

## Math 550. Homework 5. Solutions

**Problem 1.** Let  $\gamma: [a, b] \rightarrow \mathbf{R}^2 \setminus \{0\}$  be a continuous path. Prove that there are continuous functions  $r: [a, b] \rightarrow \mathbf{R}_+$  (the positive real numbers) and  $\theta: [a, b] \rightarrow \mathbf{R}$ , so that

$$\gamma(t) = (r(t) \cos \theta(t), r(t) \sin \theta(t)), \quad a \leq t \leq b. \quad (*)$$

Prove also that  $r$  is uniquely determined, and  $\theta$  is uniquely determined up to an integer multiple of  $2\pi$ .

Hint. Show in fact that  $r(t) = |\gamma(t)|$ , and that if  $\gamma^t$  denotes the restriction of  $\gamma$  to the interval  $[a, t]$ , for  $a \leq t \leq b$ , and  $\theta_a$  is an angle for  $\gamma(a)$ , then one may take

$$\theta(t) = \theta_a + 2\pi W(\gamma^t, 0).$$

*Solution.* The function  $r(t) = |\gamma(t)|$  is continuous because  $\gamma$  is continuous and the norm of a vector is continuous.

We show that  $\theta(t) = \theta_a + 2\pi W(\gamma^t, 0)$  is continuous and satisfies (\*). Here  $\theta_a$  is an angle for  $P_a = \gamma(a)$ .

Suppose first that  $\gamma[a, b]$  is contained in a single sector  $U$  with angle function  $\theta_U$ . The, for any  $t$  in  $[a, b]$ , the image of the path  $\gamma^t$  is also contained in  $U$  because  $\gamma^t[a, t] = \gamma([a, t])$ . Therefore  $U$  and  $\theta_U$  can be used as sector and angle function for computing the winding number  $W(\gamma^t, 0)$  of  $\gamma^t$  around 0,

$$\begin{aligned} W(\gamma^t, 0) &= \frac{1}{2\pi} \left\{ \theta_U(\gamma^t(t)) - \theta_U(\gamma^t(a)) \right\} \\ &= \frac{1}{2\pi} \left\{ \theta_U(\gamma(t)) - \theta_U(\gamma(a)) \right\}. \end{aligned}$$

It follows from this equation that  $W(\gamma^t, 0)$  is a continuous function of  $t$  in  $[a, b]$ , because  $\gamma$  and  $\theta_U$  are continuous.

The general case is similar and is left to you.

Now we have to show: (1) these functions  $r(t)$  and  $\theta(t)$  satisfy (\*), (2) that  $r(t)$  is unique, and (3) that  $\theta(t)$  is unique up to an integer multiple of  $2\pi$ . The only part that requires some comment is (3). Suppose that there is another continuous function  $\mu(t)$  such that  $\gamma(t) = (r(t) \cos \mu(t), r(t) \sin \mu(t))$ . Then for each  $t$ , both  $\mu(t)$  and  $\theta(t)$  are angles for  $\gamma(t)$ , and thus  $\mu(t) - \theta(t) = 2\pi n(t)$  for some integer  $n(t)$ . (This integer  $n(t)$  may depend on  $t$ .) But if  $\mu$  and  $\theta$  are continuous on  $[a, b]$ , then the function  $t \mapsto n(t)$  is also continuous on  $[a, b]$ . But an integer valued, continuous function on an interval must be identically constant. That is, there is an integer  $n$  such that  $n(t) = n$  for all  $t$  in  $[a, b]$ . □

**Definition 1.** Let  $\gamma: [a, b] \rightarrow \mathbf{R}^2 \setminus \{0\}$  be a continuous path. By Problem 1, for any choice of angle  $\theta_a$  for the initial point  $P_a = \gamma_a$ , there is a unique continuous path  $\tilde{\gamma}: [a, b] \rightarrow \{(r, \theta) \mid r > 0\}$  such that  $p \circ \tilde{\gamma} = \gamma$  and  $\tilde{\gamma}(a) = (r(a), \theta_a)$ , where  $p$  is the polar coordinate mapping at the origin,  $p(r, \theta) = (r \cos \theta, r \sin \theta)$ . Such path  $\tilde{\gamma}$  is called a lifting of  $\gamma$  with starting point  $(r(a), \theta_a)$ .

**Problem 2.** Let  $\gamma, \delta: [a, b] \rightarrow \mathbf{R}^2 \setminus \{0\}$  be two continuous paths with the same endpoints. Prove that the following are equivalent:

- (i)  $\gamma$  and  $\delta$  are homotopic in  $\mathbf{R}^2 \setminus \{0\}$  relative to endpoints;
- (ii)  $W(\gamma, 0) = W(\delta, 0)$ ; and

(iii) if  $\tilde{\gamma}$  and  $\tilde{\delta}$  are liftings of  $\gamma$  and  $\delta$  with the same initial point (as in Definition 1 above), then  $\tilde{\gamma}$  and  $\tilde{\delta}$  have the same final point.

