

## Math 550. Homework 6. Solutions

**Definition 1.** Two continuous mappings  $f, g : X \rightarrow Y$  are homotopic if there is a continuous mapping  $H : X \times [0, 1] \rightarrow Y$  such that  $H(x, 0) = f(x)$  and  $H(x, 1) = g(x)$  for all  $x$  in  $X$ .

**Problem 1.** Let  $C$  and  $C'$  be circles.

(i) Prove that if a continuous mapping  $F : C \rightarrow C'$  is not surjective, then  $\deg F = 0$ .

(ii) Find an example of a continuous mapping  $F : C \rightarrow C'$  that is surjective but has  $\deg F = 0$ .

*Solution.* (i) If  $F$  is not surjective, then there is a point  $P$  in  $C'$  not contained in  $F(C)$ . It follows that  $F(C)$  is contained in a sector (the complement of the ray issuing from the center of  $C'$  and passing through  $P$ ). As we have shown before, this implies that  $\deg F = 0$ .

(ii) Assume that  $C = C'$  are the unit circle. Use polar coordinates  $(\cos t, \sin t)$ ,  $0 \leq t \leq 2\pi$  and define  $F$  by

$$F(\cos t, \sin t) = \begin{cases} (\cos 2t, \sin 2t), & 0 \leq t \leq \pi \\ (\cos 2t, -\sin 2t), & \pi \leq t \leq 2\pi. \end{cases}$$

This mapping  $F$  wraps the upper semicircle and the lower semicircle each onto the full circle, but in opposite directions.

The degree of  $F$  is the winding number of the path  $\gamma(t) = F(\cos t, \sin t)$  around the origin. We can write  $\gamma$  as a sum of two paths  $\gamma = \gamma_1 + \gamma_2$ , where

$$\gamma_1(t) = (\cos 2t, \sin 2t), \quad 0 \leq t \leq \pi$$

and

$$\gamma_2(t) = (\cos 2t, -\sin 2t), \quad \pi \leq t \leq 2\pi$$

Then

$$W(\gamma, 0) = W(\gamma_1, 0) + W(\gamma_2, 0) = 1 - 1 = 0.$$

□

**Problem 2.** (i) Prove that two continuous mappings  $F, G : C \rightarrow C'$  are homotopic if and only if they have the same degree.

(ii) Conclude that a continuous mapping  $F : S^1 \rightarrow S^1$  has degree  $n$  if and only if  $F$  is homotopic to the map  $z \mapsto z^n$  of  $S^1$  onto itself.

*Solution.* (i) This is equivalent to saying that two continuous closed paths in  $\gamma, \delta : [0, 1] \rightarrow \mathbf{R}^2 \setminus \{0\}$  are homotopic if and only if  $W(\gamma, 0) = W(\delta, 0)$ . This was done in Homework 5.

(ii) You also showed in Homework 5 that the mapping  $z \mapsto z^n$  of the unit circle has degree  $n$ . Thus (ii) follows at once from (i). □

**Problem 3.** (i) Let  $F, F' : X \rightarrow Y$  and  $G, G' : Y \rightarrow Z$  be continuous mappings. Prove that if  $F$  is homotopic to  $F'$ , and  $G$  is homotopic to  $G'$ , then the composite  $G \circ F$  is homotopic to  $G' \circ F'$ .

(ii) Let  $F, G$  be continuous mappings from the unit circle  $S^1$  into itself. Prove that

$$\deg(G \circ F) = (\deg F) \cdot (\deg G).$$

*Solution.* (i) If  $H : X \times [0, 1] \rightarrow Y$  is a homotopy from  $F$  to  $F'$  and  $K : Y \times [0, 1] \rightarrow Z$  is a homotopy from  $G$  to  $G'$  (as in the definition above), then define  $\Gamma : X \times [0, 1] \rightarrow Z$  by

