}$$

$$Q = (10 \text{ kg}) \left( 2833.1 \frac{\text{kJ}}{\text{kg}} - 1723.0 \frac{\text{kJ}}{\text{kg}} \right) \frac{1 \text{ MJ}}{1000 \text{ kJ}} - 0.4373 \text{ MJ}$$

- $Q = 10.67 \text{ MJ}$  (heat added)

California State University Northridge 29

### Solving First Law Problems

- Get moving boundary work as done previously:  $W = \int_{\text{path}} P dV$
- May have other work terms
- Find internal energy from tables
- May find  $m = V/v$  from given data
- $Q = \Delta U + W = m(u_{\text{final}} - u_{\text{initial}}) + W$
- If  $m$  not known,  $q = \Delta u + w$
- Observe that  $u$  depends more on temperature,  $T$ , than pressure,  $P$

California State University Northridge 30