*Solution.* (i) $\Rightarrow$ (ii) This was done in class. (ii) $\Rightarrow$ (iii) If  $\tilde{\gamma}$  is a lift of  $\gamma$  to  $\{(r, \theta) \mid r > 0\}$  starting at  $(r_a, \theta_a)$  and ending at  $(r_b, \theta_b)$ , then the winding number  $W(\gamma, 0) = \theta_b - \theta_a$  (refer to Problem 1). Thus if  $\tilde{\gamma}$  and  $\tilde{\delta}$  are lifts of  $\gamma$  and  $\delta$  that start at the same point  $(r_a, \theta_a)$ , and if  $W(\gamma, 0) = W(\delta, 0)$ , then they must end at points of the form  $(r_{b,\gamma}, \theta_b)$  and  $(r_{b,\delta}, \theta_b)$ , respectively. But  $\gamma$  and  $\delta$  have the same final point, so  $\gamma(b) = \delta(b)$ , and this implies that  $r_{b,\gamma} = |\gamma(b)|$  and  $r_{b,\delta} = |\delta(b)|$ .

(iii) $\Rightarrow$ (i) If  $\tilde{\gamma}$  and  $\tilde{\delta}$  are paths in  $R = \{(r, \theta) \mid r > 0\}$  with the same endpoints, they are homotopic in  $R$  because  $R$  is convex. If  $\tilde{H} : [a, b] \times [0, 1] \rightarrow R$  is a homotopy from  $\tilde{\gamma}$  to  $\tilde{\delta}$ , then the composite  $H : p \circ \tilde{H}$  (where  $p(r, \theta) = (r \cos \theta, r \sin \theta)$ ) is the polar coordinate map is a homotopy from  $\gamma$  to  $\delta$  in  $\mathbf{R}^2 \setminus \{0\}$  (Verify!).  $\square$

**Problem 3.** Identify  $\mathbf{R}^2$  with the complex numbers  $\mathbf{C}$ , so that the vectors  $(x, y)$  corresponds to the complex number  $z = x + iy$ . Let  $C$  be the unit circle  $\{|z| = 1\}$  in  $\mathbf{C}$ . Determine the winding number  $W(F, 0)$  for the following mappings  $F : C \rightarrow \mathbf{C}$ .

(i)  $F(z) = z^n$ ,  $n$  an integer.

(ii)  $F(z) = -z$ .

(iii)  $F(z) = \bar{z}$ .

*Solution.* From class,  $\deg F = W(\gamma, 0)$ , where  $\gamma(t) = F(\cos t, \sin t)$ .

(i) In this case,  $\gamma(t) = (\cos t + i \sin t)^n = (\cos nt, \sin nt)$ . This is a smooth path so the winding number

$$W(\gamma, 0) = \frac{1}{2\pi} \int_{\gamma} \omega_{\theta} = n.$$

(ii) Here  $\gamma(t) = (-\cos t, -\sin t)$  and

$$W(\gamma, 0) = \frac{1}{2\pi} \int_{\gamma} \omega_{\theta} = -1$$

(iii) Here  $\gamma(t) = (\cos t, -\sin t)$  and  $W(\gamma, 0) = 1$ .  $\square$

**Problem 4.** Let  $C$  be the circle centered at the origin, and let  $F : C \rightarrow \mathbf{R}^2$  be a continuous mapping such that the vector  $F(P)$  is never tangent to the curve  $C$  at  $P$ , i.e., the dot product  $P \cdot F(P) \neq 0$  for all  $P$  in  $C$ . Show that  $W(F, 0) = 1$ .

*Solution.* Suppose that  $C$  is the unit circle, so that  $W(F, 0)$  is the winding number  $W(\gamma, 0)$  of the path  $\gamma(t) = F(\cos t, \sin t)$ .

The condition  $F(P) \cdot P \neq 0$  for all  $P$  means that  $F(P)$  is never on the line through the origin perpendicular to  $P$ . Since the circle  $C$  is connected, this implies that either the segment from  $P$  to  $F(P)$  does not contain the origin for all  $P$  in  $C$ , or that the segment from  $-P$  to  $F(P)$  does not contain the origin for all  $P$  in  $C$ . Note that it also implies that  $F$  maps the circle into  $\mathbf{R}^2 \setminus \{0\}$ .

If it is the case that the segment from  $P$  to  $F(P)$  does not contain the origin for all  $P$  in  $C$ , then define  $H(t, s) = sF(\cos t, \sin t) + (1-s)(\cos t, \sin t)$ , for  $0 \leq t \leq 2\pi$ ,  $0 \leq s \leq 1$ . This function  $H$  is continuous and takes values on  $\mathbf{R}^2 \setminus \{0\}$ , because if  $H(t, s) = 0$  for some  $(t, s)$  in  $[0, 2\pi] \times [0, 1]$ , then the segment from  $P = (\cos t, \sin t)$  to  $F(P) = F(\cos t, \sin t)$  would contain the origin.

Thus we obtained a map  $H : [0, 2\pi] \times [0, 1] \rightarrow \mathbf{R}^2 \setminus \{0\}$ . This map is a homotopy from  $\gamma(t) = F(\cos t, \sin t)$  to the path  $\delta(t) = (\cos t, \sin t)$ . Since this path  $\delta$  has  $W(\delta, 0) = 1$ , we obtain

$$\deg F = W(\gamma, 0) = W(\delta, 0) = 1.$$

$\square$