$$\Gamma(x, s) = K(H(x, s), s).$$

Then  $\Gamma$  is continuous because  $H$  and  $K$  are both continuous,

$$\Gamma(x, 0) = K(H(x, 0), 0) = K(F(x), 0) = G(F(x)) = G \circ F(x),$$

and

$$\Gamma(x, 1) = K(H(x, 1), 1) = K(F'(x), 1) = G'(F'(x)) = G' \circ F'(x).$$

(ii) If  $\deg F = n$ , then  $F$  is homotopic to  $z \mapsto z^n$ , and if  $\deg G = m$ , then  $G$  is homotopic to  $z \mapsto z^m$ . By (i), the composite  $G \circ F$  is homotopic to the composition of the  $n$ th power map and the  $m$ th power map, that is to  $z \mapsto z^{nm}$ , which has degree  $nm$ .  $\square$

**Problem 4.** Suppose that  $F$  is a continuous mapping from the positive octant  $\{(x, y, z) \mid x \geq 0, y \geq 0, z \geq 0\}$  to itself. Show that there is a unit vector  $P$  in this octant, and a nonnegative number  $\lambda$ , such that  $F(P) = \lambda P$ .

*Solution.* The positive octant intersects the unit sphere in a triangular sector  $T$ . Define a mapping  $G$  from  $T$  into  $T$  by  $G(P) = F(P)/|F(P)|$ . Because  $T$  is homeomorphic to a disk (it is a challenging exercise for you to describe one such homeomorphism), there is a point  $P$  in  $T$  such that  $G(P) = P$ . Then  $F(P) = \lambda P$  with  $\lambda = |F(P)|$ , as desired.  $\square$

**Problem 5.** Let  $f : C \rightarrow C'$  be a continuous mapping between circles.

- (i) Prove that if  $f(P^*) = f(P)$  for all  $P$ , then the degree of  $f$  is even.
- (ii) Prove that if  $f(P^*) \neq f(P)$  for all  $P$ , then  $f$  is surjective.

*Solution.* There is no loss of generality if we assume that  $C = C' = S^1$  is the unit circle. (Recall that the antipode of a point  $P$  in the unit circle is  $P^* = -P$ .)

(i) The degree of  $f$  is the winding number  $W(\gamma, 0)$  of the path  $\gamma(t) = F(\cos t, \sin t)$  ( $0 \leq t \leq 2\pi$ ) around the origin. Let  $\delta_1$  be the path  $\delta_1(t) = F(\cos t, \sin t)$  ( $0 \leq t \leq \pi$ ) and  $\delta_2$  be the path  $\delta_2(t) = F(\cos(t+\pi), \sin(t+\pi))$  ( $0 \leq t \leq \pi$ ). Then  $\delta_2(t) = F(-\cos t, -\sin t) = F(\cos t, \sin t) = \delta_1(t)$ , so  $W(\delta_2, 0) = W(\delta_1, 0)$ . But  $W(\gamma, 0) = W(\delta_1, 0) + W(\delta_2, 0) = 2W(\delta_1, 0)$ , an even number.

(ii) If  $f(P) \neq f(P^*)$  for all  $P$  in  $C$ , define

$$g(P) = \frac{f(P) - f(P^*)}{|f(P) - f(P^*)|}.$$

Then  $g(P^*) = -g(P)$ , so that  $\deg(g)$  is odd; in particular  $\deg(g) \neq 0$ .

Now  $g$  is homotopic to  $f$ . Indeed, because for every point  $P$  in the unit circle the point  $f(P)$  is in the unit circle and  $f(P) \neq f(P^*)$ , the vector  $f(P) - sf(P^*) \neq 0$ . Therefore

$$H(P, s) = \frac{f(P) - sf(P^*)}{|f(P) - sf(P^*)|}$$

defines a continuous mapping  $H : S^1 \times [0, 1] \rightarrow S^1$  that satisfies  $H(P, 1) = g(P)$  and  $H(P, 0) = f(P)/|f(P)| = f(P)$  for all  $P$  in  $S^1$ .

By Problem 2, the mappings  $f$  and  $g$  have the same degree, and so  $\deg(f) \neq 0$  also. By Problem 1,  $f$  must be surjective.  $\square$